PREPARED BY PFIZER INC

CDS EFFECTIVE DATE: 10-MAY-2022

Date of Superseded CDS: 23-Mar-2022

COVID-19 mRNA Vaccine

CORE DATA SHEET

VERSION 13

1. NAME OF THE MEDICINAL PRODUCT

COVID-19 mRNA Vaccine (nucleoside modified) and COMIRNATY are called TRADENAME.

2. QUALITATIVE AND QUANTITATIVE COMPOSITION^{1,2,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): This is a multidose vial and must be diluted before use. One vial (0.45 mL) contains 6 doses of 0.3 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): This is a multidose vial. One vial (2.25 mL) contains 6 doses of 0.3 mL (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): This is a multidose vial and must be diluted before use. One vial (1.3 mL) contains 10 doses of 0.2 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.2 mL) contains 10 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

3. PHARMACEUTICAL FORM^{2,3,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): Concentrate for dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): Dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): Concentrate for dispersion for injection.

The vaccine is a white to off-white frozen solution.

4. CLINICAL PARTICULARS

4.1. Therapeutic indications

The following is a representative indication. Locally approved indications may differ.

Active immunization to prevent coronavirus disease 2019 (COVID-19) caused by SARS-CoV-2 virus, in individuals 5 years of age and older. 4,49,73

4.2. Posology and method of administration

Posology

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

Or

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Individuals 12 years of age and older

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) are administered intramuscularly as a primary series of 2 doses (0.3 mL each) at greater than or equal to 21 days (preferably 3 weeks) apart.^{5,49}

Booster dose in individuals 16 years of age and older

A booster dose of TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) may be administered intramuscularly approximately 6 months after the second dose in individuals 16 years of age and older.⁷¹

Doses of TRADENAME (Dilute Before Use) concentrate for dispersion for injection (30 micrograms/dose) and TRADENAME (Do Not Dilute) dispersion for injection (30 micrograms/dose) vaccine are considered interchangeable.

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) intended for individuals ages 12 years and older cannot be used for individuals age 5 years to <12 years.

Interchangeability

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series or the booster dose has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series and for any additional doses.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

Individuals 5 through <12 years of age

TRADENAME (for age 5 years to <12 years) is administered intramuscularly after dilution as a primary series of 2 doses (0.2 mL) at greater than or equal to 21 days (preferably 3 weeks) apart.⁷³

Booster dose in individuals 5 through <12 years of age

A booster dose of TRADENAME (for age 5 years to <12 years of age) may be administered intramuscularly at least 6 months after the second dose in individuals 5 years through <12 years of age.⁸⁴

TRADENAME (for age 5 years to <12 years) cannot be used in individuals 12 years of age and older.

Interchangeability

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

Pediatric population

The safety and efficacy of TRADENAME in individuals under 5 years of age have not yet been established. The safety and effectiveness of a booster dose of TRADENAME in individuals 16 through 17 years of age is based on safety and effectiveness data in adults at least 18 through 55 years of age.⁷¹

Geriatric population

Clinical studies of TRADENAME include participants 65 years of age and older and their data contributes to the overall assessment of safety and efficacy. Of the total number of TRADENAME recipients in Study 2 (N = 22,026), 16.5% (n = 3627) were 65 through 74 years of age and 4.2% (n = 925) were 75 years of age and older (see Section 5.1). Of the total number of the safety and the safety

The safety of a booster dose of TRADENAME in individuals 65 years of age and older is based on safety data in 12 booster dose recipients 65 through 85 years of age in Study 2, 306 booster dose recipients 18 through 55 years of age in Study 2, and 1,175 booster dose recipients 65 years of age and older in Study 4. The effectiveness of a booster dose of TRADENAME in individuals

65 years of age and older is based on effectiveness data in 306 booster dose recipients 18 through 55 years of age in Study 2, and an efficacy analysis from participants 16 years of age and older in 9,945 participants in Study 4.71,80

Method of administration

Administer TRADENAME intramuscularly in the deltoid muscle.

Do not inject the vaccine intravascularly, subcutaneously, or intradermally.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

After dilution, vials of TRADENAME (Dilute Before Use) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead -volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Vials of TRADENAME (Do Not Dilute) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead-volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

After dilution, vials of TRADENAME (for age 5 years to <12 years) contains 10 doses of 0.2 mL of vaccine.

Individuals 5 through <12 years of age

Low dead-volume syringes and/or needles can be used to extract 10 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract 10 doses from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and content.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

4.3. Contraindications

Hypersensitivity to the active substance or to any of the excipients listed in Section 6.1.

4.4. Special warnings and precautions for use

Traceability

In order to improve the traceability of biological medicinal products, the name and the batch number of the administered product should be clearly recorded.

General recommendations

As with all injectable vaccines, appropriate medical treatment and supervision must always be readily available in case of a rare anaphylactic event following the administration of the vaccine.⁹

Very rare cases of myocarditis and pericarditis have been reported following vaccination with TRADENAME. Typically, the cases have occurred more often in younger men and after the second dose of the vaccine and within 14 days after vaccination. These are generally mild cases and individuals tend to recover within a short time following standard treatment and rest. Healthcare professionals should be alert to the signs and symptoms of myocarditis and pericarditis in vaccine recipients.⁶⁹

The administration of TRADENAME should be postponed in individuals suffering from acute severe febrile illness.⁹

Individuals receiving anticoagulant therapy or those with a bleeding disorder that would contraindicate intramuscular injection, should not be given the vaccine unless the potential benefit clearly outweighs the risk of administration.⁹

Immunocompromised persons, including individuals receiving immunosuppressant therapy, may have a diminished immune response to the vaccine.

Some individuals may have stress-related responses associated with the process of vaccination itself. Stress-related responses are temporary and resolve on their own. They may include dizziness, fainting, palpitations, increases in heart rate, alterations in blood pressure, feeling short of breath, tingling sensations, sweating and/or anxiety. Individuals should be advised to bring symptoms to the attention of the vaccination provider for evaluation and precautions should be in place to avoid injury from fainting.⁶⁷

As with any vaccine, vaccination with TRADENAME may not protect all vaccine recipients.

4.5. Interaction with other medicinal products and other forms of interaction

No interaction studies have been performed.

Do not mix TRADENAME with other vaccines/products in the same syringe.

4.6. Fertility, pregnancy and lactation

Pregnancy

There are limited amount of data from the use of TRADENAME in pregnant women. Animal studies do not indicate direct or indirect harmful effects with respect to pregnancy, embryo/fetal development, parturition, or post-natal development (see Section 5.3). Administration of TRADENAME in pregnancy should be considered when the potential benefits outweigh any potential risks for the mother and fetus.

Lactation

It is unknown whether TRADENAME is excreted in human milk.

Fertility

It is unknown whether TRADENAME has an impact on fertility. Animal studies do not indicate direct or indirect harmful effects with respect to female fertility or reproductive toxicity (see Section 5.3).^{10,11}

4.7. Effects on ability to drive and use machines

TRADENAME has no or negligible influence on the ability to drive and use machines. However, some of the effects mentioned under Section 4.8 "Undesirable effects" may temporarily affect the ability to drive or use machines.

4.8. Undesirable effects

Summary of safety profile

The safety of TRADENAME was evaluated in participants 5 years of age and older in 3 clinical studies conducted in the United States, Europe, Turkey, South Africa, and South America. 12,49 Study BNT162-01 (Study 1) enrolled 60 participants, 18 through 55 years of age and 36 participants, 56 through 85 years of age. 8 Study C4591001 (Study 2) enrolled approximately 46,000 participants, 12 years of age or older. 12 Study C4591007 (Study 3) enrolled approximately 2,300 participants 5 through <12 years of age. 73

Additionally, 306 existing Phase 3 participants at least 18 through 55 years of age received a booster dose of TRADENAME approximately 6 months after the second dose in the non-placebo-controlled booster dose portion of Study 2. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁷¹

In Study C4591031 (Study 4), a placebo-controlled booster study, 5,081 participants 16 years of age and older were recruited from Study 2 to receive a booster dose of TRADENAME at least 6 months after the second dose. The overall safety profile for the booster dose was similar to that seen after 2 doses.80

In a subset of Study 3 Phase 2/3 participants, 401 participants 5 through <12 years of age received a booster dose of TRADENAME at least 5 months after completing the primary series. The overall safety profile for the booster dose was similar to that seen after the primary series.⁸⁴

Participants 16 years of age and older – after 2 doses

In Study 2, a total of 22,026 participants 16 years of age or older received at least 1 dose of TRADENAME and a total of 22,021 participants 16 years of age or older received placebo.⁵⁰

The most frequent adverse reactions in participants 16 years of age and older that received 2 doses (in order from highest to lowest frequencies) were injection site pain (>80%), fatigue (>60%), headache (>50%), myalgia (>40%), chills (>30%), arthralgia (>20%), pyrexia and injection site swelling (>10%) and were usually mild or moderate in intensity and resolved within a few days after vaccination.⁶⁴ A lower frequency of reactogenicity events was associated with greater age.¹⁵

The safety profile in 545 participants receiving TRADENAME, that were seropositive for SARS-CoV-2 at baseline, was similar to that seen in the general population. 17,28,31

Study 2 also included 200 participants with confirmed stable human immunodeficiency virus (HIV) infection. The safety profile of the participants receiving TRADENAME (n = 100) in the individuals with stable HIV infection was similar to that seen in the general population.⁵¹

Adolescents 12 through 15 years of age – after 2 doses⁸¹

In an analysis of long-term safety follow-up in Study 2, 2,260 adolescents (1,131 TRADENAME; 1,129 placebo) were 12 through 15 years of age. Of these, 1,559 adolescents (786 TRADENAME and 773 placebo) have been followed for ≥4 months after the second dose. ^{41,42} The safety evaluation in Study 2 is ongoing.

The most frequent adverse reactions in adolescents 12 through 15 years of age that received 2 doses were injection site pain (>90%), fatigue and headache (>70%), myalgia and chills (>40%), arthralgia and pyrexia (>20%). 43,44,45

Children 5 through <12 years of age – after 2 doses⁷³

In an analysis of Study 3 Phase 2/3, 2,268 participants (1,518 TRADENAME 10 mcg; 750 placebo) were 5 through <12 years of age. Of these, 2,158 (95.1%) (1,444 TRADENAME 10 mcg and 714 placebo) participants have been followed for at least 2 months after the second dose. The safety evaluation in Study 3 is ongoing.

The most frequent adverse reactions in children 5 through <12 years of age that received 2 doses included injection site pain (>80%), fatigue (>50%), headache (>30%), injection site redness and swelling (>20%), myalgia and chills (>10%).

Participants 16 years of age and older – after booster dose⁷¹

A subset from Study 2 Phase 2/3 participants of 306 adults at least 18 through 55 years of age who completed the primary TRADENAME 2-dose course, received a booster dose of TRADENAME approximately 6 months (range of 4.8 to 8.0 months) after receiving Dose 2.

The most frequent adverse reactions in participants 18 through 55 years of age were injection site pain (>80%), fatigue (>60%), headache (>40%), myalgia (>30%), chills and arthralgia (>20%).

In Study 4, a placebo-controlled booster study, participants 16 years of age and older recruited from Study 2 received a booster dose of TRADENAME (5,081 participants), or placebo (5,044 participants) at least 6 months after the second dose of TRADENAME. Overall, participants who received a booster dose, had a median follow-up time of 2.5 months after the booster dose to the cut-off date (5 October 2021).⁸⁰

<u>Children 5 through <12 years of age – after booster dose⁸⁴</u>

In a subset from Study 3, a total of 401 children 5 through <12 years of age received a booster dose of TRADENAME 10 mcg at least 5 months (range of 5 to 9 months) after completing the primary series. The analysis of the Study 3 Phase 2/3 subset is based on data up to the cut-off date of March 22, 2022 (median follow-up time of 1.3 months).

The most frequent adverse reactions in participants 5 through <12 years of age were injection site pain (>70%), fatigue (>40%), headache (>30%), myalgia, chills, injection site redness, and swelling (>10%).

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
Blood and lymphatic system disorders	Lymphadenopathy ^a
Metabolism and nutrition disorders	Decreased appetite
Nervous system disorders	Headache
-	Lethargy
Gastrointestinal disorders	Nausea
Skin and subcutaneous tissue	Hyperhidrosis
disorders	Night sweats
Musculoskeletal and connective	Arthralgia
tissue disorders	Myalgia
General disorders and	Pyrexia ^b
administration site conditions	Chills
	Asthenia
	Malaise
	Fatigue
	Injection site pain
	Injection site swelling
	Injection site redness

a. A higher frequency of lymphadenopathy was observed in participants 5 through <12 years of age in Study 3 (2.5% vs. 0.9%) and in participants 16 years of age and older in Study 4 (2.8% vs. 0.4%) receiving a booster dose compared to participants receiving 2 doses.^{71,84}

Adverse reactions from TRADENAME post-authorization experience

The following events have been identified as adverse reactions during the post-authorization use of TRADENAME.

b. A higher frequency of pyrexia was observed after the second dose compared to the first dose. The preferred term pyrexia is a cluster term covering also body temperature increased.

Table 2. Adverse Drug Reactions^{38,64,80}

System Organ Class	Adverse Drug Reactions
Immune system disorders	Anaphylaxis Hypersensitivity reactions (e.g., rash, pruritus, urticaria, angioedema)
Gastrointestinal disorders	Diarrhea Vomiting
Musculoskeletal and connective tissue disorders	Pain in extremity (arm) ^a

a. A higher frequency of pain in extremity (1.1% vs. 0.8%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.

4.9. Overdose

Participants who received 58 micrograms of TRADENAME in clinical trials did not report an increase in reactogenicity or adverse events.¹⁸

In the event of overdose, monitoring of vital functions and possible symptomatic treatment is recommended.

5. PHARMACOLOGICAL PROPERTIES

5.1. Pharmacodynamic properties

Pharmacological class, therapeutic class

Vaccines

Refer to the current ATC code index for the appropriate code assignment for the pharmacologic and/or therapeutic class.

Mechanism of action

The nucleoside-modified messenger RNA in TRADENAME is formulated in lipid nanoparticles, which enable delivery of the RNA into host cells to allow expression of the SARS-CoV-2 S antigen. The vaccine elicits both neutralizing antibody and cellular immune responses to the spike (S) antigen, which may contribute to protection against COVID-19.^{19,20}

Efficacy

Study 2 is a multicenter, placebo-controlled efficacy study in participants 12 years of age and older. Randomization was stratified by age: 12 through 15 years of age, 16 through 55 years of age, or 56 years of age and older, with a minimum of 40% of participants in the ≥56-year stratum. The study excluded participants who were immunocompromised and those who had previous clinical or microbiological diagnosis of COVID-19. Participants with pre-existing stable disease, defined as disease not requiring significant change in therapy or hospitalization for

worsening disease during the 6 weeks before enrollment,²¹ were included as were participants with known stable infection with HIV, hepatitis C virus (HCV), or hepatitis B virus (HBV).¹²

Efficacy in participants 16 years of age and older – after 2 doses

In the Phase 2/3 portion of Study 2, based on data accrued through 14 November 2020, approximately 44,000 participants 12 years of age and older were randomized equally and received 2 doses of COVID-19 mRNA Vaccine or placebo. The efficacy analyses included participants that received their second vaccination within 19 to 42 days after their first vaccination. The majority (93.1%) of vaccine recipients received the second dose 19 days to 23 days after Dose 1.⁵² Participants are planned to be followed for up to 24 months, for assessments of safety and efficacy against COVID-19.^{12,27}

The population for the analysis of the primary efficacy endpoint included, 36,621 participants 12 years of age and older (18,242 in the COVID-19 mRNA Vaccine group and 18,379 in the placebo group) who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.²² Table 3 presents the specific demographic characteristics in the studied population.

Table 3. Demographics (Population for the Primary Efficacy Endpoint)^{a,22}

g up and to the same and the sa	TRADENAME (N=18,242) n (%)	Placebo (N=18,379) n (%)
Sex	П (70)	II (70)
Male	9318 (51.1)	9225 (50.2)
Female	8924 (48.9)	9154 (49.8)
Age (years)	,	
Mean (SD)	50.6 (15.70)	50.4 (15.81)
Median	52.0	52.0
Min, max	(12, 89)	(12, 91)
Age group		
12 to 15 years	46 (0.3)	42 (0.2)
16 to 17 years	66 (0.4)	68 (0.4)
16 to 64 years	14,216 (77.9)	14,299 (77.8)
65 to 74 years	3176 (17.4)	3226 (17.6)
≥75 years	804 (4.4)	812 (4.4)
Race		
White	15,110 (82.8)	15,301 (83.3)
Black or African American	1617 (8.9)	1617 (8.8)
American Indian or Alaska Native	118 (0.6)	106 (0.6)
Asian	815 (4.5)	810 (4.4)
Native Hawaiian or other Pacific		
Islander	48 (0.3)	29 (0.2)
Other ^b	534 (2.9)	516 (2.8)

	TRADENAME (N=18,242) n (%)	Placebo (N=18,379) n (%)
Ethnicity		
Hispanic or Latino	4886 (26.8)	4857 (26.4)
Not Hispanic or Latino	13,253 (72.7)	13,412 (73.0)
Not reported	103 (0.6)	110 (0.6)
Comorbidities ^c		
Yes	8432 (46.2)	8450 (46.0)
No	9810 (53.8)	9929 (54.0)

- a. All eligible randomized participants who receive all vaccination(s) as randomized within the predefined window, have no other important protocol deviations as determined by the clinician, and have no evidence of SARS-CoV-2 infection prior to 7 days after Dose 2.
- b. Includes multiracial and not reported.
- c. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19.
 - Chronic lung disease (e.g., emphysema and chronic bronchitis, idiopathic pulmonary fibrosis, and cystic fibrosis) or moderate to severe asthma
 - Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension)
 - Obesity (body mass index ≥30 kg/m²)
 - Diabetes (Type 1, Type 2, or gestational)
 - Liver disease
 - Human Immunodeficiency Virus (HIV) infection (not included in the efficacy evaluation)

At the time of the primary efficacy analysis, participants had been followed for symptomatic COVID-19 for at least 2214 person-years for the COVID-19 mRNA Vaccine and at least 2222 person-years in the placebo group.³²

There were no meaningful clinical differences in overall vaccine efficacy in participants who were at risk of severe COVID-19 including those with 1 or more comorbidities that increase the risk of severe COVID-19 [e.g., asthma, body mass index (BMI) ≥30 kg/m², chronic pulmonary disease, diabetes mellitus, hypertension].^{23,24}

The vaccine efficacy information is presented in Table 4.

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

First COVID-19 occurrence from 7 days after Dose 2 in participants without evidence of prior SARS-CoV-2 infection*,34			
	TRADENAME Na=18,198	Placebo Na=18,325	
Subgroup	Cases n1 ^b Surveillance Time ^c (n2 ^d)	Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI)
Subgroup	8	162	95.0
All participants ^e	2.214 (17,411)	2.222 (17,511)	(90.3, 97.6) ^f
	7	143	95.1
16 to 64 years	1.706 (13,549)	1.710 (13,618)	$(89.6, 98.1)^g$
	1	19	94.7
≥65 years	0.508 (3848)	0.511 (3880)	$(66.7, 99.9)^g$
•	1	14	92.9
65 to 74 years	0.406 (3074)	0.406 (3095)	$(53.1, 99.8)^g$
	0	5	100.0
≥75 years	0.102 (774)	0.106 (785)	$(-13.1, 100.0)^g$

First COVID-19 occurrence from 7 days after Dose 2 in participants with or without* evidence of prior SARS-CoV-2 infection²⁸

	TRADENAME Na=19,965	Placebo N ² =20,172	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	9	169	94.6
All participants ^e	2.332 (18,559)	2.345 (18,708)	$(89.9, 97.3)^{f}$
	8	150	94.6
16 to 64 years	1.802 (14,501)	1.814 (14,627)	$(89.1, 97.7)^g$
	1	19	94.7
≥65 years	0.530 (4044)	0.532 (4067)	$(66.8, 99.9)^{g}$
	1	14	92.9
65 to 74 years	0.424 (3239)	0.423 (3255)	$(53.2, 99.8)^g$
	0	5	100.0
≥75 years	0.106 (805)	0.109 (812)	$(-12.1, 100.0)^g$

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Abbreviations: NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. No confirmed cases were identified in adolescents 12 to 15 years of age.
- f. Two-sided credible interval for vaccine efficacy (VE) was calculated using a beta-binomial model with a beta (0.700102, 1) prior for θ =r(1-VE)/(1+r(1-VE)), where r is the ratio of surveillance time in the active vaccine group over that in the placebo group.
- g. Two-sided confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted to the surveillance time.

The subgroup analyses of vaccine efficacy including demographic characteristics is presented in Table 5.

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

	TRADENAME N ^a =18,198	Placebo Na=18,325	
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
Sex			
	5	81	93.7
Female	1.090 (8536)	1.114 (8749)	(84.7, 98.0)
	3	81	96.4
Male	1.124 (8875)	1.108 (8762)	(88.9, 99.3)
Ethnicity			
Hispanic or	3	53	94.4
Latino	0.605 (4764)	0.600 (4746)	(82.7, 98.9)
Not			
Hispanic or	5	109	95.4
Latino	1.596 (12,548)	1.608 (12,661)	(88.9, 98.5)
Race			
Black or			
African	0	7	100.0
American	0.165 (1502)	0.164 (1486)	(31.2, 100.0)
	7	146	95.2
White	1.889 (14,504)	1.903 (14,670)	(89.8, 98.1)
	1	9	89.3
All others ^f	0.160 (1405)	0.155 (1355)	(22.6, 99.8)

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

d. n2 = Number of participants at risk for the endpoint.

- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Updated efficacy analyses were performed with additional confirmed COVID-19 cases accrued during blinded placebo-controlled follow-up through 13 March 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.

The updated vaccine efficacy information is presented in Table 6.

Table 6. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by
Age Subgroup – Participants Without Evidence of Infection and Participants
With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable
Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period

First COVID-19 occurrence from 7 days after Dose 2 in participants without evidence of prior SARS-CoV-2 infection*,53			
Subgroup	TRADENAME N ^a =20,998 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =21,096 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI ^e)
Subgroup	77	850	91.3
All participants ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
	70	710	90.6
16 through 64 years	4.859 (15,519)	4.654 (15,515)	(87.9, 92.7)
-	7	124	94.5
65 years and older	1.233 (4192)	1.202 (4226)	(88.3, 97.8)
65 through	6	98	94.1
74 years	0.994 (3350)	0.966 (3379)	(86.6, 97.9)
75 years and	1	26	96.2
older	0.239 (842)	0.237 (847)	(76.9, 99.9)

First COVID-19 occurrence from 7 days after Dose 2 in participants with or without* evidence of prior SARS-CoV-2 infection ⁵⁴			
	TRADENAME N ^a =22,166	Placebo N ^a =22,320	
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
	81	873	91.1
All participants ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
	74	727	90.2
16 through 64 years	5.073 (16,218)	4.879 (16,269)	(87.6, 92.4)
	7	128	94.7
65 years and older	1.267 (4315)	1.232 (4326)	(88.7, 97.9)
65 through	6	102	94.3
74 years	1.021 (3450)	0.992 (3468)	(87.1, 98.0)
75 years and	1	26	96.2
older	0.246 (865)	0.240 (858)	(77.2, 99.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group (both <u>without</u> and <u>with or without</u> evidence of prior SARS-CoV-2 infection); 16 and 18 in the placebo group (<u>without</u> and <u>with or without</u> evidence of prior SARS-CoV-2 infection, respectively).

The updated subgroup analyses of vaccine efficacy by demographic characteristics are presented in Table 7 and Table 8.

Table 7. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants Without Evidence of Infection* Prior to 7 Days After Dose
2 by Demographic Characteristics — Evaluable Efficacy (7 Days) Population
During the Placebo-Controlled Follow-up Period⁵³

	TRADENAME	Placebo	
	Na=20,998	N ^a =21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	42	399	90.1
Male	3.246 (10,637)	3.047 (10,433)	(86.4, 93.0)
	35	451	92.4
Female	3.001 (10075)	2.956 (10,280)	(89.2, 94.7)
Ethnicity			
•	29	241	88.5
Hispanic or Latino	1.786 (5161)	1.711 (5120)	(83.0, 92.4)
Not Hispanic or	47	609	92.6
Latino	4.429 (15,449)	4.259 (15,484)	(90.0, 94.6)
Race			
Black or African	4	48	91.9
American	0.545 (1737)	0.527 (1737)	(78.0, 97.9)
	67	747	91.3
White	5.208 (17,186)	5.026 (17,256)	(88.9, 93.4)
	6	55	90.0
All othersf	0.494 (1789)	0.451 (1720)	(76.9, 96.5)
Country			, , ,
	15	108	86.5
Argentina	1.012 (2600)	0.986 (2586)	(76.7, 92.7)
	12	80	86.2
Brazil	0.406 (1311)	0.374 (1293)	(74.5, 93.1)
	0	ì	100.0
Germany	0.047 (236)	0.048 (242)	(-3874.2, 100.0)
	0	9	100.0
South Africa	0.080 (291)	0.074 (276)	(53.5, 100.0)
	0	5	100.0
Turkey	0.027 (228)	0.025 (222)	(-0.1, 100.0)
*	50	647	92.6
United States	4.674 (16,046)	4.497 (16,094)	(90.1, 94.5)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1,000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

	TRADENAME	Placebo	
	Na=22,166	$N^a=22,320$	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	44	411	89.9
Male	3.376 (11,103)	3.181 (10,920)	(86.2, 92.8)
	37	462	92.1
Female	3.133 (10,539)	3.093 (10,769)	(88.9, 94.5)
Ethnicity			
	32	245	87.4
Hispanic or Latino	1.862 (5408)	1.794 (5391)	(81.8, 91.6)
Not Hispanic or	48	628	92.6
Latino	4.615 (16,128)	4.445 (16,186)	(90.1, 94.6)
Race			
Black or African	4	49	92.0
American	0.611 (1958)	0.601 (1985)	(78.1, 97.9)
	69	768	91.3
White	5.379 (17,801)	5.191 (17,880)	(88.9, 93.3)
	8	56	86.8
All othersf	0.519 (1883)	0.481 (1824)	(72.1, 94.5)

Subgroup	TRADENAME N ^a =22,166 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =22,320 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI) ^e
Country			
	16	110	85.7
Argentina	1.033 (2655)	1.017 (2670)	(75.7, 92.1)
	14	82	84.2
Brazil	0.441 (1419)	0.408 (1401)	(71.9, 91.7)
	0	1	100.0
Germany	0.047 (237)	0.048 (243)	(-3868.6, 100.0)
	0	10	100.0
South Africa	0.099 (358)	0.096 (358)	(56.6, 100.0)
	0	6	100.0
Turkey	0.029 (238)	0.026 (232)	(22.2, 100.0)
	51	664	92.6
United States	4.861 (16,735)	4.678 (16,785)	(90.2, 94.6)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

The subgroup analyses of vaccine efficacy by risk status in participants is presented in Table 9.

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

	TRADENAME	Placebo	
	Na=18,198	Na=18,325	
Efficacy	Cases	Cases	
Endpoint	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
	occurrence from 7 days afte		(2 2 2 2 7
At risk ^f			
	4	86	95.3
Yes	1.025 (8030)	1.025 (8029)	(87.7, 98.8)
	4	76	94.7
No	1.189 (9381)	1.197 (9482)	(85.9, 98.6)
Age group (year			, , ,
16 to 64 and	4	69	94.2
not at risk	0.962 (7671)	0.964 (7701)	(84.4, 98.5)
16 to 64 and	3	74	95.9
at risk	0.744 (5878)	0.746 (5917)	(87.6, 99.2)
≥65 and not	0	7	100.0
at risk	0.227 (1701)	0.233 (1771)	(29.0, 100.0)
≥65 and at	1	12	91.7
risk	0.281 (2147)	0.279 (2109)	(44.2, 99.8)
Obeseg			
	3	67	95.4
Yes	0.763 (6000)	0.782 (6103)	(86.0, 99.1)
	5	95	94.8
No	1.451 (11,406)	1.439 (11,404)	(87.4, 98.3)
Age group (year	s) and obese		
16 to 64 and	4	83	95.2
not obese	1.107 (8811)	1.101 (8825)	(87.3, 98.7)
16 to 64 and	3	60	94.9
obese	0.598 (4734)	0.609 (4789)	(84.4, 99.0)
≥65 and not	1	12	91.8
obese	0.343 (2582)	0.338 (2567)	(44.5, 99.8)
≥65 and	0	7	100.0
obese	0.165 (1265)	0.173 (1313)	(27.1, 100.0)

Abbreviations: BMI = body mass index; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

* Subjects who had no serological or virological evidence (prior to 7 days after receipt of the last dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = number of participants in the specified group.

b. nl = Number of participants meeting the endpoint definition.

- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted for surveillance time
- f. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity $(BMI \ge 30 \text{ kg/m}^2)$.
- g. Obese is defined as BMI ≥30 kg/m².

The updated subgroup analyses of vaccine efficacy by risk status in participants followed up to 6 months after Dose 2 (with a cut-off date of 13 March 2021) are presented in Table 10 and Table 11.

Table 10. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁵

_ = ==== =_	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
First COVID-19			
occurrence from	77	850	91.3
7 days after Dose 2f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
At risk ^g			
	35	401	91.6
Yes	2.797 (9167)	2.681 (9136)	(88.2, 94.3)
	42	449	91.0
No	3.450 (11,545)	3.322 (11,577)	(87.6, 93.6)
Age group (years) a	nd risk status		
16 through 64 and	41	385	89.8
not at risk	2.776 (8887)	2.661 (8886)	(85.9, 92.8)
16 through 64 and	29	325	91.5
at risk	2.083 (6632)	1.993 (6629)	(87.5, 94.4)
65 and older and	1	53	98.1
not at risk	0.553 (1870)	0.546 (1922)	(89.2, 100.0)
65 and older and	6	71	91.8
at risk	0.680 (2322)	0.656 (2304)	(81.4, 97.1)
Obese ^h			
	27	314	91.6
Yes	2.103 (6796)	2.050 (6875)	(87.6, 94.6)
	50	536	91.1
No	4.143 (13,911)	3.952 (13,833)	(88.1, 93.5)

Subgroup	, , ,	Placebo N³=21,096 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI) ^e
Age group (years) as			
16 through 64 and	46	444	90.1
not obese	3.178 (10,212)	3.028 (10,166)	(86.6, 92.9)
16 through 64 and	24	266	91.3
obese	1.680 (5303)	1.624 (5344)	(86.7, 94.5)
65 and older and	4	79	95.2
not obese	0.829 (2821)	0.793 (2800)	(87.1, 98.7)
65 and older and	3	45	93.2
obese	0.404 (1370)	0.410 (1426)	(78.9, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 Years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For 12 through 15 years age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME Na=22,166 Cases	Placebo N ^a =22,320 Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup		Surveillance Time ^c (n2 ^d)	(95% CI) ^e
First COVID-19	Survemance Time (nz)	Survemance Time (nz)	(93 /0 C1)
occurrence from	81	873	91.1
7 days after Dose 2 ^f	6.509 (21,642)	6.274 (21,689)	
•	0.309 (21,042)	0.274 (21,089)	(88.8, 93.0)
At risk ^g	26	410	01.6
	36	410	91.6
Yes	2.925 (9601)	2.807 (9570)	(88.1, 94.2)
	45	463	90.6
No	3.584 (12,041)	3.466 (12,119)	(87.2, 93.2)
Age group (years) an			
16 through 64 and	44	397	89.3
not at risk	2.887 (9254)	2.779 (9289)	(85.4, 92.4)
16 through 64 and	30	330	91.3
at risk	2.186 (6964)	2.100 (6980)	(87.3, 94.2)
65 and older and	1	55	98.2
not at risk	0.566 (1920)	0.559 (1966)	(89.6, 100.0)
65 and older and at	6	73	92.1
risk	0.701 (2395)	0.672 (2360)	(82.0, 97.2)
Obese ^h			
	28	319	91.4
Yes	2.207 (7139)	2.158 (7235)	(87.4, 94.4)
	53	554	90.8
No	4.301 (14,497)	4.114 (14,448)	(87.9, 93.2)
Age group (years) an	d obesity status		
16 through 64 and	49	458	89.8
not obese	3.303 (10,629)	3.158 (10,614)	(86.2, 92.5)
16 through 64 and	25	269	91.0
obese	1.768 (5584)	1.719 (5649)	(86.4, 94.3)
65 and older and	4	82	95.3
not obese	0.850 (2899)	0.811 (2864)	(87.6, 98.8)
65 and older and	3	46	93.4
obese	0.417 (1415)	0.420 (1462)	(79.5, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 to 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For the 12 through 15 years of age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Efficacy against severe COVID-19 - after 2 doses

Secondary efficacy analyses suggested benefit of the COVID-19 mRNA Vaccine in preventing severe COVID-19.

As of 14 November 2020, efficacy against severe COVID-19 (as defined by the study protocol) occurring after the first dose was 88.9% (95% CI: 20.1, 99.7) (1 case in COVID-19 mRNA Vaccine group and 9 cases in placebo group), with an estimated vaccine efficacy of 75.0% (95% CI: -152.6, 99.5) (1 case in COVID-19 mRNA Vaccine group and 4 cases in placebo group) against severe COVID-19 occurring at least 7 days after Dose 2.³⁶ Efficacy against severe COVID-19, defined by the Centers for Disease Control and Prevention as hospitalization, admission to the Intensive Care Unit, intubation or mechanical ventilation, or death occurring after the first dose, was 92.9% (95% CI: 53.2, 99.8) (1 case in COVID-19 mRNA Vaccine group and 14 cases in placebo group).³⁷

As of 13 March 2021, vaccine efficacy against severe COVID-19 is presented only for participants with or without prior SARS-CoV-2 infection (Table 12) as the COVID-19 case counts in participants without prior SARS-CoV-2 infection were the same as those in participants with or without prior SARS-CoV-2 infection in both the TRADENAME and placebo groups.

Table 12. Vaccine Efficacy – First Severe COVID-19 Occurrence in Participants With or Without* Prior SARS-CoV-2 Infection Based on FDA[†] or Centers for Disease Control and Prevention (CDC)[‡] Definition After Dose 1 or From 7 Days After Dose 2 in the Placebo-Controlled Follow-up

Vaccine Efficacy – First Severe COVID-19 Occurrence Based on FDA Definition ^{57,58}			
	TRADENAME	Placebo	
	Cases	Cases	
	n1ª	n1ª	Vaccine Efficacy %
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI ^c)
	1	30	96.7
After Dose 1 ^d	8.439 ^e (22,505)	8.288° (22,435)	(80.3, 99.9)
	1	21	95.3
7 days after Dose 2 ^f	6.522 ^g (21,649)	6.404 ^g (21,730)	(70.9, 99.9)

Vaccine Efficacy – First Severe COVID-19 Occurrence Based on CDC Definition ^{59,60}			
	TRADENAME	Placebo	
	Cases	Cases	
	n1ª	n1ª	Vaccine Efficacy %
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI ^c)
	1	45	97.8
After Dose 1 ^d	8.427 ^e (22,473)	8.269 ^e (22,394)	(87.2, 99.9)
	0	32	100
7 days after Dose 2 ^f	6.514 ^g (21,620)	6.391 ^g (21,693)	(88.0, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- † Severe illness from COVID-19 as defined by FDA is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Clinical signs at rest indicative of severe systemic illness (respiratory rate ≥30 breaths per minute, heart rate ≥125 beats per minute, saturation of oxygen ≤93% on room air at sea level, or ratio of arterial oxygen partial pressure to fractional inspired oxygen <300 mm Hg);
 - Respiratory failure [defined as needing high-flow oxygen, noninvasive ventilation, mechanical ventilation or extracorporeal membrane oxygenation (ECMO)];
 - Evidence of shock (systolic blood pressure <90 mm Hg, diastolic blood pressure <60 mm Hg, or requiring vasopressors);
 - Significant acute renal, hepatic, or neurologic dysfunction;
 - Admission to an Intensive Care Unit;
 - Death.
- \$\frac{1}{2}\$ Severe illness from COVID-19 as defined by CDC is confirmed COVID-19 and presence of at least 1 of the following:\(^{61}\)
 - Hospitalization;
 - Admission to the Intensive Care Unit;
 - Intubation or mechanical ventilation;
 - Death.
- a. n1 = Number of participants meeting the endpoint definition.
- b. n2 = Number of participants at risk for the endpoint.
- c. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- d. Efficacy assessed based on the Dose 1 all-available efficacy (modified intention-to-treat) population that included all randomized participants who received at least 1 dose of study intervention.⁶²
- e. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from Dose 1 to the end of the surveillance period.
- f. Efficacy assessed based on the evaluable efficacy (7 Days) population that included all eligible randomized participants who receive all dose(s) of study intervention as randomized within the predefined window, have no other important protocol deviations as determined by the clinician.⁶²
- g. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

Efficacy and immunogenicity in adolescents 12 to 15 years of age – after 2 doses

An analysis of Study 2 has been performed in adolescents 12 to 15 years of age up to a data cut-off date of 13 March 2021.

The vaccine efficacy information in adolescents 12 to 15 years of age is presented in Table 13.

Table 13. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 to 15 Years of Age Evaluable Efficacy (7 Days) Population

First COV	ID-19 occurrence from 7 day		
	without evidence of p	orior SARS-CoV-2 infection	*,46
	TRADENAME	Placebo	
	$N^a=1005$	$N^a=978$	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
Adolescents			
12 to			
15 Years of	0	16	100.0
Age	0.154 (1001)	0.147 (972)	(75.3, 100.0)
First COV	ID-19 occurrence from 7 day	s after Dose 2 in adolescent	s 12 to 15 years of age
	with or without* evidence	e of prior SARS-CoV-2 infe	ection ⁴⁷
	TRADENAME	Placebo	
	N ^a =1119	N ^a =1110	
	Cases	Cases	
	n1b	n1b	Vaccino Efficacy %

	TRADENAME	Placebo	
	N ^a =1119	N ^a =1110	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
Adolescents			
12 to			
15 Years of	0	18	100.0
Age	0.170 (1109)	0.163 (1094)	(78.1, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

In Study 2 an analysis of SARS-CoV-2 neutralizing titers in a randomly selected subset of participants was performed to demonstrate non-inferior immune responses (within 1.5-fold) comparing adolescents 12 to 15 years of age to participants 16 through 25 years of age who had no serological or virological evidence of past SARS-CoV-2 infection. The immune response to TRADENAME in adolescents 12 to 15 years of age (n=190) was non-inferior to the immune

response in participants 16 through 25 years of age (n=170), based on results for SARS-CoV-2 neutralizing titers at 1 month after Dose 2. The geometric mean titers (GMT) ratio of the adolescents 12 to 15 years of age group to the participants 16 through 25 years of age group was 1.76, with a 2-sided 95% CI of 1.47 to 2.10, meeting the 1.5-fold non-inferiority criterion (the lower bound of the 2-sided 95% CI for the geometric mean ratio [GMR] >0.67) which indicates a statistically greater response in the adolescents 12 to 15 years of age than that of participants 16 through 25 years of age.⁴⁸

An updated efficacy analysis of Study 2 has been performed in approximately 2,260 adolescents 12 through 15 years of age evaluating confirmed COVID-19 cases accrued up to a data cut-off date of September 2, 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.⁸¹

The updated vaccine efficacy information in adolescents 12 through 15 years of age is presented in Table 14.

Table 14: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 Through 15 Years of Age Evaluable Efficacy (7 Days) Population⁸¹

	First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years of age without evidence of prior SARS-CoV-2 infection*				
	TRADENAME N ^a =1057	Placebo N ^a =1030			
	Cases n1 ^b	Cases n1 ^b			
	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI°)		
Adolescents					
12 through 15 years	0	28	100.0		
of age	0.343 (1043)	0.322 (1019)	(86.8, 100.0)		
First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years of age with or without evidence of prior SARS-CoV-2 infection					

TRADENAME Placebo Na=1119 Na=1109 Cases Cases n₁b n1^b Surveillance Timec Surveillance Timec Vaccine Efficacy % (n2^d)(n2^d)(95% CI^e) Adolescents 12 through 15 years 0 30 100.0 0.362 (1098) 0.345 (1088) of age (87.5, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

Efficacy in children 5 through <12 years of age – after 2 doses

A descriptive efficacy analysis of Study 3 has been performed in 1,968 children 5 through <12 years of age without evidence of infection prior to 7 days after Dose 2. This analysis evaluated confirmed symptomatic COVID-19 cases accrued up to a data cut-off date of October 8, 2021.⁸²

Table 15 presents the specific demographic characteristics in participants who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.

Table 15: Demographics Characteristics – Participants Without Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – 5 Through <12 Years of Age – Evaluable Efficacy Population⁸²

Sex	TRADENAME* 10 mcg/dose (Na=1305) nb (%)	Placebo (N ^a =663) n ^b (%)
Male	679 (52.0)	343 (51.7)
Female	626 (48.0)	320 (48.3)
Age at Vaccination		,
Mean (SD)	8.2 (1.93)	8.1 (1.98)
Median	8.0	8.0
Min, max	(5, 11)	(5, 11)

	TRADENAME* 10 mcg/dose (Na=1305) nb (%)	Placebo (N ^a =663) n ^b (%)
Race		
White	1018 (78.0)	514 (77.5)
Black or African American	76 (5.8)	48 (7.2)
American Indian or Alaska Native	<1.0%	<1.0%
Asian	86 (6.6)	46 (6.9)
Native Hawaiian or other Pacific Islander	<1.0%	<1.0%
Other ^c	110 (8.4)	52 (7.8)
Ethnicity		
Hispanic or Latino	243 (18.6)	130 (19.6)
Not Hispanic or Latino	1059 (81.1)	533 (80.4)
Not reported	<1.0%	<1.0%
Comorbidities ^d		
Yes	262 (20.1)	133 (20.1)
No	1043 (79.9)	530 (79.9)

- * Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = number of participants in the specified group from the evaluable efficacy population with no evidence of SARS CoV-2 infection prior to 7 days after Dose 2. This value is the denominator for the percentage calculations. Evaluable efficacy population included all eligible randomized participants who received all vaccination(s) as randomized within the predefined window, had no other important protocol deviations as determined by the clinician.
- b. n = Number of participants with the specified characteristic.
- c. Includes multiracial and not reported.
- d. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19 disease: defined as participants who had at least 1 of the prespecified comorbidities based on MMWR 69(32);1081-1088 and/or obesity (BMI ≥95th percentile).

The descriptive vaccine efficacy results in children 5 through <12 years of age without evidence of prior SARS-CoV-2 infection are presented in Table 16. None of the cases accrued met criteria for severe COVID-19 or multisystem inflammatory syndrome in children (MIS-C). No cases of COVID-19 were observed in either the vaccine group or the placebo group in participants with evidence of prior SARS-CoV-2 infection.⁸²

Table 16: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – Children 5 Through <12 Years of Age Evaluable Efficacy Population⁸²

First COVID-19 occurrence from 7 days after Dose 2 in children 5 through <12 years of			
aş	ge without evidence of p	rior SARS-CoV-2 infecti	ion*
	TRADENAME [±]		
	10 mcg/dose	Placebo	
	Na=1305	Na=663	
	Cases	Cases	
	n1 ^b	n1 ^b	
	Surveillance Time ^c	Surveillance Time ^c	Vaccine Efficacy %
$(n2^d)$ $(n2^d)$ $(95\% CI)$			
Children 5 through	3	16	90.7
11 years of age	0.322 (1273)	0.159 (637)	(67.7, 98.3)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- ± Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1,000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.

Immunogenicity in children 5 through < 12 years of age – after 2 doses⁷³

Study 3 is a Phase 1/2/3 study comprised of an open-label vaccine dose-finding portion (Phase 1) and a multicenter, multinational, randomized, saline placebo-controlled, observer-blind efficacy portion (Phase 2/3) that has enrolled participants 5 through <12 years of age.

In Study 3, an analysis of SARS-CoV-2 50% neutralizing titers (NT50) 1 month after Dose 2 in a randomly selected subset of participants demonstrated effectiveness by immunobridging of immune responses comparing children 5 through <12 years of age in the Phase 2/3 part of Study 3 to participants 16 through 25 years of age in the Phase 2/3 part of Study 2 who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after Dose 2, meeting the prespecified immunobridging criteria for both the GMR and the seroresponse difference with seroresponse defined as achieving at least 4-fold rise in SARS-CoV-2 NT50 from baseline (before Dose 1).

The ratio of the SARS-CoV-2 NT50 in children 5 through <12 years of age to that of young adults 16 through 25 years of age was 1.04 (2-sided 95% CI: 0.93, 1.18), as presented in Table 17.

Table 17: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of Children 5 Through <12 Years of Age (Study 3) to Participants 16 Through 25 Years of Age (Study 2) – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Dose 2 Evaluable Immunogenicity Population⁷³

			audie immunoge		
		TRADENAME			
		10 mcg/Dose	30 mcg/Dose		
		5 Through	16 Through		
		<12 Years	25 Years	5 Through <12 Years/	
		n ^a =264	n ^a =253	16 Through 25 Years	
					Met
					Immunobridging
		GMT^c	GMT ^c	GMR ^d	Objective ^e
Assay	Time Pointb	(95% CI°)	(95% CI°)	(95% CI ^d)	(Y/N)
SARS-CoV-2					
neutralization					
assay - NT50	1 month after	1197.6	1146.5	1.04	
(titer) ^f	Dose 2	(1106.1, 1296.6)	(1045.5, 1257.2)	(0.93, 1.18)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. n = Number of participants with valid and determinate assay results for the specified assay at the given dose/sampling time point.
- b. Protocol-specified timing for blood sample collection.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOO were set to 0.5 × LLOO.
- d. GMRs and 2-sided 95% CIs were calculated by exponentiating the mean difference of the logarithms of the titers (Group 1[5 through <12 years of age] Group 2 [16 through 25 years of age]) and the corresponding CI (based on the Student t distribution).
- e. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the GMR is greater than 0.67 and the point estimate of the GMR is \geq 0.8.
- f. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Among participants without prior evidence of SARS-CoV-2 infection up to 1 month after Dose 2, 99.2% of children 5 through <12 years of age and 99.2% of participants 16 through 25 years of age had a seroresponse from before vaccination to 1 month after Dose 2. The difference in proportions of participants who had seroresponse between the 2 age groups (children – young adult) was 0.0% (2-sided 95% CI: -2.0%, 2.2%), as presented in Table 18.

Table 18: Difference in Percentages of Participants With Seroresponse – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Immunobridging Subset – Phase 2/3 – Comparison of 5 Through <12 Years of Age to Study 2 Phase 2/3 16 Through 25 Years of Age – Evaluable Immunogenicity Population⁷³

		Pfizer-BioNTech COVID-19			
		Vaccine			
		Study 3	Study 2		
		10 mcg/Dose	30 mcg/Dose		
		5 Through	16 Through		
		<12 Years	25 Years	5 Through	1 <12 Years /
		$N^a=264$	N ^a =253	16 Through 25 Years	
					Met
					Immunobridging
		n° (%)	n° (%)	Difference %e	Objective ^g
Assay	Time Pointb	(95% CI ^d)	(95% CI ^d)	(95% CI ^f)	(Y/N)
SARS-CoV-2					
neutralization					
assay - NT50	1 month	262 (99.2)	251 (99.2)	0.0	
(titer) ^h	after Dose 2	(97.3, 99.9)	(97.2, 99.9)	(-2.0, 2.2)	Y

Abbreviations: LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer 50; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse.

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. N = number of participants with valid and determinate assay results both before vaccination and at 1 month after Dose 2. These values are the denominators for the percentage calculations.
- b. Protocol-specified timing for blood sample collection.
- c. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- d. Exact 2-sided CI based on the Clopper and Pearson method.
- e. Difference in proportions, expressed as a percentage (Group 1 [5 through <12 years of age] Group 2 [16 through 25 years of age]).
- f. 2-Sided CI, based on the Miettinen and Nurminen method for the difference in proportions, expressed as a percentage.
- g. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the difference in proportions is greater than -10.0%.
- h. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Immunogenicity in participants 18 years of age and older – after booster dose⁷¹

Effectiveness of a booster dose of TRADENAME was demonstrated by evaluating noninferiority immune responses of SARS-CoV-2 NT50 1 month after a booster dose. In Study 2, an analysis of SARS-CoV-2 NT50 demonstrated non-inferior immune responses 1 month after a booster dose

compared to 1 month after Dose 2 in participants at least 18 through 55 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose, based on prespecified noninferiority criteria for both GMR and difference in seroresponse rates. Seroresponse for a participant was defined as achieving a ≥4-fold rise from baseline (before Dose 1) in NT50 (Table 19 and Table 20).

The SARS-CoV-2 NT50 GMR of 1 month after the booster dose to 1 month after Dose 2 was 3.29 (2-sided 97.5% CI: 2.76, 3.91), which met the noninferiority criteria for GMR (lower bound of the 2-sided 97.5% CI >0.67 and point estimate of the GMR \geq 0.8).

A high proportion of participants (99.5%) had seroresponse 1 month after Dose 3 compared with 98.0% 1 month after Dose 2. The difference in proportions of participants with a seroresponse 1 month after the booster dose (Dose 3) and 1 month after Dose 2 (Dose 3 minus Dose 2) was 1.5% (2-sided 97.5% CI: -0.7%, 3.7%), which met the 10% noninferiority criterion (i.e., lower bound of the 2-sided 97.5% CI >-10%).

Table 19: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

Evaluable inimunogementy i opulation						
		TRADENAME				
		Sampling Time Point				
		1 Month After Booster Dose GMT ^b	1 Month After Dose 2 GMT ^b	1 Month After Booster Dose - 1 Month After Dose 2 GMR°	Met Noninferiority	
Assay	n ^a	(95% CI ^b)	(95% CI ^b)	(97.5% CI°)	Objective ^d (Y/N)	
SARS-CoV-2		,		,	, ,	
neutralization assay -						
reference strain -		2476.4	753.7	3.29		
NT50 (titer) ^e	210	(2210.1, 2774.9)	(658.2, 863.1)	(2.76, 3.91)	Y	

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of a booster dose of COMIRNATY) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after the booster dose were included in the analysis.
- a. n = Number of participants with valid and determinate assay results at both sampling time points within specified window.
- b. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- c. GMRs and 2-sided 97.5% CIs were calculated by exponentiating the mean differences in the logarithms of the assay and the corresponding CIs (based on the Student t distribution).
- d. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the GMR is >0.67 and the point estimate of the GMR is ≥ 0.80 .
- e. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on

Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Table 20: Percentage Difference of Participants Achieving Seroresponse – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Phase 3 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

		TRADENAME Sampling Time Point		Difference (1 Month After	
		1 Month After Booster Dose	1 Month After Dose 2	Booster Dose - 1 Month After Dose 2)	Met Noninferiority
Assay	Nª	n ^b % (95% CI°)	n ^b % (95% CI ^c)	% ^d (97.5% CI ^e)	Objective ^f (Y/N)
SARS-CoV-2 neutralization assay -					, ,
reference strain -		197	194	1.5	
NT50 (titer) ^g	198	99.5 (97.2, 100.0)	98.0 (94.9, 99.4)	(-0.7, 3.7)	Y

Abbreviations: CI = confidence interval; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of booster dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after booster dose were included in the analysis.
- a. N = number of participants with valid and determinate assay results for the specified assay at baseline, 1 month after Dose 2 and 1 month after the booster dose within specified window. These values are the denominators for the percentage calculations.
- b. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- c. Exact 2-sided CI based on the Clopper and Pearson method.
- d. Difference in proportions, expressed as a percentage (1 month after booster dose 1 month after Dose 2).
- e. Adjusted Wald 2-sided CI for the difference in proportions, expressed as a percentage.
- f. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the percentage difference is >-10%.
- g. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Relative vaccine efficacy in participants 16 years of age and older – after booster dose⁸⁰

An interim efficacy analysis of Study 4, a placebo-controlled booster study, was performed in approximately 10,000 participants 16 years of age and older who were recruited from Study 2, evaluated confirmed COVID-19 cases accrued from at least 7 days after booster vaccination up to a data cut-off date of 5 October 2021, which represents a median of 2.5 months post-booster follow-up. Vaccine efficacy of the TRADENAME booster dose after the primary series relative to the placebo booster group who only received the primary series dose was assessed. The relative vaccine efficacy information for participants 16 years of age and older is presented in Table 21.

Table 21: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Booster Vaccination – Participants 16 Years of Age and Older Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Booster Vaccination – Evaluable Efficacy Population⁸⁰

	rrence from 7 days after	booster dose in participant	s without evidence of
		oV-2 infection*	
	Comirnaty	Placebo	
	N ^a =4695	N ^a =4671	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacye %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	6	123	95.3
vaccination	0.823 (4659)	0.792 (4614)	(89.5, 98.3)
First COVID-19 oc	currence from 7 days afte	r booster dose in participa	nts with or without
	evidence of prior SA	ARS-CoV-2 infection	
	Comirnaty	Placebo	
	N ^a =4993	N ^a =4952	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	7	124	94.6
vaccination	0.871 (4934)	0.835 (4863)	(88.5, 97.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no serological or virological evidence (prior to 7 days after receipt of the booster vaccination) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visit 1, and had a negative NAAT [nasal swab] at any unscheduled visit prior to 7 days after booster vaccination) were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after the booster vaccination to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Relative vaccine efficacy of the Comirnaty booster group relative to the placebo group (non-booster).
- f. Two-sided confidence interval (CI) for relative vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

Immunogenicity in children 5 through <12 years of age – after booster dose⁸⁴

Effectiveness of a booster dose of TRADENAME was based on an assessment of NT50 against the reference strain of SARS-CoV-2 (USA_WA1/2020). Analyses of NT50 1 month after the booster dose compared to before the booster dose (Dose 3) demonstrated a substantial increase in

GMTs in individuals 5 through <12 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose. This analysis is summarized in Table 22.

Table 22: Summary of Geometric Mean Titers – NT50 – Participants Without Evidence of Infection – Phase 2/3 – Immunogenicity Set – 5 Through <12 Years of Age – Evaluable Immunogenicity Population

1546	Evaluable inimunogementy i opulation								
			Pfizer-BioNTec	h CC	OVID-19 Vaccin	e 10	mcg/Dose		
		3-Dose Set 2-Dose Set			Total				
Assay	Dose/ Sampling Time Point ^a	n ^b	GMT° (95% CI°)	n ^b	GMT ^c (95% CI ^c)	n ^b	GMT° (95% CI°)		
			20.5		20.5		20.5		
	1 month Prevax	79	(20.5, 20.5)	67	(20.5, 20.5)	146	(20.5, 20.5)		
SARS-CoV-2			1659.4		1110.7		1253.9		
neutralization	1 month after Dose 2	29	(1385.1, 1988.0)	67	(965.3, 1278.1)	96	(1116.0, 1408.9)		
assay - NT50			271.0				271.0		
(titer)	3 months Prevax	67	(229.1, 320.6)	-	-	67	(229.1, 320.6)		
			2720.9				2720.9		
	1 month after Dose 3	67	(2280.1, 3247.0)	-	_	67	(2280.1, 3247.0)		

Abbreviations: CI = confidence interval; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer; Prevax = before vaccination; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2. Note: Three-dose immunogenicity set included the first 130 participants who received Dose 3 and completed 1-month post-Dose 3 visit prior to March 15, 2022. Among those, 30 had blood sample collection at 1-month post-Dose 2. Two-dose immunogenicity set included an extra 67 participants randomly selected from previous Dose-2 evaluable immunogenicity population and without evidence of infection up to 1-month post-Dose 2 subset used for 2-dose immunobridging analysis.

Note: Participants included in this analysis had no serological or virological evidence of past SARS-CoV-2 infection up to the 1-month post–Dose 2 (for 1-month post–Dose 2 time point) or 1-month post–Dose 3 (for pre–Dose 3 and 1-month post–Dose 3 time point) study blood sample collection. Having no evidence of past SARS-CoV-2 infection up to 1-month post–Dose 2 was defined as having a negative N-binding antibody (serum) result at the Dose 1 and 1-month post–Dose 2 study visits; a negative NAAT (nasal swab) result at the Dose 1 and Dose 2 study visits and any unscheduled visit prior to the 1-month post–Dose 2 blood sample collection; and no medical history of COVID-19. Having no evidence of past SARS-CoV-2 infection up to 1-month post–Dose 3 was defined as having a negative N-binding antibody (serum) result at the Dose 1, 1-month post–Dose 2 (if available), Dose 3, and 1-month post–Dose 3 study visits; a negative NAAT (nasal swab) result at the Dose 1, Dose 2, and Dose 3 study visits and any unscheduled visit prior to the 1-month post–Dose 3 blood sample collection; and no medical history of COVID-19.

- a. Protocol-specified timing for blood sample collection.
- b. n = Number of participants with valid and determinate assay results for the specified assay at the given dose/sampling time point.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.

<u>Immunogenicity in children 5 through <12 years of age on the Omicron variant – after booster</u> dose⁸⁴

The neutralizing GMTs against both the Omicron variant and reference strain were substantially increased after booster vaccination compared with after the 2-dose primary series. At 1-month post-Dose 2, the observed neutralizing GMTs for the Omicron variant and reference strain were 27.6 and 323.8, respectively. At 1-month post-Dose 3, the observed neutralizing GMTs for the Omicron variant and reference strain were 614.4 and 1702.8, respectively (see Table 23).

For the Omicron variant, neutralizing titers after booster vaccination (1-month post-Dose 3) increased 22-fold over those after the 2-dose primary series (1-month post-Dose 2). For the reference strain, the increase after the booster relative to the primary series was 5.3-fold.

Table 23: Summary of Geometric Mean Titers – Omicron-Neutralization Subset –
Participants Without Evidence of Infection – Phase 2/3 – Immunogenicity Set –
5 Through <12 Years of Age – Evaluable Immunogenicity Population

	-	Pfizer-BioNTech COVID 19 Vaccine 10 mcg/Dose		
		Vaccine Group (as Randomized)		
	_		GMT ^c	
Assay	Time Point ^b	n ^b	(95% CI°)	
SARS-COV-2			27.6	
FFRNT- B.1.1.529	1 month after Dose 2	29	(22.1, 34.5)	
strain (Omicron) -			614.4	
NT50 (titer)	1 month after Dose 3	17	(410.7, 919.2)	
SADS COV 2 EEDNIT			323.8	
SARS-CoV-2 FFRNT- reference strain -	1 month after Dose 2	29	(267.5, 392.1)	
			1702.8	
NT50 (titer)	1 month after Dose 3	17	(1282.6, 2260.7)	

Abbreviations: CI = confidence interval; FFRNT = fluorescence focus reduction neutralization test; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

Note: Participants included in this analysis had no serological or virological evidence of past SARS-CoV-2 infection up to the 1-month post—Dose 2 (for 1-month post—Dose 2 time point) or 1-month post—Dose 3 (for 1-month post—Dose 3 time point) study blood sample collection. Having no evidence of past SARS-CoV-2 infection up to 1-month post—Dose 2 was defined as having a negative N-binding antibody (serum) result at the Dose 1 and 1-month post—Dose 2 study visits; a negative NAAT (nasal swab) result at the Dose 1 and Dose 2 study visits and any unscheduled visit prior to the 1-month post—Dose 2 blood sample collection; and no medical history of COVID-19. Having no evidence of past SARS-CoV-2 infection up to 1-month post—Dose 3 was defined as having a negative N-binding antibody (serum) result at the Dose 1, 1-month post—Dose 2 (if available), Dose 3, and 1-month post—Dose 3 study visits; a negative NAAT (nasal swab) result at the Dose 1, Dose 2, and Dose 3 study visits and any unscheduled visit prior to the 1-month post—Dose 3 blood sample collection; and no medical history of COVID-19.

- a. Protocol-specified timing for blood sample collection.
- b. n = Number of participants with valid and determinate assay results for the specified assays at the given dose/sampling time point.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.

5.2. Pharmacokinetic properties

Not applicable.

5.3. Preclinical safety data

Non-clinical data reveal no special hazard for humans based on conventional studies of repeat dose toxicity and reproduction and developmental toxicity. 10,11

6. PHARMACEUTICAL PARTICULARS

6.1. List of excipients^{2,3,74}

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use)

(4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Potassium chloride

Potassium dihydrogen phosphate

Sodium chloride

Disodium hydrogen phosphate dihydrate

Sucrose

Water for injections

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose.]**

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose.]**

TRADENAME (for age 5 years to <12 years)

((4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC-0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Tromethamine

Tromethamine hydrochloride

Sucrose

Water for injections

6.2. Incompatibilities

This medicinal product must not be mixed with other medicinal products except those mentioned in Sections 6.3 and 6.6.

6.3. Shelf life

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Dilute Before Use)

Unopened vial

12 months at -90 °C to -60 °C.63,70,83

Alternatively, unopened vials may be stored and transported at -25 °C to -15 °C for a total of 2 weeks and can be returned to -90 °C to -60 °C; not exceeding the printed expiry date (EXP).³⁹

Once removed from the freezer, the unopened vial can be stored for up to 1 month at 2 °C to 8 °C; not exceeding the printed expiry date (EXP). Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation. ^{29,63} Prior to use, the unopened vial can be stored for up to 2 hours at temperatures up to 30 °C.

Once thawed, the vaccine should not be re-frozen.

Transfers of frozen vials stored at ultra-low temperature (<-60 °C)

- <u>Closed-lid vial trays</u> containing 195 vials removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to <u>5 minutes</u>.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in frozen storage for at least 2 hours before they can be removed again.

Transfers of frozen vials stored at -25 °C to -15 °C⁴⁰

- <u>Closed-lid vial trays</u> containing 195 vials removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 1 minute.

Once a vial is removed from the vial tray, it should be thawed for use.

Diluted medicinal product

Chemical and physical in-use stability, including during transportation,³⁰ has been demonstrated for 6 hours at 2 °C to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)⁷⁵

Unopened vial

12 months when stored at -90 °C to -60 °C. ^{79,83}

TRADENAME (Do Not Dilute) will be received frozen at -90 °C to -60 °C. ⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks; not exceeding the printed expiry date (EXP).⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following first puncture.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Opened vial

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C. From a microbiological point of view, unless the method of opening precludes the risks of microbial

contamination, the product should be used immediately after the first puncture. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)⁷⁵

Unopened vial

12 months when stored at -90 °C to -60 °C.^{79,83}

TRADENAME (for age 5 years to <12 years) will be received frozen at -90 °C to -60 °C.⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks; not exceeding the printed expiry date (EXP).⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following dilution.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Diluted medicinal product

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C, after dilution with sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

6.4. Special precautions for storage^{2,25,75}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use)

Store in a freezer at -90 °C to -60 °C.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

For storage conditions after thawing and dilution of the medicinal product, see Section 6.3.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

TRADENAME (Do Not Dilute) and TRADENAME (for age 5 years to <12 years) can be stored in a refrigerator at 2 °C to 8 °C for a single period of up to 10 weeks, not exceeding the original expiry date (EXP). Alternatively, the vaccine may be stored in a freezer at -90 °C to -60 °C. The expiry date for storage at -90 °C to -60 °C is printed on the vial and outer carton after "EXP".

The vaccine will be received frozen at -90 °C to -60 °C. Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt. Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date has been updated to reflect the refrigerated EXP date and that the original expiry date has been crossed out.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at room temperature (up to 30 °C).

Once thawed, the vaccine cannot be re-frozen.

Thawed vials can be handled in room light conditions.

6.5. Nature and contents of container

Information to be provided by local subsidiary.

$\textbf{6.6. Special precautions for disposal and other handling}^{2,3,26,29,30,35,63,75,77,78}$

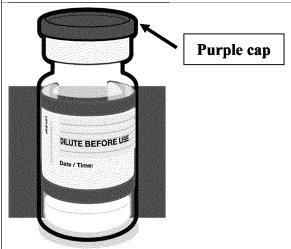
Handling instructions

TRADENAME should be prepared by a healthcare professional using aseptic technique to ensure the sterility of the prepared dispersion.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

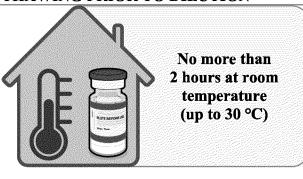
TRADENAME (Dilute Before Use)

VIAL VERIFICATION



Verify that the vial has a purple plastic cap. If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

THAWING PRIOR TO DILUTION



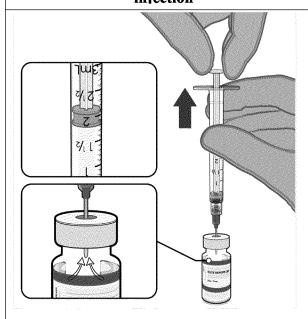
- The multidose vial is stored frozen and must be thawed prior to dilution. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 195 vial pack may take 3 hours to thaw. Alternatively, frozen vials may also be thawed for 30 minutes at temperatures up to 30 °C for immediate use.
- The unopened vial can be stored for up to 1 month at 2 °C to 8 °C; not exceeding the printed expiry date (EXP). Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.
- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to offwhite opaque amorphous particles.

TRADENAME (Dilute Before Use)

DILUTION

1.8 mL of 0.9% sodium chloride injection

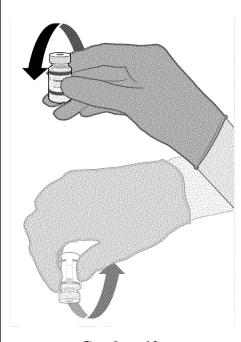
• The thawed vaccine must be diluted in its original vial with 1.8 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.



Pull back plunger to 1.8 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.8 mL air into the empty diluent syringe.

TRADENAME (Dilute Before Use)



Gently × 10

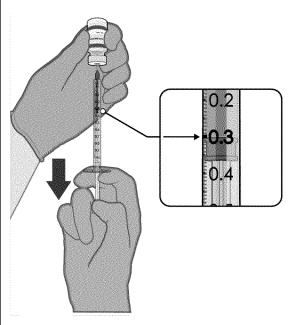
- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as an off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.



Record appropriate date and time. Use within 6 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 6 hours, including any transportation time.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

TRADENAME (Dilute Before Use) PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL diluted vaccine

- After dilution, the vial contains 2.25 mL from which 6 doses of 0.3 mL can be extracted.
- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

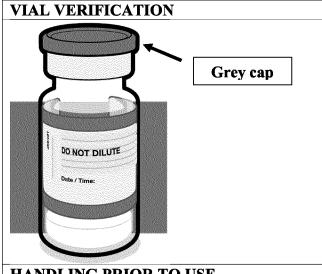
Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead-volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 6 hours after dilution.

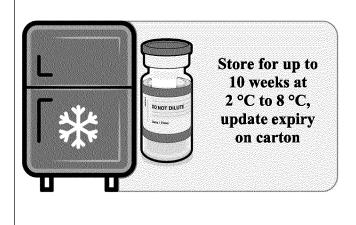
[Editorial guidance for countries: Select this text for the Tris/Sucrose presentation, 30 micrograms/dose.]

TRADENAME (Do Not Dilute)



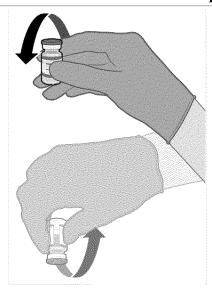
Verify that the vial has a grey plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 6 hours to thaw. Ensure vials are completely thawed prior to use.
- Update the expiry date on the carton.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C; not exceeding the printed expiry date (EXP).
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

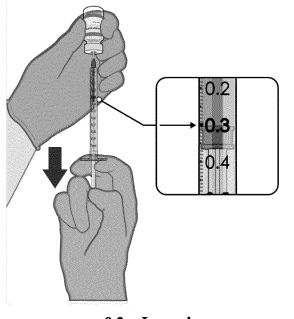
TRADENAME (Do Not Dilute)



- Gently mix by inverting vials 10 times prior to use. Do not shake.
- Prior to mixing, the thawed dispersion may contain white to off-white opaque amorphous particles.
- After mixing, the vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the vaccine if particulates or discoloration are present.

Gently × 10

PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine 12 hours after first puncture. Record the appropriate date/time on the vial.

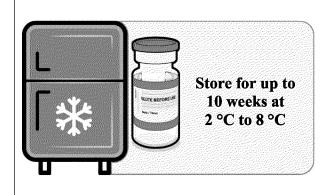
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

Orange cap 10 mcg Date / Time:

• Verify that the vial has an orange plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute).

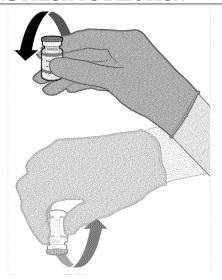
HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 4 hours to thaw. Ensure vials are completely thawed prior to use.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C; not exceeding the printed expiry date (EXP).
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

TRADENAME (for age 5 years to <12 years)

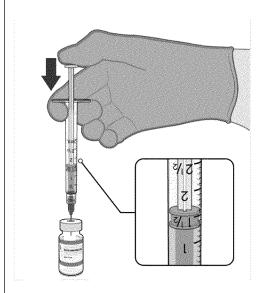
MIXING PRIOR TO DILUTION



Gently × 10

- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to off-white opaque amorphous particles.

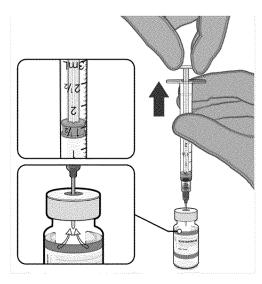
DILUTION



1.3 mL of 0.9% sodium chloride

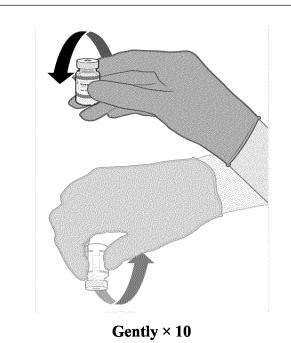
• The thawed vaccine must be diluted in its original vial with 1.3 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.

TRADENAME (for age 5 years to <12 years)



Pull back plunger to 1.3 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.3 mL air into the empty diluent syringe.



- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.

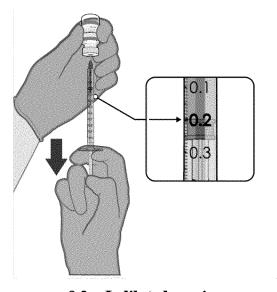
TRADENAME (for age 5 years to <12 years)



Record appropriate date and time. Use within 12 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 12 hours.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

PREPARATION OF INDIVIDUAL 0.2 mL DOSES OF TRADENAME



0.2 mL diluted vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
 - Withdraw 0.2 mL of TRADENAME for children age 5 through <12 years.

Low dead-volume syringes and/or needles should be used in order to extract 10 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract ten doses from a single vial.

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 12 hours after dilution.

Disposal

Any unused medicinal product or waste material should be disposed of in accordance with local requirements.

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- 41. Module 2.7.4 Summary of Clinical Safety, MAA Type II Variation (12-15 Years) Table 6: Demographic Characteristics Subjects 12 Through 15 and 16 Through 25 Years of Age Safety Population
- 42. Table: Follow-up Time After Dose 2 Subjects 12 Through 15 Years of Age Safety Population
- 43. Table: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 44. Table: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
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- 48. Table: Summary of Geometric Mean Ratio NT50 Comparison of Subjects 12 Through 15 Years of Age to Subjects 16 Through 25 Years of Age (Immunogenicity Subset) Subjects Without Evidence of Infection up to 1 Month After Dose 2 Dose 2 Evaluable Immunogenicity Population
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Appendix A: Adverse Drug Reactions (ADRs) and Numeric Frequencies Listed in Order of Decreasing Frequency Within Each System Organ Class (SOC)

Table A-1. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	83/21926 (0.4%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
•	Hypersensitivity reactions	
	Rash ^d	54/21926 (0.2%) ^a
	Pruritus ^d	23/21926 (0.1%) ^a
	Urticaria ^d	15/21926 (0.1%) ^a
	Angioedema ^d	3/21926 (0.01%) ^a
Metabolism and nutrition disorders	Decreased appetite	39/21926 (0.2%) ^a
Nervous system disorders	Headache	2814/4924 (57.1%) ^b
	Lethargy	25/21926 (0.1%) ^a
Gastrointestinal disorders	Diarrhea ^d	758/4924 (15.4%) ^b
	Vomiting ^d	110/4924 (2.2%) ^b
	Nausea	274/21926 (1.2%) ^a
Skin and subcutaneous tissue	Hyperhidrosis	31/21926 (0.1%) ^a
disorders	Night sweats	17/21926 (0.1%) ^a
Musculoskeletal and connective tissue	Myalgia (muscle pain)	1980/4924 (40.2%) ^b
disorders	Arthralgia (joint pain)	1232/4924 (25.0%) ^b
	Pain in extremity (arm) ^d	185/21926 (0.8%) ^a
General disorders and administration	Injection site pain	4153/4924 (84.3%)°
site conditions	Fatigue	3185/4924 (64.7%) ^b
	Chills	1707/4924 (34.7%) ^b
	Pyrexia	749/4924 (15.2%) ^b
	Injection site swelling	546/4924 (11.1%)°
	Injection site redness	486/4924 (9.9%)°
	Malaise	130/21926 (0.6%) ^a
	Asthenia	76/21926 (0.3%) ^a

a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term – Blinded Placebo-Controlled Follow-up Period – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Study C4591001, Cut-off date: 13March2021).

b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Study C4591001, Cut-off date: 13March2021).

c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Study C4591001, Cut-off date: 13March2021).

d. These adverse reactions were identified in the post-authorization period.

Table A-2. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	9/1131 (0.8%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
Ž	Hypersensitivity reactions	
	Rash ^d	2/1131 (0.2%) ^a
	Urticaria ^d	2/1131 (0.2%) ^a
	Pruritus ^{d,e}	
	Angioedema ^{d,e}	
Metabolism and nutrition disorders	Decreased appetite ^e	
Nervous system disorders	Headache	854/1131 (75.5%) ^b
•	Lethargye	
Gastrointestinal disorders	Diarrhea ^d	141/1131 (12.5%) ^b
	Vomiting ^d	59/1131 (5.2%) ^b
	Nausea	5/1131 (0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e	, , ,
disorders	Night sweats ^e	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	477/1131 (42.2%) ^b
disorders	Arthralgia (joint pain) (new)	229/1131 (20.2%) ^b
	Pain in extremity (arm) ^d	1/1131 (0.1%) ^a
General disorders and administration	Injection site pain	1023/1131 (90.5%)°
site conditions	Fatigue	876/1131 (77.5%) ^b
	Chills	557/1131 (49.2%) ^b
	Pyrexia	275/1131 (24.3%) ^b
	Injection site swelling	104/1131 (9.2%)°
	Injection site redness	97/1131 (8.6%)°
	Malaise ^e	
	Asthenia ^e	

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) –Safety Population (Study C4591001, Cut-off date: 13March2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Study C4591001, Cut-off date: 13March2021).
- c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Study C4591001, Cut-off date: 13March2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in the 12 through 15 year old age group in Study C4591001 but were reported in individuals 16 years of age and older (see Table A-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

Table A-3. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency within each System Organ Class: Individuals 5 Through <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	13/1518 (0.9%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
•	Hypersensitivity reactions	
	Rash ^d	5/1518 (0.3%) ^a
	Urticaria ^d	3/1518 (0.2%) ^a
	Pruritus ^d	1/1518 (0.1%) ^a
	Angioedema ^{d,e}	•
Metabolism and nutrition disorders	Decreased appetite	1/1518 (0.1%) ^a
Nervous system disorders	Headache	579/1517 (38.2%) ^b
	Lethargye	
Gastrointestinal disorders	Diarrhea ^d	146/1517 (9.6%) ^b
	Vomiting ^d	60/1517 (4.0%) ^b
	Nausea	6/1518 (0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e	
disorders	Night sweats ^e	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	266/1517 (17.5%) ^b
disorders	Arthralgia (joint pain) (new)	115/1517 (7.6%) ^b
	Pain in extremity (arm) ^d	3/1518 (0.2%) ^a
General disorders and administration	Injection site pain	1279/1517 (84.3%)°
site conditions	Fatigue	785/1517 (51.7%) ^b
	Injection site redness	401/1517 (26.4%)°
	Injection site swelling	309/1517 (20.4%) ^c
	Chills	188/1517 (12.4%) ^b
	Pyrexia	126/1517 (8.3%) ^b
	Malaise	2/1518 (0.1%) ^a
	Astheniae	

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term Phase 2/3 5 to <12 Years of Age Safety Population (Study C4591007, Cut-off date: 06Sep2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Study C4591007, Cut-off date: 06Sep2021).
- c. Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Study C4591007, Cut-off date: 06Sep2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in participants 5 through <12 years of age in Study C4591007 but were reported in individuals 16 years of age and older in Study C4591001 (see Table A-1Error! Reference source not found.): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-4. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

Courteen Oursen Claus	ADR Term	Frequency
System Organ Class		n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	16/306 (5.2%) ^{a,b}
Immune system disorders	Anaphylaxise	Not known
	Hypersensitivity reactions	
	Rash ^e	1/306 (0.3%) ^b
	Pruritus ^{e,f}	
	Urticaria ^{e,f}	
	Angioedema ^{e,f}	
Metabolism and nutrition disorders	Decreased appetite	1/306 (0.3%) ^b
Nervous system disorders	Headache	140/289 (48.4%) ^c
-	Lethargy ^f	
Gastrointestinal disorders	Diarrhea ^e	25/289 (8.7%)°
	Vomiting ^e	5/289 (1.7%)°
	Nausea	2/306 (0.7%) ^b
Skin and subcutaneous tissue	Hyperhidrosis ^f	
disorders	Night sweats ^f	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	113/289 (39.1%)°
disorders	Arthralgia (joint pain) (new)	73/289 (25.3%)°
	Pain in extremity (arm) ^e	1/306 (0.3%) ^b
General disorders and administration	Injection site pain	240/289 (83.0%) ^d
site conditions	Fatigue	184/289 (63.7%)°
	Chills	84/289 (29.1%) ^c
	Pyrexia	25/289 (8.7%)°
	Injection site swelling	23/289 (8.0%) ^d
	Injection site redness	17/289 (5.9%) ^d
	Malaise ^f	
	Asthenia ^f	

- * The booster dose of BNT162b2 30 μg was administered to participants 18 to 55 years of age.
- a. A higher frequency of lymphadenopathy (5.2% vs. 0.4%) was observed in participants receiving a booster dose compared to participants receiving 2 doses.
- b. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Booster Dose to 1 Month After Booster Dose, by System Organ Class and Preferred Term – Phase 3 – BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Study C4591001, Cut-off date: 17June2021).
- c. Source = Systemic Events, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Study C4591001, Cut-off date: 17June2021).
- d. Source = Local Reactions, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) –Booster Safety Population (Study C4591001, Cut-off date: 17June2021).
- e. These adverse reactions were identified in the post-authorization period.
- f. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age following the 2-dose series (Cut-off date:13March2021) Table A-1: angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-5. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects (≥16 Years of Age) Who Received 1 Booster Dose of BNT162b2 (30 μg) in Study C4591031 – Booster Safety Population (5 October 2021 Data Cut-off Date)^{64,80}

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathya	135/5055 (2.8%)b
Immune system disorders	Anaphylaxis ^c	Not known
-	Hypersensitivity reactions	
	Rash ^c	3/5055 (0.1%) ^b
	Pruritus ^c	3/5055 (0.1%)b
	Urticaria ^c	2/5055 (0.04%) ^b
	Angioedema ^{c,d}	
Metabolism and nutrition disorders	Decreased appetite	9/5055 (0.2%) ^b
Nervous system disorders	Headache ^e	
•	Lethargy	12/5055 (0.2%)b
Gastrointestinal disorders	Diarrhea ^{c,e}	
	Vomiting ^{c,e}	
	Nausea	48/5055 (0.9%) ^b
Skin and subcutaneous tissue disorders	Night sweats	5/5055 (0.1%)b
	Hyperhidrosis	4/5055 (0.1%) ^b
Musculoskeletal and connective tissue	Myalgia (muscle pain) ^e	
disorders	Arthralgia (joint pain) (new) ^e	
	Pain in extremity (arm) ^c	54/5055 (1.1%) ^b
General disorders and administration site	Injection site paine	
conditions	Fatigue ^e	
	Chills ^e	
	Pyrexia ^{e,f}	
	Injection site swelling ^e	
	Injection site redness ^e	
	Malaise	35/5055 (0.7%) ^b
	Asthenia	8/5055 (0.2%)b

- a. A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose (in Study C4591031) compared to participants receiving 2 doses.
- Source: Number (%) of Participants Reporting at Least 1 Adverse Event From Booster Vaccination to 1 Month After Booster Vaccination, by System Organ Class and Preferred Term – Blinded Follow-up Period – Safety Population (Study C4591031, Cut-off date: 05October2021).
- c. These adverse reactions were identified in the post-authorization period.
- d. The following event was not reported in the Study C4591031 but was reported in individuals ≥16 years of age 1 month after Dose 2 in Study C4591001 (Cut-off date: 13March2021): angioedema.
- e. Please see Table A-4 for the frequency of the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.
- f. The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

Table A-6. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 5 Through <12 Years of Age Who Received a Booster Dose (Dose 3) of BNT162b2 (22March2022 Data Cut-off Date)*,64,84

System Overn Class	ADR Term	Frequency
System Organ Class		n/N (%)
Blood and lymphatic system disorders	Lymphadenopathya	10/401 (2.5%) ^b
Immune system disorders	Anaphylaxis ^e	Not known
	Hypersensitivity reactions	
	Rash ^e	1/401 (0.2%) ^b
	Urticaria ^{e,f}	
	Pruritus ^{e,f}	
	Angioedema ^{e,f}	
Metabolism and nutrition disorders	Decreased appetite ^f	
Nervous system disorders	Headache	126/371 (34.0%)°
	Lethargy ^f	
Gastrointestinal disorders	Diarrheae	18/371 (4.9%)°
	Vomitinge	9/371 (2.4%)°
	Nauseaf	
Skin and subcutaneous tissue disorders	Hyperhidrosis ^f	
	Night sweats ^f	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	68/371 (18.3%)°
disorders	Arthralgia (joint pain) (new)	25/371 (6.7%)°
	Pain in extremity (arm) ^{e,f}	
General disorders and administration site	Injection site pain	274/371 (73.9%) ^d
conditions	Fatigue	169/371 (45.6%)°
	Injection site swelling	61/371 (16.4%) ^d
	Injection site redness	58/371 (15.6%) ^d
	Chills	39/371 (10.5%)°
	Pyrexia	25/371 (6.7%)°
	Malaise ^f	, ,
	Astheniaf	

- * Booster dose (Dose 3) of BNT162b2 10 μg was administered to participants 5 through <12 years of age in Study C4591007
- a. A higher frequency of lymphadenopathy was observed in participants 5 through <12 years of age in Study C4591007 (2.5% vs. 0.9%) receiving a booster dose compared to participants receiving 2 doses. The frequency of lymphadenopathy was calculated as follows: lymphadenopathy (n = 8), lymph node palpable (n = 1), axillary mass (n = 1) (8+1+1 = 10/401 = 2.5%).
- b. Source: Number (%) of Participants Reporting at Least 1 Adverse Event From Dose 3 to 1 Month After Dose 3, by System Organ Class and Preferred Term Phase 2/3 Participants Who Received Dose 3 of BNT162b2 5 to <12 Years of Age Safety Population (Study C4591007, Cut-off date: 22March2022).
- c. Source = Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 Participants Who Received Dose 3 of BNT162b2 5 to <12 Years of Age Safety Population (Study C4591007, Cut-off date: 22March2022).
- d. Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 Participants Who Received Dose 3 of BNT162b2 5 to <12 Years of Age Safety Population (Study C4591007, Cut-off date: 22March2022).
- e. These adverse reactions were identified in the post-authorization period.
- f. The following events were not reported in participants 5 through <12 years of age in Study C4591007 after Dose 3 but were reported in individuals 16 years of age and older from Dose 1 to 1 month after Dose 2 in Study C4591001 (see Table A-1): urticaria, pruritus, angioedema, decreased appetite, lethargy, nausea, night sweats, hyperhidrosis, pain in extremity (arm), malaise, asthenia.

Appendix B: Adverse Drug Reactions (ADRs) by System Organ Class and Council for International Organizations of Medical Science (CIOMS) Frequency Category Listed in Order of Decreasing Medical Seriousness or Clinical Importance Within Each Frequency Category and SOC

Table B-1. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	- /	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}	Angioedema ^{a,b}		Anaphylaxis ^a
Metabolism and Nutrition disorders			Decreased appetite			
Nervous system disorders	Headache		Lethargy			
Gastrointestinal disorders	Diarrhea ^a	Vomiting ^a ; Nausea				
Skin and subcutaneous Tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia; Injection site swelling	Injection site redness	Asthenia; Malaise			

^{*.} CIOMS frequency categories are based on clinical trial C4591001 crude incidence and was reported to only one significant figure.

These adverse reactions were identified in the post-authorization period.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, rash, and angioedema.

Table B-2. ADRs by SOC and CIOMS Frequency Category* Listed in order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	Very Common ≥1/10 (≥10%)	≥1/100 to <1/10 (≥1% to <10%)	(≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Rash ^{a,b}			Anaphylaxisa
Nervous system disorders	Headache					
Gastrointestinal disorders	Diarrheaa	Vomitinga	Nausea			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia	Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial C4591001 crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the 12 through 15 years of age group in Study C4591001 but were reported in individuals 16 years of age and older (see Table B-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria and rash.

Table B-3. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Individuals 5 Through <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

Date						
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		·	Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}			Anaphylaxisa
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Musculoskeletal and connective tissue disorders	Myalgia	Arthralgia	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Injection site swelling; Injection site redness	Pyrexia	Malaise			

^{*.} CIOMS frequency categories are based on clinical trial C4591007 crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 through <12 years of age in Study C4591007 but were reported in individuals 16 years of age and older in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

Table B-4. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC:

BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)†.64

Date						
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		Lymphadenopathy				
Immune system disorders			Rasha			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Skin and subcutaneous tissue disorders						
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills	Pyrexia; Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial C4591001 crude incidence and was reported to only one significant figure.

[†] The booster dose of BNT162b2 30 μg was administered to participants 18 to 55 years of age.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals 16 years of age and older 1 month after Dose 2 (Cut-off date: 13March2021) (see Table B-1): angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table B-5. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Study C4591031[†] (5 October 2021 Data Cut-off Date)⁶⁴

System Organ	Very Common ≥1/10	Common ≥1/100 to <1/10	Uncommon ≥1/1,000 to <1/100 (≥0.1%	Rare ≥1/10,000 to <1/1,000 (≥0.01% to	Very Rare <1/10,000	Frequency not known (cannot be estimated from
Class	(≥10%)	(≥1% to <10%)	to <1%)	<0.1%)	(<0.01%)	the available data)
Blood and lymphatic system disorders		Lymphadenopathy				
Immune system disorders			Pruritus ^{a,b} ; Rash ^{a,b}	Urticaria ^{a,b}		Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders			Lethargy			
Gastrointestinal disorders			Nausea			
Skin and subcutaneous tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders		Pain in extremity (arm) ^a				
General disorders and administration site conditions			Asthenia; Malaise			

^{*} CIOMS frequency categories are based on clinical trial C4591031 crude incidence and was reported to only one significant figure.

Please see Table B-4 for the CIOMS Frequency Categories for the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.

The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

- a. These adverse reactions were identified in the post-authorization period.
- b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

[†] Study C4591031 included individuals 16 years of age and older.

Table B-6. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Individuals 5 Through <12 Years of Age Who Received Dose 3 (22March2022 Data Cut-off Date)^{†,64,84}

Data	Cut-on L	ale)"	T	1	ı	
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and		Lymphadenopathy				
lymphatic system disorders						
Immune system disorders			Rash ^{a,b}			Anaphylaxis ^a
Metabolism and nutrition disorders						
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea; ^a Vomiting ^a				
Musculoskeletal and connective tissue disorders	Myalgia	Arthralgia				
General disorders and administration site conditions	Injection site pain; Fatigue; Injection site swelling; Injection site redness; Chills	Pyrexia				

^{*} CIOMS frequency categories are based on clinical trial C4591007 crude incidence and was reported to only one significant figure.

[†] Dose 3 (a booster dose) of BNT162b2 10 μg was administered to participants 5 through <12 years of age in Study C4591007.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 through <12 years of age in Study C4591007 after Dose 3 but were reported in individuals 16 years of age and older from Dose 1 to 1 month after Dose 2 in Study C4591001 (see Error! Reference source not found.): urticaria, pruritus, angioedema, decreased appetite, lethargy, nausea, night sweats, hyperhidrosis, pain in extremity (arm), malaise, and asthenia.

b. The following event is categorized as a hypersensitivity reaction: rash.

Appendix C. HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Frequency in the Safety Population Subset

Table C-1. Study 2 – Frequency and Percentages of Participants with Solicited Local Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,65

Oluci	Reactogementy Subset of the Suiety I opulation					
	TRADENAME	Placebo	TRADENAME	Placebo		
	Dose 1	Dose 1	Dose 2	Dose 2		
	N ^a =54	N ^a =56	N ^a =60	N ^a =62		
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)		
Redness ^c						
Any (>2.0 cm)	2 (3.7)	3 (5.4)	4 (6.7)	1 (1.6)		
Mild	2 (3.7)	1 (1.8)	3 (5.0)	1 (1.6)		
Moderate	0	0	1 (1.7)	0		
Severe	0	2 (3.6)	0	0		
Swelling ^c						
Any (>2.0 cm)	3 (5.6)	1 (1.8)	5 (8.3)	0		
Mild	2 (3.7)	0	2 (3.3)	0		
Moderate	1 (1.9)	0	3 (5.0)	0		
Severe	0	1 (1.8)	0	0		
Pain at the injection si	Pain at the injection site ^d					
Any	34 (63.0)	9 (16.1)	32 (53.3)	5 (8.1)		
Mild	26 (48.1)	8 (14.3)	22 (36.7)	5 (8.1)		
Moderate	8 (14.8)	1 (1.8)	9 (15.0)	0		
Severe	0	0	1 (1.7)	0		

Notes: Reactions were collected in the electronic diary (e-diary) from Day 1 to Day 7 after vaccination.

No Grade 4 solicited local reactions were reported in HIV-Positive participants 16 years of age and older.

^{*} Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.

a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each reaction was the same, therefore, this information was included in the column header.

b. n = Number of participants with the specified reaction.

c. Mild: >2.0 to ≤ 5.0 cm; Moderate: >5.0 to ≤ 10.0 cm; Severe: >10.0 cm.

d. Mild: does not interfere with activity; Moderate: interferes with activity; Severe: prevents daily activity.

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and

Older – Re	eactogenicity Subset	of the Safety P	opulation*,66	
	TRADENAME	Placebo	TRADENAME	Placebo
	Dose 1	Dose 1	Dose 2	Dose 2
	N ^a =54	$N^a=56$	Na=60	$N^a=62$
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
Fever				
≥38.0°C	1 (1.9)	4 (7.1)	9 (15.0)	5 (8.1)
≥38.0°C to 38.4°C	1 (1.9)	2 (3.6)	4 (6.7)	5 (8.1)
>38.4°C to 38.9°C	0	0	4 (6.7)	0
>38.9°C to 40.0°C	0	2 (3.6)	1 (1.7)	0
>40.0°C	0	0	0	0
Fatigue ^c				
Any	22 (40.7)	15 (26.8)	24 (40.0)	12 (19.4)
Mild	15 (27.8)	9 (16.1)	12 (20.0)	5 (8.1)
Moderate	7 (13.0)	5 (8.9)	9 (15.0)	7 (11.3)
Severe	0	1 (1.8)	3 (5.0)	0
Headache ^c				
Any	11 (20.4)	18 (32.1)	18 (30.0)	12 (19.4)
Mild	7 (13.0)	10 (17.9)	8 (13.3)	8 (12.9)
Moderate	4 (7.4)	7 (12.5)	8 (13.3)	4 (6.5)
Severe	0	1 (1.8)	2 (3.3)	0
Chills ^c				
Any	6 (11.1)	5 (8.9)	14 (23.3)	4 (6.5)
Mild	5 (9.3)	4 (7.1)	5 (8.3)	3 (4.8)
Moderate	1 (1.9)	1 (1.8)	8 (13.3)	1 (1.6)
Severe	0	0	1 (1.7)	0
Vomiting ^d				
Any	1 (1.9)	3 (5.4)	2 (3.3)	2 (3.2)
Mild	1 (1.9)	1 (1.8)	1 (1.7)	1 (1.6)
Moderate	0	0	1 (1.7)	1 (1.6)
Severe	0	2 (3.6)	0	0
Diarrheae				
Any	5 (9.3)	8 (14.3)	4 (6.7)	9 (14.5)
Mild	5 (9.3)	6 (10.7)	1 (1.7)	6 (9.7)
Moderate	0	1 (1.8)	2 (3.3)	3 (4.8)
Severe	0	1 (1.8)	1 (1.7)	0
New or worsened muscle	pain ^c			
Any	9 (16.7)	10 (17.9)	10 (16.7)	5 (8.1)
Mild	7 (13.0)	7 (12.5)	5 (8.3)	1 (1.6)
Moderate	2 (3.7)	3 (5.4)	5 (8.3)	4 (6.5)
Severe	0	0	0	0

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,66

	TRADENAME Dose 1 Na=54 nb (%)	Placebo Dose 1 N ^a =56 n ^b (%)	TRADENAME Dose 2 N ^a =60 n ^b (%)	Placebo Dose 2 N ^a =62 n ^b (%)
New or worsened joint pain			()	(, , ,
Any	5 (9.3)	7 (12.5)	10 (16.7)	5 (8.1)
Mild	5 (9.3)	4 (7.1)	4 (6.7)	1 (1.6)
Moderate	0	3 (5.4)	6 (10.0)	4 (6.5)
Severe	0	0	0	0
Use of antipyretic or pain				
medication ^f	7 (13.0)	8 (14.3)	16 (26.7)	7 (11.3)

Notes: Reactions and use of antipyretic or pain medication were collected in the electronic diary (e-diary) from Day 1 to Day 7 after each dose.

No Grade 4 solicited systemic reactions were reported in HIV-positive participants 16 years of age and older.

- * Randomized participants in the safety analysis population who received at least 1 dose of the study intervention
- a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each event or use of antipyretic or pain medication was the same, therefore, this information was included in the column header.
- b. n = Number of participants with the specified reaction.
- c. Mild: does not interfere with activity; Moderate: some interference with activity; Severe: prevents daily activity
- d. Mild: 1 to 2 times in 24 hours; Moderate: >2 times in 24 hours; Severe: requires intravenous hydration.
- e. Mild: 2 to 3 loose stools in 24 hours; Moderate: 4 to 5 loose stools in 24 hours; Severe: 6 or more loose stools in 24 hours
- f. Severity was not collected for use of antipyretic or pain medication.



PRODUCT NAME: COVID-19 mRNA Vaccine

CDS Version History:

CDS	Effective date	Sections changed
version number		B C C C C C C C C C C C C C C C C C C C
13	10-May-2022	4.2 Posology and method of administration 4.8 Undesirable effects
11	14-Jan-2022	4.8 Undesirable effects 5.1 Pharmacodynamic properties
10	21-Dec-2021	4.8 Undesirable effects 5.1 Pharmacodynamic properties Appendix A Appendix B



PRODUCT NAME: COVID-19 mRNA Vaccine

1. NAME OF THE MEDICINAL PRODUCT

No safety changes during the reporting period.

2. QUALITATIVE AND QUANTITATIVE COMPOSITION

No safety changes during the reporting period.

3. PHARMACEUTICAL FORM

No safety changes during the reporting period.

4. CLINICAL PARTICULARS

4.1 Therapeutic indications

No safety changes during the reporting period.

4.2 Posology and method of administration

Version 13 Effective Date: 10-May-2022 PfLEET: 2022-0077680

Safety/Non-safety: Safety

Content change:

...

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

Individuals 5 through <12 years of age

TRADENAME (for age 5 years to <12 years) is administered intramuscularly after dilution as a primary series of 2 doses (0.2 mL) at greater than or equal to 21 days (preferably 3 weeks) apart.⁷³

Booster dose in individuals 5 through <12 years of age

A booster dose of TRADENAME (for age 5 years to <12 years of age) may be administered intramuscularly at least 6 months after the second dose in individuals 5 years through <12 years of age.⁸⁴

TRADENAME (for age 5 years to <12 years) cannot be used in individuals 12 years of age and older.

Interchangeability

CDS Log initiated with CDS version 2

PFIZER CONFIDENTIAL

Page 2 of 20



PRODUCT NAME: COVID-19 mRNA Vaccine

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series.

[...]

4.3 Contraindications

No safety changes during the reporting period.

4.4 Special warnings and precautions for use

No safety changes during the reporting period.

4.5 Interaction with other medicinal products and other forms of interaction

No safety changes during the reporting period.

4.6 Fertility, pregnancy and lactation

No safety changes during the reporting period.

4.7 Effects on ability to drive and use machines

No safety changes during the reporting period.

4.8 Undesirable effects

Version 13 Effective Date: 10-May-2022 PfLEET: 2022-0077680

Safety/Non-safety: Safety

Content change:

Summary of safety profile

[...⁻

In Study C4591031 (Study 4), a placebo-controlled booster study, 5,081 participants 16 years of age and older were recruited from Study 2 to receive a booster dose of TRADENAME at least 6 months after the second dose. The overall safety profile for the booster dose was similar to that seen after 2 doses. 80

In a subset of Study 3 Phase 2/3 participants, 401 participants 5 through <12 years of age received a booster dose of TRADENAME at least 5 months after completing the primary series.

The overall safety profile for the booster dose was similar to that seen after the primary series. 84

Participants 16 years of age and older – after 2 doses

In Study 2, a total of 22,026 participants 16 years of age or older received at least 1 dose of TRADENAME and a total of 22,021 participants 16 years of age or older received placebo.⁵⁰

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[...]

Children 5 through < 12 years of age – after booster dose⁸⁴

In a subset from Study 3, a total of 401 children 5 through <12 years of age received a booster dose of TRADENAME 10 mcg at least 5 months (range of 5 to 9 months) after completing the primary series. The analysis of the Study 3 Phase 2/3 subset is based on data up to the cut-off date of March 22, 2022 (median follow-up time of 1.3 months).

The most frequent adverse reactions in participants 5 through <12 years of age were injection site pain (>70%), fatigue (>40%), headache (>30%), myalgia, chills, injection site redness, and swelling (>10%).

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
Blood and lymphatic system disorders	Lymphadenopathya
Metabolism and nutrition disorders	Decreased appetite
Nervous system disorders	Headache Lethargy
Gastrointestinal disorders	Nausea
Skin and subcutaneous tissue	Hyperhidrosis
disorders	Night sweats
Musculoskeletal and connective	Arthralgia
tissue disorders	Myalgia
General disorders and administration site conditions	Pyrexiab Chills Asthenia Malaise Fatigue Injection site pain Injection site swelling Injection site redness

- a. A higher frequency of lymphadenopathy was observed in participants 5 through <12 years of age in Study 3 (2.5% vs. 0.9%) and in participants 16 years of age and older in Study 4 (2.8% vs. 0.4%) receiving a booster dose compared to participants receiving 2 doses. A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses. Tight a participants receiving 2 doses.
- b. A higher frequency of pyrexia was observed after the second dose compared to the first dose. The preferred term pyrexia is a cluster term covering a lso body temperature increased.

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Version 11 Effective Date: 14-Jan-2022 PfLEET: 2021-0074658

2022-0074905

Safety/Non-safety: Safety

Content change:

Summary of safety profile

Adolescents 12 through 15 years of age – after 2 doses⁸¹

In an analysis of long-term safety follow-up in Study 2, 2,260 adolescents (1,131 TRADENAME; 1,129 placebo) were 12 through 15 years of age. Of these, 1,5591308 adolescents (786660 TRADENAME and 773648 placebo) have been followed for at least 2 \ge 4 months after the second dose. 41,42 The safety evaluation in Study 2 is ongoing.

Version 10 **Effective Date: 21-Dec-2021** PfLEET: 2021-0073899

Safety/Non-safety: Safety

Content change:

Summary of safety profile

[...]

Additionally, 306 existing Phase 3 participants at least 18 through 55 years of age received a booster dose (third dose) of TRADENAME approximately 6 months after the second dose in the non-placebo-controlled booster dose portion of Study 2. The overall safety profile for the booster dose (third dose) was similar to that seen after 2 doses.⁷¹

In Study C4591031 (Study 4), a placebo-controlled booster study, 5,081 participants 16 years of age and older were recruited from Study 2 to receive a booster dose of TRADENAME at least 6 months after the second dose. The overall safety profile for the booster dose was similar to that seen after 2 doses. 80

Participants 168 years of age and older – after booster dose (third dose)⁷¹

A subset from Study 2 Phase 2/3 participants of 306 adults at least 18 through 55 years of age who completed the primary TRADENAME 2-dose course, received a booster dose (third dose) of TRADENAME approximately 6 months (range of 4.8 to 8.0 months) after receiving Dose 2.

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PRODUCT NAME: COVID-19 mRNA Vaccine

[...]

In Study 4, a placebo-controlled booster study, participants 16 years of age and older recruited from Study 2 received a booster dose of TRADENAME (5,081 participants), or placebo (5,044 participants) at least 6 months after the second dose of TRADENAME. Overall, participants who received a booster dose, had a median follow-up time of 2.5 months after the booster dose to the cut-off date (5 October 2021).80

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
Blood and lymphatic system disorders	Lymphadenopathya
Metabolism and nutrition disorders	Decreased appetite
Nervous system disorders	Headache
	Lethargy
Gastrointestinal disorders	Nausea
Skin and subcutaneous tissue	Hyperhidrosis
disorders	Night sweats
Musculoskeletal and connective	Arthralgia
tissue disorders	Myalgia
General disorders and	Pyrexia ^b
administration site conditions	Chills
	Asthenia
	Malaise
	Fatigue
	Injection site pain
	Injection site swelling
	Injection site redness

- a. A higher frequency of lymphadenopathy (2.85.2% vs. 0.4%) was observed in participants receiving a booster dose (third dose) in Study 4 compared to participants receiving 2 doses.⁷¹
- b. A higher frequency of pyrexia was observed after the second dose compared to the first dose. The preferred term pyrexia is a cluster term covering also body temperature increased.

Table 2. Adverse Drug Reactions 38,64,80

System Organ Class	Adverse Drug Reactions
Immune system disorders	Anaphylaxis
	Hypersensitivity reactions (e.g., rash, pruritus, urticaria, angioedema)
Gastrointestinal disorders	Diarrhea Vomiting
Musculoskeletal and connective	Pain in extremity (arm) ²

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PRODUCT NAME: COVID-19 mRNA Vaccine

tissue disorders

a. A higher frequency of pain in extremity (1.1% vs. 0.8%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.

4.9 Overdose

No safety changes during the reporting period.

5. PHARMACOLOGICAL PROPERTIES

5.1 Pharmacodynamic properties

Version 11	Effective Date: 14-Jan-2022	PfLEET: 2021-0074658
		2022-0074905

Safety/Non-safety: Safety

Content change:

...

Efficacy and immunogenicity in adolescents 12 to 15 years of age – after 2 doses

. . .

An updated efficacy analysis of Study 2 has been performed in approximately 2,260 adolescents 12 through 15 years of age evaluating confirmed COVID-19 cases accrued up to a data cut-off date of September 2, 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.⁸¹

The updated vaccine efficacy information in adolescents 12 through 15 years of age is presented in Table 14.

Table 14: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without

Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After

Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 Through 15

Years of Age Evaluable Efficacy (7 Days) Population⁸¹

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years						
of	age without evidence of	orior SARS-CoV-2 infe	ction*			
	TRADENAME Placebo					
	<u>Cases</u> <u>Cases</u>					
	$\frac{\mathbf{n1^b}}{\mathbf{n1^b}}$					
	Surveillance Time ^c Surveillance Time ^c Vaccine Efficacy %					
	(n2d) (n2d) (95% CIe)					
Adolescents						
12 through 15 years	<u>ars</u> <u>0</u> <u>28</u> <u>100.0</u>					
of age	0.343 (1043)	0.322 (1019)	(86.8, 100.0)			

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First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years							
of ag	of age with or without evidence of prior SARS-CoV-2 infection						
	TRADENAME Placebo						
	N ² =1119 N ² =1109						
	Cases Cases						
	n1 ^b n1 ^b						
	Surveillance Time ^c Surveillance Time ^c Vaccine						
	$(n2^d)$	$(n2^d)$	(95% CI°)				
Adolescents							
12 through 15 years							
of age	0.362 (1098)	0.345 (1088)	(87.5, 100.0)				

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants a trisk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

Efficacy in children 5 through <12 years of age - after 2 doses

A descriptive efficacy analysis of Study 3 has been performed in 1,968 children 5 through 11 years of age without evidence of infection prior to 7 days after Dose 2. This analysis evaluated confirmed symptomatic COVID-19 cases accrued up to a data cut-off date of October 8, 2021.82

Table 15 presents the specific demographic characteristics in participants who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.

Table 15: Demographics Characteristics – Participants Without Evidence of Infection Prior to 7 Days After Dose 2 - Phase 2/3 - 5 Through 11 Years of Age - Evaluable Efficacy Population⁸²

	TRADENAME* 10 mcg/dose (N ² =1305) n ^b (%)	$\frac{\text{Placebo}}{\text{(N}^{\text{a}}=663)}$ $\frac{\text{n}^{\text{b}} \text{ (%)}}{\text{n}^{\text{b}} \text{ (%)}}$
Sex		
<u>Male</u>	679 (52.0)	343 (51.7)
Female	626 (48.0)	320 (48.3)
Age at Vaccination		

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8.2 (1.93)	8.1 (1.98)
8.0	8.0
(5, 11)	(5, 11)
PROPRIETATIONSCAN	MANUFACTURE AND ACCURATE
1018 (78.0)	514 (77.5)
76 (5.8)	48 (7.2)
<u><1.0%</u>	<1.0%
86 (6.6)	46 (6.9)
<1.0%	<1.0%
110 (8.4)	52 (7.8)
243 (18.6)	130 (19.6)
1059 (81.1)	533 (80.4)
<1.0%	<1.0%
262 (20.1)	133 (20.1)
1043 (79.9)	530 (79.9)
	(5, 11) 1018 (78.0) 76 (5.8) <1.0% 86 (6.6) <1.0% 110 (8.4) 243 (18.6) 1059 (81.1) <1.0% 262 (20.1)

- * Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = number of participants in the specified group from the evaluable efficacy population with no evidence of SARS CoV-2 infection prior to 7 days after Dose 2. This value is the denominator for the percentage calculations. Evaluable efficacy population included all eligible randomized participants who received all vaccination(s) as randomized within the predefined window, had no other important protocol deviations as determined by the clinician.
- b. n = Number of participants with the specified characteristic.
- c. Includes multiracial and not reported.
- d. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19 disease:

 defined as participants who had at least 1 of the prespecified comorbidities based on MMWR

 69(32);1081-1088 and/or obesity (BMI≥95th percentile).

The descriptive vaccine efficacy results in children 5 through 11 years of age without evidence of prior SARS-CoV-2 infection are presented in Table 16. None of the cases accrued met criteria for severe COVID-19 or multisystem inflammatory syndrome in children (MIS-C). No cases of COVID-19 were observed in either the vaccine group or the placebo group in participants with evidence of prior SARS-CoV-2 infection.⁸²

Table 16: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without

Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – Children 5 Through

11 Years of Age Evaluable Efficacy Population⁸²

First COVID-19 occurrence from 7 days after Dose 2 in children 5 through 11 years of								
28	ge without evidence of pr	rior SARS-CoV-2 infect	ion*					
	TRADENAME [±] Placebo							
	10 mcg/dose N ^a =663							
	N ^a =1305 Cases							
	Cases n1 ^b							
n1 ^b Surveillance Time ^c Vaccine Efficacy %								
	Surveillance Time ^c	$(n2^d)$	(95% CI)					

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	$(n2^d)$		
Children 5 through	3	16	90.7
11 years of age	0.322 (1273)	0.159 (637)	(67.7, 98.3)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; yomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- ± Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants a trisk for the endpoint.

. .

Version 10 Effective Date: 21-Dec-2021 PfLEET: 2021-0073899

Safety/Non-safety: Safety

Content change:

[...]

Efficacy

[...]

Immunogenicity in participants 18 years of age and older – after booster dose $\frac{(third\ dose)^{71}}{(third\ dose)^{11}}$

Effectiveness of a booster dose (third dose) of TRADENAME was demonstrated by evaluating noninferiority immune responses of SARS-CoV-2 NT50 1 month after a booster dose (third dose). In Study 2, an analysis of SARS-CoV-2 NT50 demonstrated non-inferior immune responses 1 month after a booster dose (third dose) compared to 1 month after Dose 2 in participants at least 18 through 55 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose (third dose), based on prespecified noninferiority criteria for both GMR and difference in seroresponse rates. Seroresponse for a participant was defined as achieving a ≥4-fold rise from baseline (before Dose 1) in NT50 (Table 16 and Table 17).

The SARS-CoV-2 NT50 GMR of 1 month after the booster dose (third dose) to 1 month after Dose 2 was 3.29 (2-sided 97.5% CI: 2.76, 3.91), which met the noninferiority criteria for GMR (lower bound of the 2-sided 97.5% CI >0.67 and point estimate of the GMR \geq 0.8).

[...]

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Relative vaccine efficacy in participants 16 years of age and older – after booster dose80

An interim efficacy analysis of Study 4, a placebo-controlled booster study, was performed in approximately 10,000 participants 16 years of age and older who were recruited from Study 2, evaluated confirmed COVID-19 cases accrued from at least 7 days after booster vaccination up to a data cut-off date of 5 October 2021, which represents a median of 2.5 months post-booster follow-up. Vaccine efficacy of the TRADENAME booster dose after the primary series relative to the placebo booster group who only received the primary series dose was assessed. The relative vaccine efficacy information for participants 16 years of age and older is presented in Table 18.

Table 18: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Booster Vaccination – Participants 16 Years of Age and Older Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Booster Vaccination – Evaluable Efficacy Population 80

First COVID-19 occ	First COVID-19 occurrence from 7 days after booster dose in participants without evidence of							
Composition and as environ Assessment and overlassing environment of the second and as environment	prior SARS-C	oV-2 infection*						
	Comirnaty Placebo							
	$N^a = 4695$	$N^2 = 4671$						
	Cases	Cases						
	n1 ^b	n1 ^b	Relative Vaccine					
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %					
	(n2 ^d)	(n2 ^d)	(95% CI ^f)					
First COVID-19	Anna an decomposition	ANNA DESCRIPTION OF THE PROPERTY OF THE PROPER	OLI TECHNICAL AND A STATE OF THE STATE OF TH					
occurrence from 7 days								
after booster	6	123	95.3					
vaccination	0.823 (4659)	$0.79\overline{2}$ (4614)	(89.5, 98.3)					
First COVID-19 o	ccurrence from 7 days after	er booster dose in particip:	ants with or without					
	evidence of prior Sa	ARS-CoV-2 infection						
	Comirnaty	Placebo						
	Na=4993	N ^a =4952						
	Cases	Cases						
	n1 ^b	n1 ^b	Relative Vaccine					
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %					
	$(n2^{\bar{d}})$	$(n2^d)$	(95% CI)					

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included; fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

124

0.835(4863)

- Participants who had no serological or virological evidence (prior to 7 days after receipt of the booster vaccination) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visit 1, and had a negative NAAT [nasal swab] at any unscheduled visit prior to 7 days after booster vaccination) were included in the analysis.
- N = Number of participants in the specified group.
- n1 = Number of participants meeting the endpoint definition.

0.871(4934)

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First COVID-19 occurrence from 7 days

after booster

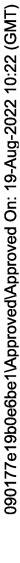
vaccination

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94.6

(88.5, 97.9)





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- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after the booster vaccination to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Relative vaccine efficacy of the Comirnaty booster group relative to the placebo group (non-booster).
- f. Two-sided confidence interval (CI) for relative vaccine efficacy is derived based on the Clopper and Pearson method a djusted for surveillance time.

5.2 Pharmacokinetic properties

No safety changes during the reporting period.

5.3 Preclinical safety data

No safety changes during the reporting period.

6. PHARMACEUTICAL PARTICULARS

6.1 List of excipients

No safety changes during the reporting period.

6.2 Incompatibilities

No safety changes during the reporting period.

6.3 Shelf life

No safety changes during the reporting period.

6.4 Special precautions for storage

No safety changes during the reporting period.

6.5 Nature and contents of container

No safety changes during the reporting period.

6.6 Special precautions for disposal and other handling

7. REFERENCES

No safety changes during the reporting period.

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Appendix A. Adverse Drug Reactions (ADRs) and Numeric Frequencies Listed in Order of Decreasing Frequency Within Each System Organ Class (SOC)

Version 10 Effective Date: 21-Dec-2021 PfLEET: 2021-0073899

Safety/Non-safety: Safety

Content change:

[...]

Table A-3. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing

Frequency within each System Organ Class: Individuals 5 to <12 Years of Age

(06 September 2021 Data Cut-off Date)⁶⁴

(vo September 2021 Dat	(06 September 2021 Data Cut-off Date)								
System Organ Class	ADR Term	Frequency							
		n/N (%)							
Blood and lymphatic system	Lymphadenopathy	13/1518 (0.9%)a							
disorders									
Immune system disorders	<u>Anaphylaxis</u> ^d								
	Hypersensitivity reactions								
	Rashd	5/1518 (0.3%) ^a							
	<u>Urticaria</u> ^d	3/1518 (0.2%) ^a							
	Pruritus ^d	1/1518 (0.1%) ^a							
	Angioedema ^{d,e}								
Metabolism and nutrition disorders	Decreased appetite	1/1518 (0.1%) ^a							
Nervous system disorders	<u>Headache</u>	579/1517 (38.2%) ^b							
	Lethargye								
Gastrointestinal disorders	Diarrhea ^d	146/1517 (9.6%) ^b							
	Vomiting ^d	60/1517 (4.0%) ^b							
	Nausea	6/1518 (0.4%) ²							
Skin and subcutaneous tissue	Hyperhidrosis ^e								
disorders	Night sweats ^e								
Musculoskeletal and connective	Myalgia (muscle pain)	266/1517 (17.5%) ^b							
tissue disorders	Arthralgia (joint pain) (new)	115/1517 (7.6%) ^b							
	Pain in extremity (arm)d	3/1518 (0.2%) ^a							
General disorders and administration	Injection site pain	1279/1517 (84.3%)°							
site conditions	Fatigue	785/1517 (51.7%) ^b							
	Injection site redness	<u>401/1517 (26.4%)</u> °							
	Injection site swelling	309/1517 (20.4%)°							
	Chills	188/1517 (12.4%) ^b							
	Pyrexia	126/1517 (8.3%) ^b							
	Malaise	2/1518 (0.1%) ^a							
	Astheniae								

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PRODUCT NAME: COVID-19 mRNA Vaccine

- Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term – Phase 2/3 – 5 to <12 Years of Age – Sa fety Population (Cut-off date: 06Sep2021).</p>
- Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06 Sep 2021).
- o These adverse reactions were identified in the post-authorization period.
- o The following events were not reported in participants 5 to <12 years of age in Study C4591007 but were reported in individuals >16 years of age in Study C4591001 (see Error! Reference source not found.): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-43. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

		Frequency
System Organ Class	ADR Term	n/N (%)
[]		

- * The booster dose (third dose) of BNT162b2 30 µg was a dministered to participants 18 to 55 years of age.
- a. A higher frequency of lymphadenopathy (5.2% vs. 0.4%) was observed in participants receiving a booster dose (third dose) compared to participants receiving 2 doses.
- b. [...]



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Table A-5. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing
Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects
(≥16 Years of Age) Who Received 1 Booster Dose of BNT162b2 (30 μg) in Study
C4591031 – Booster Safety Population (5 October 2021 Data Cut-off Date)^{64,80}

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathya	135/5055 (2.8%) ^b
Immune system disorders	Anaphylaxis ^c	
	Hypersensitivity reactions	
	Rash ^c	3/5055 (0.1%) ^b
	Pruritus ^c	3/5055 (0.1%) ^b
	Urticariac	2/5055 (0.04%) ^b
	Angioedema ^{c,d}	
Metabolism and nutrition disorders	Decreased appetite	9/5055 (0.2%) ^b
Nervous system disorders	Headache ^c	
	Lethargy	12/5055 (0.2%) ^b
Gastrointestinal disorders	Diarrhea ^{c,e}	
	Vomiting ^{c,e}	
	Nausea	48/5055_ (0.9%) ^b
Skin and subcutaneous tissue disorders	Night sweats	5/5055 (0.1%) ^b
	Hyperhidrosis	4/5055 (0.1%)b
Musculoskeletal and connective tissue	Myalgia (muscle pain) ^e	
disorders	Arthralgia (joint pain) (new)e	
	Pain in extremity (arm) ^c	54/5055 (1.1%) ^b
General disorders and administration	Injection site paine	
site conditions	Fatigue ^e	
	<u>Chills</u> ^e	
	Pyrexia ^{e,f}	
	Injection site swellinge	
	Injection site rednesse	
	Malaise	35/5055 (0.7%) ^b
	Asthenia	8/5055 (0.2%) ^b

- a. A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose (in Study C4591031) compared to participants receiving 2 doses.
- Source: Number (%) of Participants Reporting at Least 1 Adverse Event From Booster Vaccination to 1 Month After
 Booster Vaccination, by System Organ Class and Preferred Term Blinded Follow-up Period Safety Population (Cutoff date: 05October2021).
- c. These adverse reactions were identified in the post-authorization period.
- d. The following event wase not reported in the Study C4591031 but was reported in individuals ≥16 years of age 1 month after Dose 2 (Cut-off date: 13March2021): angioedema.
- e. Please see Table A-4 for the frequency of the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.
- f. The preferred term pyrexia is a cluster term also covering 'body temperature increased'.





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Appendix B. Adverse Drug Reactions (ADRs) and Council for International Organizations of Medical Sciences (CIOMS) Frequency Categories Listed in Order of Decreasing Medical Seriousness or Clinical Importance Within Each Frequency Category and SOC

Version 10 Effective Date: 21-Dec-2021 PfLEET: 2021-0073899

Safety/Non-safety: Safety

Content change:

Table B-1. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

						Frequency not known
		Common		Rare		(cannot be
	Very	≥1/100 to	Uncommon	≥1/10,000 to	Very	estimated
	Common	<1/10	≥1/1,000 to	<1/1,000	Rare	from the
	≥1/10	(≥1% to	<1/100	(≥0.01% to	<1/10,000	available
System Organ Class	(≥10%)	<10%)	(≥0.1% to <1%)	<0.1%)	(<0.01%)	data)
[]	•					

- *. CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.
- a. These adverse reactions were identified in the post-authorization period.
- b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, rash, and angioedema.

Table B-2. ADRs by SOC and CIOMS Frequency Category* Listed in order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)64

				Rare		Frequency not
	Very			≥1/10,000 to		known (cannot
	Common	Common	Uncommon	<1/1,000	Very Rare	be estimated
	≥1/10	≥1/100 to <1/10	≥1/1,000 to <1/100	(≥0.01% to	<1/10,000	from the
System Organ Class	(≥10%)	(≥1% to <10%)	(≥0.1% to <1%)	<0.1%)	(<0.01%)	available data)
[]						

- . CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.
- a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the 12 through 15 years of age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table B-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.
- b. The following events are categorized as hypersensitivity reactions: urticaria and rash.

Table B-3. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of

Decreasing Medical Seriousness Within Each Frequency Category and System Organ

Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

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PRODUCT NAME: COVID-19 mRNA Vaccine

	entineminimum glam	Common ≥1/100 to <1/10	w WCCESnessescommoCasinassinassinassinasinas	Rare ≥1/10,000 to ≤1/1,000	Very Rare	A HOLDON AND HOLDON AN
System Organ Class	<u>Common</u> ≥1/10 (≥10%)	(≥1% to <10%)	<u>≤1/100</u> (≥0.1% to <1%)	(<u>>0.01% to</u> <0.1%)	$\leq 1/10.000$ $(\leq 0.01\%)$	estimated from the available data)
Blood and lymphatic system disorders	- 1/10 V-10 / V/		Lymphadenopathy		1 20.01 /01	inc avammeta
Immune system disorders			<u>Urticaria^{a,b};</u> <u>Pruritus^{a,b};</u> Rash ^{a,b}			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	<u>Headache</u>					
Gastrointestinal disorders	l'	Diarrhea ^a : Vomiting ^a	<u>Nausea</u>		l'	
Musculoskeletal and connective tissue disorders	<u>Myalgia</u>	Arthralgia	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain: Fatigue; Chills: Injection site swelling; Injection site redness	<u>Pyrexia</u>	<u>Malaise</u>			

- *. CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.
- a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.
- b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash

Table B-4. ADRs by System Organ Class and CIOMS Frequency Category Listed in order of Decreasing Medical Seriousness within each Frequency Category and System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)

		Common		Rare		Frequency not
	Very	≥1/100 to	Uncommon	≥1/10,000 to	Very	known (cannot
	Common	<1/10	≥1/1,000-to	<1/1,000	Rare	be-estimated
	≥1/10	(≥1%-to	<1/100	(≥0.01% to	<1/10,000	from the
System-Organ-Class	(≥10%)	<10%)	(20.1% to <1%)	<0.1%)	(<0.01%)	available data)
Blood and lymphatic			Lymphadenopathy			
system disorders						
Immune system			Urticaria ***;			Anaphylaxis*
disorders			Pruritus ^{a,b} ;			
			Rash ^{a-b}			
Metabolism and			Decreased			
nutrition disorders			a ppetite			
Ne rvous system	Hea dache					
disorders						
Gastrointestinal		Diarrhea;*	Nausea			
disorders		Vomiting*				

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PRODUCT NAME: COVID-19 mRNA Vaccine

Musculoskeletal and	Mya lgia	Arthralgia	Pain in extremity		
connectivetissue		_	(arm)a		
disorders					
General disorders and	Injection-site	Pyrexia	Malaise		
administration site	pain;				
conditions	Fatigue;				
	Chills;				
	Injection site				
	swelling;				
	Injection site				
	redness				

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC:

BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cutoff Date)†*,64

				Rare		Frequency not
	Very		Uncommon	≥1/10,000 to		known (cannot
	Common	Common	≥1/1,000 to	<1/1,000	Very Rare	be estimated
	≥1/10	≥1/100 to <1/10	<1/100	(≥0.01% to	<1/10,000	from the
System Organ Class	(≥10%)	(≥1% to <10%)	(≥0.1% to <1%)	<0.1%)	(<0.01%)	available data)
[]						

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

Table B-5. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order
of Decreasing Medical Seriousness Within Each Frequency Category and System
Organ Class: Study C4591031† (5 October 2021 Data Cut-off Date)64

				Rare		Frequency not
	Very		Uncommon	≥1/10,000 to	Verv	known (cannot be
	Common	Common	≥1/1,000 to	$\leq 1/1,000$	Rare	estimated from
System Organ	<u>≥1/10</u>	$\geq 1/100$ to $\leq 1/10$	<1/100 (≥0.1%	(≥0.01% to	<1/10,000	the available
Class	(≥10%)	(≥1% to <10%)	to <1%)	<0.1%)	(<0.01%)	<u>data</u>)
Blood and		Lymphadenopathy				
lymphatic system						
disorders						
Immune system			Pruritus ^{a,b} ;	Urticaria*,b		Anaphylaxis ^a
<u>disorders</u>			Rash ^{a,b}			
Metabolism and			Decreased			
nutrition disorders			appetite appetite			

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b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash

[±] The booster dose (third dose) of BNT162b230 μg was administered to participants 18 to 55 years of age.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age 1 month after Dose 2 (Cutoff date: 13March2021)(see Table B-1): angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.



PRODUCT NAME: COVID-19 mRNA Vaccine

Nervous system		Lethargy		
<u>disorders</u>				
Gastrointestinal		<u>Nausea</u>		
<u>disorders</u>				
Skin and		Hyperhidrosis;		
subcutaneous		Night sweats		
tissue disorders				
<u>Musculoskeletal</u>	Pain in extremity			
and connective	(arm) ^a			
tissue disorders				
General disorders		Asthenia;		
and administration		<u>Malaise</u>		
site conditions				

^{*} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

Please see Table B-4 for the CIOMS Frequency Categories for the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.

The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

- a. These adverse reactions were identified in the post-authorization period.
- The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

[†] Study C4591031 included individuals≥16 years of age.

PRODUCT NAME: COVID-19 mRNA Vaccine

Appendix C. HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Frequency in the Safety Population Subset

No safety changes during the reporting period.

PREPARED BY PFIZER INC

CDS EFFECTIVE DATE: 02-DEC-2021

Date of Superseded CDS: 19-Oct-2021

COVID-19 mRNA Vaccine

CORE DATA SHEET

VERSION 9

1. NAME OF THE MEDICINAL PRODUCT

COVID-19 mRNA Vaccine (nucleoside modified) and COMIRNATY are called TRADENAME.

2. QUALITATIVE AND QUANTITATIVE COMPOSITION^{1,2,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): This is a multidose vial and must be diluted before use. One vial (0.45 mL) contains 6 doses of 0.3 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): This is a multidose vial. One vial (2.25 mL) contains 6 doses of 0.3 mL (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): This is a multidose vial and must be diluted before use. One vial (1.3 mL) contains 10 doses of 0.2 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.2 mL) contains 10 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

3. PHARMACEUTICAL FORM^{2,3,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): Concentrate for dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): Dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): Concentrate for dispersion for injection.

The vaccine is a white to off-white frozen solution.

4. CLINICAL PARTICULARS

4.1. Therapeutic indications

The following is a representative indication. Locally approved indications may differ.

Active immunization to prevent coronavirus disease 2019 (COVID-19) caused by SARS-CoV-2 virus, in individuals 5 years of age and older. 4,49,73

4.2. Posology and method of administration

Posology

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

Or

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Individuals 12 years of age and older

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) are administered intramuscularly as a primary series of 2 doses (0.3 mL each) at greater than or equal to 21 days (preferably 3 weeks) apart.^{5,49}

A booster dose (third dose) of TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) may be administered intramuscularly approximately 6 months after the second dose in individuals 16 years of age and older.⁷¹

Doses of TRADENAME (Dilute Before Use) concentrate for dispersion for injection (30 micrograms/dose) and TRADENAME (Do Not Dilute) dispersion for injection (30 micrograms/dose) vaccine are considered interchangeable.

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) intended for individuals ages 12 years and older cannot be used for individuals age 5 years to <12 years.

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series or the booster dose (third dose) has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series and for any additional doses.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

Individuals 5 through <12 years of age

TRADENAME (for age 5 years to <12 years) is administered intramuscularly after dilution as a primary series of 2 doses (0.2 mL) at greater than or equal to 21 days (preferably 3 weeks) apart.⁷³

TRADENAME (for age 5 years to <12 years) cannot be used in individuals 12 years of age and older.

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

Pediatric population

The safety and efficacy of TRADENAME in individuals under 5 years of age have not yet been established. The safety and effectiveness of a booster dose (third dose) of TRADENAME in individuals 16 through 17 years of age is based on safety and effectiveness data in adults at least 18 through 55 years of age.⁷¹

Geriatric population

Clinical studies of TRADENAME include participants 65 years of age and older and their data contributes to the overall assessment of safety and efficacy. Of the total number of TRADENAME recipients in Study 2 (N = 22,026), 16.5% (n = 3627) were 65 through 74 years of age and 4.2% (n = 925) were 75 years of age and older (see Section 5.1). The safety and effectiveness of a booster dose (third dose) of TRADENAME in individuals 65 years of age and older is based on safety and effectiveness data in adults at least 18 through 55 years of age.

Method of administration

Administer TRADENAME intramuscularly in the deltoid muscle.

Do not inject the vaccine intravascularly, subcutaneously, or intradermally.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

After dilution, vials of TRADENAME (Dilute Before Use) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead -volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Vials of TRADENAME (Do Not Dilute) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead-volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

After dilution, vials of TRADENAME (for age 5 years to <12 years) contains 10 doses of 0.2 mL of vaccine.

Individuals 5 through <12 years of age

Low dead-volume syringes and/or needles can be used to extract 10 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract 10 doses from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and content.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

4.3. Contraindications

Hypersensitivity to the active substance or to any of the excipients listed in Section 6.1.

4.4. Special warnings and precautions for use

Traceability

In order to improve the traceability of biological medicinal products, the name and the batch number of the administered product should be clearly recorded.

General recommendations

As with all injectable vaccines, appropriate medical treatment and supervision must always be readily available in case of a rare anaphylactic event following the administration of the vaccine.

Very rare cases of myocarditis and pericarditis have been reported following vaccination with TRADENAME. Typically, the cases have occurred more often in younger men and after the second dose of the vaccine and within 14 days after vaccination. These are generally mild cases and individuals tend to recover within a short time following standard treatment and rest. Healthcare professionals should be alert to the signs and symptoms of myocarditis and pericarditis in vaccine recipients.⁶⁹

The administration of TRADENAME should be postponed in individuals suffering from acute severe febrile illness.⁹

Individuals receiving anticoagulant therapy or those with a bleeding disorder that would contraindicate intramuscular injection, should not be given the vaccine unless the potential benefit clearly outweighs the risk of administration.⁹

Immunocompromised persons, including individuals receiving immunosuppressant therapy, may have a diminished immune response to the vaccine.

Some individuals may have stress-related responses associated with the process of vaccination itself. Stress-related responses are temporary and resolve on their own. They may include dizziness, fainting, palpitations, increases in heart rate, alterations in blood pressure, feeling short of breath, tingling sensations, sweating and/or anxiety. Individuals should be advised to bring symptoms to the attention of the vaccination provider for evaluation and precautions should be in place to avoid injury from fainting.⁶⁷

As with any vaccine, vaccination with TRADENAME may not protect all vaccine recipients.

4.5. Interaction with other medicinal products and other forms of interaction

No interaction studies have been performed.

Do not mix TRADENAME with other vaccines/products in the same syringe.

4.6. Fertility, pregnancy and lactation

Pregnancy

There are limited amount of data from the use of TRADENAME in pregnant women. Animal studies do not indicate direct or indirect harmful effects with respect to pregnancy, embryo/fetal development, parturition, or post-natal development (see Section 5.3). Administration of TRADENAME in pregnancy should be considered when the potential benefits outweigh any potential risks for the mother and fetus.

Lactation

It is unknown whether TRADENAME is excreted in human milk.

Fertility

It is unknown whether TRADENAME has an impact on fertility. Animal studies do not indicate direct or indirect harmful effects with respect to female fertility or reproductive toxicity (see Section 5.3).^{10,11}

4.7. Effects on ability to drive and use machines

TRADENAME has no or negligible influence on the ability to drive and use machines. However, some of the effects mentioned under Section 4.8 "Undesirable effects" may temporarily affect the ability to drive or use machines.

4.8. Undesirable effects

Summary of safety profile

The safety of TRADENAME was evaluated in participants 5 years of age and older in 3 clinical studies conducted in the United States, Europe, Turkey, South Africa, and South America. Study BNT162-01 (Study 1) enrolled 60 participants, 18 through 55 years of age and 36 participants, 56 through 85 years of age. Study C4591001 (Study 2) enrolled approximately 46,000 participants, 12 years of age or older. Study C4591007 (Study 3) enrolled approximately 2,300 participants 5 through less than 12 years of age.

Additionally, 306 existing Phase 3 participants at least 18 through 55 years of age received a booster dose (third dose) of TRADENAME approximately 6 months after the second dose. The overall safety profile for the booster dose (third dose) was similar to that seen after 2 doses.⁷¹

Participants 16 years of age and older – after 2 doses

In Study 2, a total of 22,026 participants 16 years of age or older received at least 1 dose of TRADENAME and a total of 22,021 participants 16 years of age or older received placebo.⁵⁰

The most frequent adverse reactions in participants 16 years of age and older that received 2 doses (in order from highest to lowest frequencies) were injection site pain (>80%), fatigue (>60%), headache (>50%), myalgia (>40%), chills (>30%), arthralgia (>20%), pyrexia and injection site swelling (>10%) and were usually mild or moderate in intensity and resolved within a few days after vaccination.⁶⁴ A lower frequency of reactogenicity events was associated with greater age.¹⁵

The safety profile in 545 participants receiving TRADENAME, that were seropositive for SARS-CoV-2 at baseline, was similar to that seen in the general population. 17,28,31

Study 2 also included 200 participants with confirmed stable human immunodeficiency virus (HIV) infection. The safety profile of the participants receiving TRADENAME (n = 100) in the individuals with stable HIV infection was similar to that seen in the general population.⁵¹

Adolescents 12 through 15 years of age – after 2 doses

In an analysis of Study 2, 2260 adolescents (1131 TRADENAME; 1129 placebo) were 12 through 15 years of age. Of these, 1308 adolescents (660 TRADENAME and 648 placebo) have been followed for at least 2 months after the second dose. 41,42 The safety evaluation in Study 2 is ongoing.

The most frequent adverse reactions in adolescents 12 through 15 years of age that received 2 doses were injection site pain (>90%), fatigue and headache (>70%), myalgia and chills (>40%), arthralgia and pyrexia (>20%). 43,44,45

Children 5 through <12 years of age – after 2 doses⁷³

In an analysis of Study 3 Phase 2/3, 2,268 participants (1,518 TRADENAME 10 mcg; 750 placebo) were 5 through <12 years of age. Of these, 2,158 (95.1%) (1,444 TRADENAME 10 mcg and 714 placebo) participants have been followed for at least 2 months after the second dose. The safety evaluation in Study 3 is ongoing.

The most frequent adverse reactions in children 5 through <12 years of age that received 2 doses included injection site pain (>80%), fatigue (>50%), headache (>30%), injection site redness and swelling (>20%), myalgia and chills (>10%).

Participants 18 years of age and older – after booster dose (third dose)⁷¹

A subset from Study 2 Phase 2/3 participants of 306 adults at least 18 through 55 years of age who completed the primary TRADENAME 2-dose course, received a booster dose (third dose) of TRADENAME approximately 6 months (range of 4.8 to 8.0 months) after receiving Dose 2.

The most frequent adverse reactions in participants 18 through 55 years of age were injection site pain (>80%), fatigue (>60%), headache (>40%), myalgia (>30%), chills and arthralgia (>20%).

Table 1. Adverse Drug Reactions 13,14,16,64

System Organ Class	Adverse Drug Reactions		
Blood and lymphatic system disorders	Lymphadenopathy ^a		
Metabolism and nutrition disorders	Decreased appetite		
Nervous system disorders	Headache		
	Lethargy		
Gastrointestinal disorders	Nausea		
Skin and subcutaneous tissue	Hyperhidrosis		
disorders	Night sweats		
Musculoskeletal and connective	Arthralgia		
tissue disorders	Myalgia		
General disorders and	Pyrexia		
administration site conditions	Chills		
	Asthenia		
	Malaise		
	Fatigue		
	Injection site pain		
	Injection site swelling		
	Injection site redness		

a. A higher frequency of lymphadenopathy (5.2% vs 0.4%) was observed in participants receiving a booster dose (third dose) compared to participants receiving 2 doses.⁷¹

Adverse reactions from TRADENAME post-authorization experience

The following events have been identified as adverse reactions during the post-authorization use of TRADENAME.

Table 2. Adverse Drug Reactions³⁸

System Organ Class	Adverse Drug Reactions
Immune system disorders	Anaphylaxis
	Hypersensitivity reactions (e.g., rash, pruritus, urticaria, angioedema)
Gastrointestinal disorders	Diarrhea Vomiting
Musculoskeletal and connective tissue disorders	Pain in extremity (arm)

4.9. Overdose

Participants who received 58 micrograms of TRADENAME in clinical trials did not report an increase in reactogenicity or adverse events.¹⁸

In the event of overdose, monitoring of vital functions and possible symptomatic treatment is recommended.

5. PHARMACOLOGICAL PROPERTIES

5.1. Pharmacodynamic properties

Pharmacological class, therapeutic class

Vaccines

Refer to the current ATC code index for the appropriate code assignment for the pharmacologic and/or therapeutic class.

Mechanism of action

The nucleoside-modified messenger RNA in TRADENAME is formulated in lipid nanoparticles, which enable delivery of the RNA into host cells to allow expression of the SARS-CoV-2 S antigen. The vaccine elicits both neutralizing antibody and cellular immune responses to the spike (S) antigen, which may contribute to protection against COVID-19.^{19,20}

Efficacy

Study 2 is a multicenter, placebo-controlled efficacy study in participants 12 years of age and older. Randomization was stratified by age: 12 through 15 years of age, 16 through 55 years of age, or 56 years of age and older, with a minimum of 40% of participants in the ≥56-year stratum. The study excluded participants who were immunocompromised and those who had previous clinical or microbiological diagnosis of COVID-19. Participants with pre-existing stable disease, defined as disease not requiring significant change in therapy or hospitalization for worsening disease during the 6 weeks before enrollment, were included as were participants with known stable infection with HIV, hepatitis C virus (HCV), or hepatitis B virus (HBV).

Efficacy in participants 16 years of age and older – after 2 doses

In the Phase 2/3 portion of Study 2, based on data accrued through 14 November 2020, approximately 44,000 participants 12 years of age and older were randomized equally and received 2 doses of COVID-19 mRNA Vaccine or placebo. The efficacy analyses included participants that received their second vaccination within 19 to 42 days after their first vaccination. The majority (93.1%) of vaccine recipients received the second dose 19 days to 23 days after Dose 1.⁵² Participants are planned to be followed for up to 24 months, for assessments of safety and efficacy against COVID-19. 12,27

The population for the analysis of the primary efficacy endpoint included, 36,621 participants 12 years of age and older (18,242 in the COVID-19 mRNA Vaccine group and 18,379 in the placebo group) who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.²² Table 3 presents the specific demographic characteristics in the studied population.

Table 3. Demographics (Population for the Primary Efficacy Endpoint)^{a,22}

	TRADENAME	Placebo	
	(N=18,242)	(N=18,379)	
	n (%)	n (%)	
Sex			
Male	9318 (51.1)	9225 (50.2)	
Female	8924 (48.9)	9154 (49.8)	
Age (years)			
Mean (SD)	50.6 (15.70)	50.4 (15.81)	
Median	52.0	52.0	
Min, max	(12, 89)	(12, 91)	
Age group			
12 to 15 years	46 (0.3)	42 (0.2)	
16 to 17 years	66 (0.4)	68 (0.4)	
16 to 64 years	14,216 (77.9)	14,299 (77.8)	
65 to 74 years	3176 (17.4)	3226 (17.6)	
≥75 years	804 (4.4)	812 (4.4)	
Race			
White	15,110 (82.8)	15,301 (83.3)	
Black or African American	1617 (8.9)	1617 (8.8)	
American Indian or Alaska Native	118 (0.6)	106 (0.6)	
Asian	815 (4.5)	810 (4.4)	
Native Hawaiian or other Pacific			
Islander	48 (0.3)	29 (0.2)	
Other ^b	534 (2.9)	516 (2.8)	
Ethnicity			
Hispanic or Latino	4886 (26.8)	4857 (26.4)	
Not Hispanic or Latino	13,253 (72.7)	13,412 (73.0)	
Not reported	103 (0.6)	110 (0.6)	
Comorbidities ^c			
Yes	8432 (46.2)	8450 (46.0)	
No	9810 (53.8)	9929 (54.0)	

- a. All eligible randomized participants who receive all vaccination(s) as randomized within the predefined window, have no other important protocol deviations as determined by the clinician, and have no evidence of SARS-CoV-2 infection prior to 7 days after Dose 2.
- b. Includes multiracial and not reported.
- c. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19.
 - Chronic lung disease (e.g., emphysema and chronic bronchitis, idiopathic pulmonary fibrosis, and cystic fibrosis) or moderate to severe asthma
 - Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension)
 - Obesity (body mass index ≥30 kg/m²)
 - Diabetes (Type 1, Type 2, or gestational)
 - Liver disease
 - Human Immunodeficiency Virus (HIV) infection (not included in the efficacy evaluation)

At the time of the primary efficacy analysis, participants had been followed for symptomatic COVID-19 for at least 2214 person-years for the COVID-19 mRNA Vaccine and at least 2222 person-years in the placebo group.³²

There were no meaningful clinical differences in overall vaccine efficacy in participants who were at risk of severe COVID-19 including those with 1 or more comorbidities that increase the risk of severe COVID-19 [e.g., asthma, body mass index (BMI) ≥30 kg/m², chronic pulmonary disease, diabetes mellitus, hypertension].^{23,24}

The vaccine efficacy information is presented in Table 4.

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

Enticacy	(/ Days) i opulation		
First COVID-19	occurrence from 7 days aft prior SARS-Co	er Dose 2 in participants v V-2 infection* ^{,34}	without evidence of
	TRADENAME N ^a =18,198	Placebo N ^a =18,325	
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	8	162	95.0
All participants ^e	2.214 (17,411)	2.222 (17,511)	$(90.3, 97.6)^{f}$
	7	143	95.1
16 to 64 years	1.706 (13,549)	1.710 (13,618)	$(89.6, 98.1)^g$
-	1	19	94.7
≥65 years	0.508 (3848)	0.511 (3880)	$(66.7, 99.9)^{g}$
•	1	14	92.9
65 to 74 years	0.406 (3074)	0.406 (3095)	$(53.1, 99.8)^g$
	0	5	100.0
≥75 years	0.102 (774)	0.106 (785)	(-13.1, 100.0) ^g

First COVID-1	9 occurrence from 7 days a evidence of prior SA	fter Dose 2 in participants RS-CoV-2 infection ²⁸	with or without*
	TRADENAME N ^a =19,965	Placebo N ^a =20,172	
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	9	169	94.6
All participants ^e	2.332 (18,559)	2.345 (18,708)	$(89.9, 97.3)^{f}$
	8	150	94.6
16 to 64 years	1.802 (14,501)	1.814 (14,627)	$(89.1, 97.7)^g$
	1	19	94.7
≥65 years	0.530 (4044)	0.532 (4067)	$(66.8, 99.9)^g$
•	1	14	92.9
65 to 74 years	0.424 (3239)	0.423 (3255)	$(53.2, 99.8)^g$
•	0	5	100.0
≥75 years	0.106 (805)	0.109 (812)	$(-12.1, 100.0)^{g}$

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Abbreviations: NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. No confirmed cases were identified in adolescents 12 to 15 years of age.
- f. Two-sided credible interval for vaccine efficacy (VE) was calculated using a beta-binomial model with a beta (0.700102, 1) prior for θ =r(1-VE)/(1+r(1-VE)), where r is the ratio of surveillance time in the active vaccine group over that in the placebo group.
- g. Two-sided confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted to the surveillance time.

The subgroup analyses of vaccine efficacy including demographic characteristics is presented in Table 5.

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

	· · · · · · · · · · · · · · · · · · ·	Dleashs	
	TRADENAME	Placebo	
	Na=18,198	Na=18,325	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
Sex			
	5	81	93.7
Female	1.090 (8536)	1.114 (8749)	(84.7, 98.0)
	3	81	96.4
Male	1.124 (8875)	1.108 (8762)	(88.9, 99.3)
Ethnicity			
Hispanic or	3	53	94.4
Latino	0.605 (4764)	0.600 (4746)	(82.7, 98.9)
Not			
Hispanic or	5	109	95.4
Latino	1.596 (12,548)	1.608 (12,661)	(88.9, 98.5)
Race			
Black or			
African	0	7	100.0
American	0.165 (1502)	0.164 (1486)	(31.2, 100.0)
	7	146	95.2
White	1.889 (14,504)	1.903 (14,670)	(89.8, 98.1)
	1	9	89.3
All others ^f	0.160 (1405)	0.155 (1355)	(22.6, 99.8)

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

Updated efficacy analyses were performed with additional confirmed COVID-19 cases accrued during blinded placebo-controlled follow-up through 13 March 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.

a. N = Number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

d. n2 = Number of participants at risk for the endpoint.

e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.

f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

The updated vaccine efficacy information is presented in Table 6.

Table 6. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period

	Days) Population During		
First COVID-19 o	occurrence from 7 days aft		without evidence of
		V-2 infection*,53	
	TRADENAME		
	N ^a =20,998	Placebo	
	Cases	N ^a =21,096 Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)		(95% CI ^e)
	77	850	91.3
All participants ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
	70	710	90.6
16 through 64 years	4.859 (15,519)	4.654 (15,515)	(87.9, 92.7)
	7	124	94.5
65 years and older	1.233 (4192)	1.202 (4226)	(88.3, 97.8)
65 through	6	98	94.1
74 years	0.994 (3350)	0.966 (3379)	(86.6, 97.9)
75 years and	1	26	96.2
older	0.239 (842)	0.237 (847)	(76.9, 99.9)
First COVID-19	occurrence from 7 days a		s with or without*
	evidence of prior SA	RS-CoV-2 infection ⁵⁴	
	TRADENAME	Placebo	
	N ^a =22,166	N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
_	81	873	91.1
All participants ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
	74	727	90.2
16 through 64 years	5.073 (16,218)	4.879 (16,269)	(87.6, 92.4)
	7	128	94.7
65 years and older	1.267 (4315)	1.232 (4326)	(88.7, 97.9)
65 through	6	102	94.3
74 years	1.021 (3450)	0.992 (3468)	(87.1, 98.0)
75 years and	1	26	96.2
older	0.246 (865)	0.240 (858)	(77.2, 99.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group (both <u>without</u> and <u>with or without</u> evidence of prior SARS-CoV-2 infection); 16 and 18 in the placebo group (<u>without</u> and <u>with or without</u> evidence of prior SARS-CoV-2 infection, respectively).

The updated subgroup analyses of vaccine efficacy by demographic characteristics are presented in Table 7 and Table 8.

Table 7. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics — Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

	TRADENAME N ^a =20,998 Cases n1 ^b	Placebo N ^a =21,096 Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^e (n2 ^a)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	42	399	90.1
Male	3.246 (10,637)	3.047 (10,433)	(86.4, 93.0)
	35	451	92.4
Female	3.001 (10075)	2.956 (10,280)	(89.2, 94.7)
Ethnicity			
	29	241	88.5
Hispanic or Latino	1.786 (5161)	1.711 (5120)	(83.0, 92.4)
Not Hispanic or	47	609	92.6
Latino	4.429 (15,449)	4.259 (15,484)	(90.0, 94.6)
Race			
Black or African	4	48	91.9
American	0.545 (1737)	0.527 (1737)	(78.0, 97.9)
	67	747	91.3
White	5.208 (17,186)	5.026 (17,256)	(88.9, 93.4)
	6	55	90.0
All others ^f	0.494 (1789)	0.451 (1720)	(76.9, 96.5)

Table 7. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics — Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

	TRADENAME Na=20,998 Cases n1b	Placebo N ^a =21,096 Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Country			
	15	108	86.5
Argentina	1.012 (2600)	0.986 (2586)	(76.7, 92.7)
	12	80	86.2
Brazil	0.406 (1311)	0.374 (1293)	(74.5, 93.1)
	0	1	100.0
Germany	0.047 (236)	0.048 (242)	(-3874.2, 100.0)
	0	9	100.0
South Africa	0.080 (291)	0.074 (276)	(53.5, 100.0)
	0	5	100.0
Turkey	0.027 (228)	0.025 (222)	(-0.1, 100.0)
	50	647	92.6
United States	4.674 (16,046)	4.497 (16,094)	(90.1, 94.5)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

Topulation	TRADENAME	Placebo	
	Na=22,166	N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup		Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			(
	44	411	89.9
Male	3.376 (11,103)	3.181 (10,920)	(86.2, 92.8)
	37	462	92.1
Female	3.133 (10,539)	3.093 (10,769)	(88.9, 94.5)
Ethnicity			, ,
	32	245	87.4
Hispanic or Latino	1.862 (5408)	1.794 (5391)	(81.8, 91.6)
Not Hispanic or	48	628	92.6
Latino	4.615 (16,128)	4.445 (16,186)	(90.1, 94.6)
Race			
Black or African	4	49	92.0
American	0.611 (1958)	0.601 (1985)	(78.1, 97.9)
	69	768	91.3
White	5.379 (17,801)	5.191 (17,880)	(88.9, 93.3)
	8	56	86.8
All others ^f	0.519 (1883)	0.481 (1824)	(72.1, 94.5)
Country			
	16	110	85.7
Argentina	1.033 (2655)	1.017 (2670)	(75.7, 92.1)
	14	82	84.2
Brazil	0.441 (1419)	0.408 (1401)	(71.9, 91.7)
	0	1	100.0
Germany	0.047 (237)	0.048 (243)	(-3868.6, 100.0)
	0	10	100.0
South Africa	0.099 (358)	0.096 (358)	(56.6, 100.0)
	0	6	100.0
Turkey	0.029 (238)	0.026 (232)	(22.2, 100.0)
	51	664	92.6
United States	4.861 (16,735)	4.678 (16,785)	(90.2, 94.6)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

	TRADENAME	Placebo	
	N ^a =22,166 Cases	N ² =22,320 Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e

- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

The subgroup analyses of vaccine efficacy by risk status in participants is presented in Table 9.

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

Dose 2 – Evaluable Efficacy (7 Days) Fopulation			
	TRADENAME N°=18,198	Placebo Na=18,325	
Efficacy	Cases	Cases	
Endpoint	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
First COVID-19	occurrence from 7 days after	r Dose 2	
At risk ^f			
	4	86	95.3
Yes	1.025 (8030)	1.025 (8029)	(87.7, 98.8)
	4	76	94.7
No	1.189 (9381)	1.197 (9482)	(85.9, 98.6)
Age group (year	s) and at risk		
16 to 64 and	4	69	94.2
not at risk	0.962 (7671)	0.964 (7701)	(84.4, 98.5)
16 to 64 and	3	74	95.9
at risk	0.744 (5878)	0.746 (5917)	(87.6, 99.2)
≥65 and not	0	7	100.0
at risk	0.227 (1701)	0.233 (1771)	(29.0, 100.0)
≥65 and at	1	12	91.7
risk	0.281 (2147)	0.279 (2109)	(44.2, 99.8)

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

2030	Dose 2 - Evaluable Efficacy (7 Days) I opulation			
	TRADENAME	Placebo		
	N ^a =18,198	N ^a =18,325		
Efficacy	Cases	Cases		
Endpoint	n1 ^b	n1 ^b	Vaccine Efficacy %	
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)	
Obeseg				
	3	67	95.4	
Yes	0.763 (6000)	0.782 (6103)	(86.0, 99.1)	
	5	95	94.8	
No	1.451 (11,406)	1.439 (11,404)	(87.4, 98.3)	
Age group (years	s) and obese			
16 to 64 and	4	83	95.2	
not obese	1.107 (8811)	1.101 (8825)	(87.3, 98.7)	
16 to 64 and	3	60	94.9	
obese	0.598 (4734)	0.609 (4789)	(84.4, 99.0)	
≥65 and not	1	12	91.8	
obese	0.343 (2582)	0.338 (2567)	(44.5, 99.8)	
≥65 and	0	7	100.0	
obese	0.165 (1265)	0.173 (1313)	(27.1, 100.0)	

Abbreviations: BMI = body mass index; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

- a. N = number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted for surveillance time
- f. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m²).
- g. Obese is defined as BMI \geq 30 kg/m².

The updated subgroup analyses of vaccine efficacy by risk status in participants followed up to 6 months after Dose 2 (with a cut-off date of 13 March 2021) are presented in Table 10 and Table 11.

^{*} Subjects who had no serological or virological evidence (prior to 7 days after receipt of the last dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

Table 10. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁵

Follow-uj		Т	
	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
First COVID-19			
occurrence from	77	850	91.3
7 days after Dose 2 ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
At risk ^g			
	35	401	91.6
Yes	2.797 (9167)	2.681 (9136)	(88.2, 94.3)
	42	449	91.0
No	3.450 (11,545)	3.322 (11,577)	(87.6, 93.6)
Age group (years) as	nd risk status		
16 through 64 and	41	385	89.8
not at risk	2.776 (8887)	2.661 (8886)	(85.9, 92.8)
16 through 64 and	29	325	91.5
at risk	2.083 (6632)	1.993 (6629)	(87.5, 94.4)
65 and older and	1	53	98.1
not at risk	0.553 (1870)	0.546 (1922)	(89.2, 100.0)
65 and older and	6	71	91.8
at risk	0.680 (2322)	0.656 (2304)	(81.4, 97.1)
Obese ^h		•	•
	27	314	91.6
Yes	2.103 (6796)	2.050 (6875)	(87.6, 94.6)
	50	536	91.1
No	4.143 (13,911)	3.952 (13,833)	(88.1, 93.5)
Age group (years) as			
16 through 64 and		444	90.1
not obese	3.178 (10,212)	3.028 (10,166)	(86.6, 92.9)
16 through 64 and	24	266	91.3
obese	1.680 (5303)	1.624 (5344)	(86.7, 94.5)
65 and older and	4	79	95.2
not obese	0.829 (2821)	0.793 (2800)	(87.1, 98.7)
65 and older and	3	45	93.2
obese	0.404 (1370)	0.410 (1426)	(78.9, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 Years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For 12 through 15 years age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME N°=22,166	Placebo N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)		(95% CI) ^e
First COVID-19			
occurrence from	81	873	91.1
7 days after Dose 2 ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
At risk ^g		, , , , , , , , , , , , , , , , , , , ,	, , ,
	36	410	91.6
Yes	2.925 (9601)	2.807 (9570)	(88.1, 94.2)
	45	463	90.6
No	3.584 (12,041)	3.466 (12,119)	(87.2, 93.2)
Age group (years) an	d risk status		
16 through 64 and	44	397	89.3
not at risk	2.887 (9254)	2.779 (9289)	(85.4, 92.4)
16 through 64 and	30	330	91.3
at risk	2.186 (6964)	2.100 (6980)	(87.3, 94.2)
65 and older and	1	55	98.2
not at risk	0.566 (1920)	0.559 (1966)	(89.6, 100.0)
65 and older and at	6	73	92.1
risk	0.701 (2395)	0.672 (2360)	(82.0, 97.2)

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TONOW-up 1 Criou		
	TRADENAME	Placebo	
	Na=22,166	$N^a=22,320$	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Obese ^h			
	28	319	91.4
Yes	2.207 (7139)	2.158 (7235)	(87.4, 94.4)
	53	554	90.8
No	4.301 (14,497)	4.114 (14,448)	(87.9, 93.2)
Age group (years) ar	nd obesity status		
16 through 64 and	49	458	89.8
not obese	3.303 (10,629)	3.158 (10,614)	(86.2, 92.5)
16 through 64 and	25	269	91.0
obese	1.768 (5584)	1.719 (5649)	(86.4, 94.3)
65 and older and	4	82	95.3
not obese	0.850 (2899)	0.811 (2864)	(87.6, 98.8)
65 and older and	3	46	93.4
obese	0.417 (1415)	0.420 (1462)	(79.5, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 to 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For the 12 through 15 years of age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Efficacy against severe COVID-19 - after 2 doses

Secondary efficacy analyses suggested benefit of the COVID-19 mRNA Vaccine in preventing severe COVID-19.

As of 14 November 2020, efficacy against severe COVID-19 (as defined by the study protocol) occurring after the first dose was 88.9% (95% CI: 20.1, 99.7) (1 case in COVID-19 mRNA Vaccine group and 9 cases in placebo group), with an estimated vaccine efficacy of 75.0% (95% CI: -152.6, 99.5) (1 case in COVID-19 mRNA Vaccine group and 4 cases in placebo group) against severe COVID-19 occurring at least 7 days after Dose 2.36 Efficacy against severe COVID-19, defined by the Centers for Disease Control and Prevention as hospitalization, admission to the Intensive Care Unit, intubation or mechanical ventilation, or death occurring after the first dose, was 92.9% (95% CI: 53.2, 99.8) (1 case in COVID-19 mRNA Vaccine group and 14 cases in placebo group).³⁷

As of 13 March 2021, vaccine efficacy against severe COVID-19 is presented only for participants with or without prior SARS-CoV-2 infection (Table 12) as the COVID-19 case counts in participants without prior SARS-CoV-2 infection were the same as those in participants with or without prior SARS-CoV-2 infection in both the TRADENAME and placebo groups.

Table 12. Vaccine Efficacy – First Severe COVID-19 Occurrence in Participants With or Without* Prior SARS-CoV-2 Infection Based on FDA[†] or Centers for Disease Control and Prevention (CDC)[‡] Definition After Dose 1 or From 7 Days After Dose 2 in the Placebo-Controlled Follow-up

Dose 2 in the Placebo-Controlled Follow-up						
Vaccine Efficacy – First Severe COVID-19 Occurrence Based on FDA Definition ^{57,58}						
_	TRADENAME					
	Cases	Cases				
	n1ª	n1ª	Vaccine Efficacy %			
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI ^c)			
	1	30	96.7			
After Dose 1 ^d	8.439° (22,505)	8.288 ^e (22,435)	(80.3, 99.9)			
	1	21	95.3			
7 days after Dose 2 ^f	6.522g (21,649)	6.404g (21,730)	(70.9, 99.9)			
Vaccine Efficacy	- First Severe COVID-19	Occurrence Based on C	DC Definition ^{59,60}			
_	TRADENAME	Placebo				
	Cases	Cases				
	n1 ^a	n1ª	Vaccine Efficacy %			
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI°)			
	1	45	97.8			
After Dose 1 ^d	8.427 ^e (22,473)	8.269 ^e (22,394)	(87.2, 99.9)			
	0	32	100			
7 days after Dose 2 ^f	6.514 ^g (21,620)	$6.391^{g}(21,693)$	(88.0, 100.0)			

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- † Severe illness from COVID-19 as defined by FDA is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Clinical signs at rest indicative of severe systemic illness (respiratory rate ≥30 breaths per minute, heart rate ≥125 beats per minute, saturation of oxygen ≤93% on room air at sea level, or ratio of arterial oxygen partial pressure to fractional inspired oxygen <300 mm Hg);

- Respiratory failure [defined as needing high-flow oxygen, noninvasive ventilation, mechanical ventilation or extracorporeal membrane oxygenation (ECMO)];
- Evidence of shock (systolic blood pressure <90 mm Hg, diastolic blood pressure <60 mm Hg, or requiring vasopressors);
- Significant acute renal, hepatic, or neurologic dysfunction;
- Admission to an Intensive Care Unit;
- Death.
- \$\frac{1}{2}\$ Severe illness from COVID-19 as defined by CDC is confirmed COVID-19 and presence of at least 1 of the following:\(^{61}\)
 - Hospitalization;
 - Admission to the Intensive Care Unit;
 - Intubation or mechanical ventilation;
 - Death.
- a. n1 = Number of participants meeting the endpoint definition.
- b. n2 = Number of participants at risk for the endpoint.
- c. Two-side confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- d. Efficacy assessed based on the Dose 1 all-available efficacy (modified intention-to-treat) population that included all randomized participants who received at least 1 dose of study intervention.⁶²
- e. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from Dose 1 to the end of the surveillance period.
- f. Efficacy assessed based on the evaluable efficacy (7 Days) population that included all eligible randomized participants who receive all dose(s) of study intervention as randomized within the predefined window, have no other important protocol deviations as determined by the clinician.⁶²
- g. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

Efficacy and immunogenicity in adolescents 12 to 15 years of age - after 2 doses

An analysis of Study 2 has been performed in adolescents 12 to 15 years of age up to a data cut-off date of 13 March 2021.

The vaccine efficacy information in adolescents 12 to 15 years of age is presented in Table 13.

Table 13. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 to 15 Years of Age Evaluable Efficacy (7 Days) Population

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age							
	without evidence of p	prior SARS-CoV-2 infection	* ,46				
	TRADENAME	Placebo					
	$N^a=1005$	N ^a =978					
	Cases	Cases					
	n1 ^b	n1 ^b	Vaccine Efficacy %				
	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)				
Adolescents							
12 to							
15 Years of	0	16	100.0				
Age	0.154 (1001)	0.147 (972)	(75.3, 100.0)				

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age with or without* evidence of prior SARS-CoV-2 infection ⁴⁷						
	TRADENAME N ^a =1119 Cases n1 ^b Surveillance Time ^c (n2 ^d)	$\begin{array}{c} Placebo \\ N^a = 1110 \\ Cases \\ n1^b \\ Surveillance Time^c (n2^d) \end{array}$	Vaccine Efficacy % (95% CI°)			
Adolescents 12 to	0	10	100.0			
15 Years of Age	0.170 (1109)	18 0.163 (1094)	100.0 (78.1, 100.0)			

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

In Study 2 an analysis of SARS-CoV-2 neutralizing titers in a randomly selected subset of participants was performed to demonstrate non-inferior immune responses (within 1.5-fold) comparing adolescents 12 to 15 years of age to participants 16 to 25 years of age who had no serological or virological evidence of past SARS-CoV-2 infection. The immune response to TRADENAME in adolescents 12 to 15 years of age (n=190) was non-inferior to the immune response in participants 16 to 25 years of age (n=170), based on results for SARS-CoV-2 neutralizing titers at 1 month after Dose 2. The geometric mean titers (GMT) ratio of the adolescents 12 to 15 years of age group to the participants 16 to 25 years of age group was 1.76, with a 2-sided 95% CI of 1.47 to 2.10, meeting the 1.5-fold non-inferiority criterion (the lower bound of the 2-sided 95% CI for the geometric mean ratio [GMR] >0.67) which indicates a statistically greater response in the adolescents 12 to 15 years of age than that of participants 16 to 25 years of age.

<u>Immunogenicity in children 5 through <12 years of age – after 2 doses</u>⁷³

Study 3 is a Phase 1/2/3 study comprised of an open-label vaccine dose-finding portion (Phase 1) and a multicenter, multinational, randomized, saline placebo-controlled, observer-blind efficacy portion (Phase 2/3) that has enrolled participants 5 through <12 years of age.

In Study 3, an analysis of SARS-CoV-2 50% neutralizing titers (NT50) 1 month after Dose 2 in a randomly selected subset of participants demonstrated effectiveness by immunobridging of immune responses comparing children 5 through less <12 years of age in the Phase 2/3 part of Study 3 to participants 16 through 25 years of age in the Phase 2/3 part of Study 2 who had no

serological or virological evidence of past SARS-CoV-2 infection up to 1 month after Dose 2, meeting the prespecified immunobridging criteria for both the GMR and the seroresponse difference with seroresponse defined as achieving at least 4-fold rise in SARS-CoV-2 NT50 from baseline (before Dose 1).

The ratio of the SARS-CoV-2 NT50 in children 5 through <12 years of age to that of young adults 16 to 25 years of age was 1.04 (2-sided 95% CI: 0.93, 1.18), as presented in Table 14.

Table 14: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of Children 5 Through Less Than 12 Years of Age (Study 3) to Participants 16 Through 25 Years of Age (Study 2) – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Dose 2 Evaluable Immunogenicity Population⁷³

_			ENAME	5 Through <12 Years/ 16 Through 25 Years	
		10 mcg/Dose 5 Through <12 Years n ^a =264	30 mcg/Dose 16 Through 25 Years n ^a =253		
Assay	Time Point ^b	GMT° (95% CI°)	GMT ^c (95% CI ^c)	GMR ^d (95% CI ^d)	Met Immunobridging Objectivee (Y/N)
SARS-CoV-2					
neutralization assay - NT50	1 month after	1197.6	1146.5	1.04	
(titer) ^f	Dose 2	(1106.1, 1296.6)	(1045.5, 1257.2)	(0.93, 1.18)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. n = Number of participants with valid and determinate assay results for the specified assay at the given dose/sampling time point.
- b. Protocol-specified timing for blood sample collection.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- d. GMRs and 2-sided 95% CIs were calculated by exponentiating the mean difference of the logarithms of the titers (Group 1[5 through <12 years of age] Group 2 [16 through 25 years of age]) and the corresponding CI (based on the Student t distribution).
- e. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the GMR is greater than 0.67 and the point estimate of the GMR is \geq 0.8.
- f. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Among participants without prior evidence of SARS-CoV-2 infection up to 1 month after Dose 2, 99.2% of children 5 through less than 12 years of age and 99.2% of participants 16 through

25 years of age had a seroresponse from before vaccination to 1 month after Dose 2. The difference in proportions of participants who had seroresponse between the 2 age groups (children – young adult) was 0.0% (2-sided 95% CI: -2.0%, 2.2%), as presented in Table 15.

Table 15: Difference in Percentages of Participants With Seroresponse – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Immunobridging Subset – Phase 2/3 – Comparison of 5 Through <12 Years of Age to Study 2 Phase 2/3 16 Through 25 Years of Age – Evaluable Immunogenicity Population⁷³

		Pfizer-BioNTech COVID-19 Vaccine			
		Study 3 10 mcg/Dose 5 Through < 12 Years N ^a =264	Study 2 30 mcg/Dose 16 Through 25 Years N ^a =253	5 Through <12 Years / 16 Through 25 Years	
Assay	Time Point ^b	n ^c (%) (95% CI ^d)	n° (%) (95% CI ^d)	Difference %e (95% CIf)	Met Immunobridging Objective ^g (Y/N)
SARS-CoV-2 neutralization assay - NT50 (titer) ^h	1 month after Dose 2	262 (99.2) (97.3, 99.9)	251 (99.2) (97.2, 99.9)	0.0 (-2.0, 2.2)	Y

Abbreviations: LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer 50; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. N = number of participants with valid and determinate assay results both before vaccination and at 1 month after Dose 2. These values are the denominators for the percentage calculations.
- b. Protocol-specified timing for blood sample collection.
- c. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- d. Exact 2-sided CI based on the Clopper and Pearson method.
- e. Difference in proportions, expressed as a percentage (Group 1 [5 through < 12 years of age] Group 2 [16 through 25 years of age]).
- f. 2-Sided CI, based on the Miettinen and Nurminen method for the difference in proportions, expressed as a percentage.
- g. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the difference in proportions is greater than -10.0%.
- h. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Immunogenicity in participants 18 years of age and older – after booster dose (third dose)⁷¹

Effectiveness of a booster dose (third dose) of TRADENAME was demonstrated by evaluating noninferiority immune responses of SARS-CoV-2 NT50 1 month after a booster dose (third dose). In Study 2, an analysis of SARS-CoV-2 NT50 demonstrated non-inferior immune responses 1 month after a booster dose (third dose) compared to 1 month after Dose 2 in participants at least 18 through 55 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose (third dose), based on prespecified noninferiority criteria for both GMR and difference in seroresponse rates. Seroresponse for a participant was defined as achieving a ≥4-fold rise from baseline (before Dose 1) in NT50 (Table 16 and Table 17).

The SARS-CoV-2 NT50 GMR of 1 month after the booster dose (third dose) to 1 month after Dose 2 was 3.29 (2-sided 97.5% CI: 2.76, 3.91), which met the noninferiority criteria for GMR (lower bound of the 2-sided 97.5% CI >0.67 and point estimate of the GMR \geq 0.8).

A high proportion of participants (99.5%) had seroresponse 1 month after Dose 3 compared with 98.0% 1 month after Dose 2. The difference in proportions of participants with a seroresponse 1 month after the booster (Dose 3) and 1 month after Dose 2 (Dose 3 minus Dose 2) was 1.5% (2-sided 97.5% CI: -0.7%, 3.7%), which met the 10% noninferiority criterion (i.e., lower bound of the 2-sided 97.5% CI >-10%).

Table 16: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

Evaluable inimunogenicity i opulation							
		TRADENAME Sampling Time Point					
Assay	n ^a	1 Month After Booster Dose GMT ^b (95% CI ^b)	1 Month After Dose 2 GMT ^b (95% CI ^b)	1 Month After Booster Dose - 1 Month After Dose 2 GMR ^c (97.5% CI ^c)	Met Noninferiority Objective ^d (Y/N)		
	11	()3/0 C1)	(75 /0 C1)	(77.570 C1)	(1/11)		
SARS-CoV-2							
neutralization assay -							
reference strain -		2476.4	753.7	3.29			
NT50 (titer) ^e	210	(2210.1, 2774.9)	(658.2, 863.1)	(2.76, 3.91)	Y		

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of a booster dose of COMIRNATY) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after the booster dose were included in the analysis.
- a. n = Number of participants with valid and determinate assay results at both sampling time points within specified window.
- b. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.

- c. GMRs and 2-sided 97.5% CIs were calculated by exponentiating the mean differences in the logarithms of the assay and the corresponding CIs (based on the Student t distribution).
- d. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the GMR is >0.67 and the point estimate of the GMR is ≥0.80.
- e. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Table 17: Percentage Difference of Participants Achieving Seroresponse – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Phase 3 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

2 variable immunogementy i optimion							
	TRADENAME Sampling Time Point		Difference (1 Month After				
		1 Month After Booster Dose	1 Month After Dose 2	Booster Dose - 1 Month After Dose 2)	Met Noninferiority		
		n ^b	n ^b	% ^d	Objective ^f		
Assay	Na	% (95% CI°)	% (95% CI°)	(97.5% CI ^e)	(Y/N)		
SARS-CoV-2 neutralization assay -					·		
reference strain -		197	194	1.5			
NT50 (titer) ^g	198	99.5 (97.2, 100.0)	98.0 (94.9, 99.4)	(-0.7, 3.7)	Y		

Abbreviations: CI = confidence interval; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of booster dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after booster dose were included in the analysis.
- a. N = number of participants with valid and determinate assay results for the specified assay at baseline, 1 month after Dose 2 and 1 month after the booster dose within specified window. These values are the denominators for the percentage calculations.
- b. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- c. Exact 2-sided CI based on the Clopper and Pearson method.
- d. Difference in proportions, expressed as a percentage (1 month after booster dose 1 month after Dose 2).
- e. Adjusted Wald 2-sided CI for the difference in proportions, expressed as a percentage.
- f. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the percentage difference is >-10%.
- g. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

5.2. Pharmacokinetic properties

Not applicable.

5.3. Preclinical safety data

Non-clinical data reveal no special hazard for humans based on conventional studies of repeat dose toxicity and reproduction and developmental toxicity.^{10,11}

6. PHARMACEUTICAL PARTICULARS

6.1. List of excipients^{2,3,74}

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose.**]

TRADENAME (Dilute Before Use)

(4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Potassium chloride

Potassium dihydrogen phosphate

Sodium chloride

Disodium hydrogen phosphate dihydrate

Sucrose

Water for injections

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose.]**

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, 10 micrograms/dose.]

TRADENAME (for age 5 years to <12 years)

((4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC-0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Tromethamine

Tromethamine hydrochloride

Sucrose

Water for injections

6.2. Incompatibilities

This medicinal product must not be mixed with other medicinal products except those mentioned in Sections 6.3 and 6.6.

6.3. Shelf life

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Dilute Before Use)

Unopened vial

9 months at -90 °C to -60 °C.^{63,70}

Alternatively, unopened vials may be stored and transported at -25 °C to -15 °C for a total of 2 weeks and can be returned to -90 °C to -60 °C.³⁹

Once removed from the freezer, the unopened vial can be stored for up to 1 month at 2 °C to 8 °C. Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.^{29,63} Prior to use, the unopened vial can be stored for up to 2 hours at temperatures up to 30 °C.

Once thawed, the vaccine should not be re-frozen.

Transfers of frozen vials stored at ultra-low temperature (<-60 °C)

- <u>Closed-lid vial trays</u> containing 195 vials removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to 5 minutes.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in frozen storage for at least 2 hours before they can be removed again.

Transfers of frozen vials stored at -25 °C to -15 °C⁴⁰

- <u>Closed-lid vial trays</u> containing 195 vials removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 1 minute.

Once a vial is removed from the vial tray, it should be thawed for use.

Diluted medicinal product

Chemical and physical in-use stability, including during transportation,³⁰ has been demonstrated for 6 hours at 2 °C to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for

injection. From a microbiological point of view, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30** micrograms/dose.]

TRADENAME (Do Not Dilute)⁷⁵

Unopened vial

9 months when stored at -90 °C to -60 °C.⁷⁹

TRADENAME (Do Not Dilute) may be received frozen at -90 °C to -60 °C. ⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks within the 9month shelf life.⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following first puncture.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Opened vial

Chemical and physical in-use stability has been demonstrated for 12 hours at 8 °C to 30 °C. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately after the first puncture. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)⁷⁵

Unopened vial

9 months when stored at -90 °C to -60 °C.⁷⁹

TRADENAME (for age 5 years to <12 years) may be received frozen at -90 °C to -60 °C. ⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks within the 9-month shelf life.⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following dilution.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Diluted medicinal product

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C, after dilution with sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

6.4. Special precautions for storage^{2,25,75}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use)

Store in a freezer at -90 °C to -60 °C.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

For storage conditions after thawing and dilution of the medicinal product, see Section 6.3.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

TRADENAME (Do Not Dilute) and TRADENAME (for age 5 years to <12 years) can be stored in a refrigerator at 2 °C to 8 °C for a single period of up to 10 weeks, not exceeding the original expiry date (EXP). Alternatively, the vaccine may be stored in a freezer at -90 °C to -60 °C. The expiry date for storage at -90 °C to -60 °C is printed on the vial and outer carton after "EXP".

The vaccine may be received frozen at -90 °C to -60 °C or at -25 °C to -15 °C. Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt. Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date has been updated to reflect the refrigerated EXP date and that the original expiry date has been crossed out.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at room temperature (up to 30 °C).

Once thawed, the vaccine cannot be re-frozen.

Thawed vials can be handled in room light conditions.

6.5. Nature and contents of container

Information to be provided by local subsidiary.

$\textbf{6.6. Special precautions for disposal and other handling}^{2,3,26,29,30,35,63,75,77,78}$

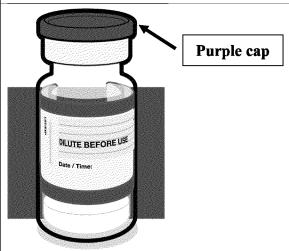
Handling instructions

TRADENAME should be prepared by a healthcare professional using aseptic technique to ensure the sterility of the prepared dispersion.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

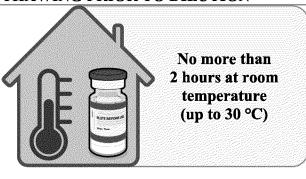
TRADENAME (Dilute Before Use)

DOSE VERIFICATION



Verify that the vial has a purple plastic cap. If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

THAWING PRIOR TO DILUTION



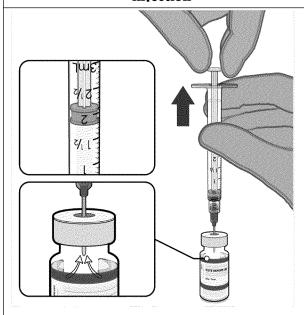
- The multidose vial is stored frozen and must be thawed prior to dilution. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 195 vial pack may take 3 hours to thaw. Alternatively, frozen vials may also be thawed for 30 minutes at temperatures up to 30 °C for immediate use.
- The unopened vial can be stored for up to 1 month at 2 °C to 8 °C. Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.
- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to offwhite opaque amorphous particles.

TRADENAME (Dilute Before Use)

DILUTION

1.8 mL of 0.9% sodium chloride injection

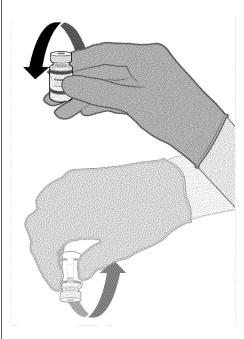
• The thawed vaccine must be diluted in its original vial with 1.8 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.



Pull back plunger to 1.8 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.8 mL air into the empty diluent syringe.

TRADENAME (Dilute Before Use)



Gently × 10

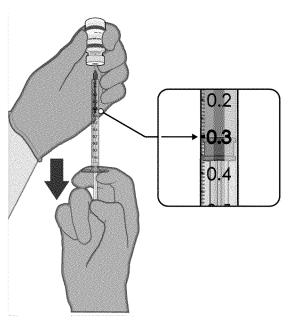
- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as an off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.



Record appropriate date and time. Use within 6 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 6 hours, including any transportation time.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

TRADENAME (Dilute Before Use) PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL diluted vaccine

- After dilution, the vial contains 2.25 mL from which 6 doses of 0.3 mL can be extracted.
- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead-volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 6 hours after dilution.

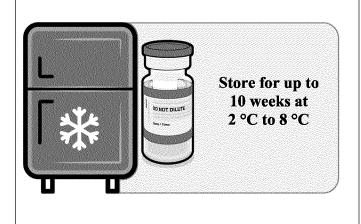
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

DOSE VERIFICATION Grey cap Do Not Dilute Dute / Time:

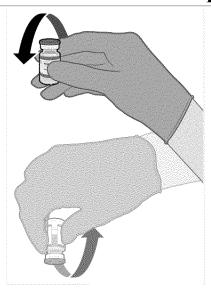
• Verify that the vial has a grey plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

HANDLING PRIOR TO USE



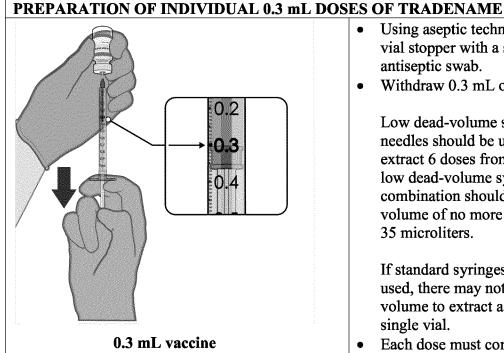
- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 6 hours to thaw. Ensure vials are completely thawed prior to use.
- Update the expiry date on the carton.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C.
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

TRADENAME (Do Not Dilute)



Gently × 10

- Gently mix by inverting vials 10 times prior to use. Do not shake.
- Prior to mixing, the thawed dispersion may contain white to off-white opaque amorphous particles.
- After mixing, the vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the vaccine if particulates or discoloration are present.



- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine 12 hours after first puncture. Record the appropriate date/time on the vial.

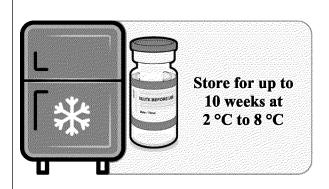
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

Orange cap 10 mcg Date / Time:

• Verify that the vial has an orange plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute).

HANDLING PRIOR TO USE



- must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 4 hours to thaw. Ensure vials are completely thawed prior to use.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C.
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

TRADENAME (for age 5 years to <12 years)

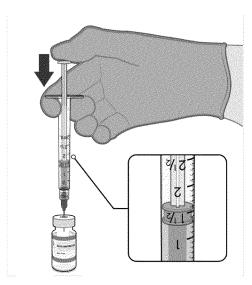
MIXING PRIOR TO DILUTION



Gently × 10

- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to off-white opaque amorphous particles.

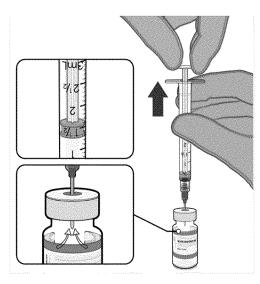
DILUTION



1.3 mL of 0.9% sodium chloride

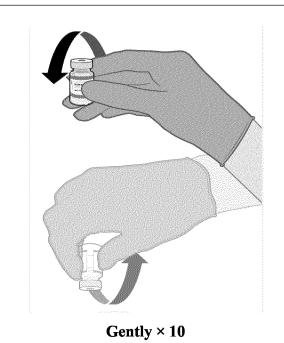
• The thawed vaccine must be diluted in its original vial with 1.3 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.

TRADENAME (for age 5 years to <12 years)



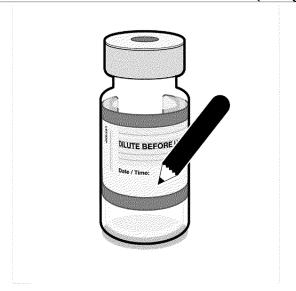
Pull back plunger to 1.3 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.3 mL air into the empty diluent syringe.



- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.

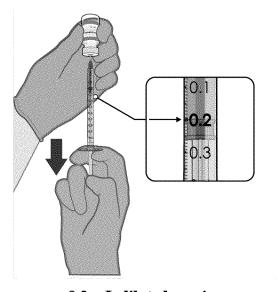
TRADENAME (for age 5 years to <12 years)



Record appropriate date and time. Use within 12 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 12 hours.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

PREPARATION OF INDIVIDUAL 0.2 mL DOSES OF TRADENAME



0.2 mL diluted vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.2 mL of TRADENAME for children age 5 to 11 years.

Low dead-volume syringes and/or needles should be used in order to extract 10 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract ten doses from a single vial.

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 12 hours after dilution.

Disposal

Any unused medicinal product or waste material should be disposed of in accordance with local requirements.

7. REFERENCES

- 1. BB-IND19736 Section 3.2.S.1.1
- 2. BB-IND19736 Section 3.2.P.2
- 3. BB-IND19736 Section 3.2.P.1
- 4. Global Emergency Use Authorization Application, Section 1.3 Intended Use
- 5. Global Emergency Use Authorization Application, Section 1.2 Description of Product
- 6. Vaccine Efficacy First COVID-19 Occurrence ≥7 Days After Dose 2 Subjects Without Evidence of Infection Before Vaccination, by Subgroup Evaluable Efficacy (7 Days) Population
- 7. Global Emergency Use Authorization Application, Section 7.3.1 Geriatric use
- 8. Module 5.3.5.1 Table 5: Demographic Characteristics Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population Reference no longer applicable; removed in CDS version 4
- 9. Ezeanolue E, Harriman K, Hunter P, Kroger A, Pellegrini C. General Best Practice Guidelines for Immunization. Best Practices Guidance of the Advisory Committee on Immunization Practices (ACIP)
- Module 4.2.3 Study 20256434 (RN9391R58), Section 4.2.3.5 Final Report A Combined Fertility and Developmental Study of BNT162b1, BNT162b2 and BNT162b3 by the Intramuscular Route in the Wistar Rat
- 11. Module 4.2.3.5 Study 20256434 (RN9391R58) Summary for BNT162b2 DART
- 12. Global Emergency Use Authorization Application, Section 6.2.1.2
- 13. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 14. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 15. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.5 Overall Conclusions
- 16. Module 2.5 Clinical Overview, COVID-19 Vaccine, 2021, CO for CDS (anaphylaxis & hypersensitivity)
- 17. Global Emergency Use Authorization, Section 6.2.4.1.1.3.1 Overview of Adverse Events
- 18. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.4.5
- 19. Global Emergency Use Authorization Application, Section 1.2.2 RNA-Lipid Nanoparticle Formulation
- 20. Global Emergency Use Authorization, Section 6.2.2.1.1.3 Study BNT162-01 Immunogenicity Conclusions in Phase 1
- 21. Global Emergency Use Authorization, Section 6.2.1.1 Phase 1 First-in-Human BNT162-01

- 22. Module 5.3.5.1 Study C4591001, Table Title: Demographic Characteristics Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 23. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 24. Baseline Charlson Comorbidities ~38,000 Subjects for Phase 2/3 Analysis Safety Population
- 25. BB-IND19736, Section 3.2.P.8
- 26. BB-IND19736, Section 3.2.P.5.2
- 27. Global Emergency Use Authorization, Table 5: Demographic Characteristics Phase 2 Dose 2 Evaluable Immunogenicity Population
- 28. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 29. BB-IND19736, Section 3.2.P.3.5
- 30. BB-IND19736, Section 3.2.P.2.6
- 31. Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by Baseline SARS-CoV-2 Status -- ~38000 Subjects for Phase 2/3 Analysis Safety Population
- 32. Global Emergency Use Application, Table 35 Vaccine Efficacy First COVID-19
 Occurrence From 7 Days After Dose 2 Subjects Without Evidence of Infection Prior to
 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 33. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 34. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Dose 2 All-Available Efficacy
- 35. Module 3.2.P Dosage and Administration Instructions for BNT162 (PF-07302048) Vaccine, 0.5 mg/mL
- 36. Module 5.3.5.1 C4591001 Clinical Study Report, Section 11.1.2.3.2.1.3 Table 40
- 37. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Dose 1 All-Available Efficacy Population
- 38. Module 2.5, Clinical Overview to Support Inclusion of Pain in Extremity, Diarrhea, and Vomiting as Adverse Drug Reactions in Section 4.8 of the Core Data Sheet, February 2021
- 39. Module 3.2.P.8.1 Stability Summary and Conclusion
- 40. IND Section 3.2.P.2.3 Storage Shipping and Distribution

- 41. Module 2.7.4 Summary of Clinical Safety, MAA Type II Variation (12-15 Years) Table 6: Demographic Characteristics Subjects 12 Through 15 and 16 Through 25 Years of Age Safety Population
- 42. Table: Follow-up Time After Dose 2 Subjects 12 Through 15 Years of Age Safety Population
- 43. Table: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 44. Table: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 45. Table: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 46. Table: Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects 12 Through 15 Years of Age and Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 47. Table: Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects 12 Through 15 Years of Age and With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 48. Table: Summary of Geometric Mean Ratio NT50 Comparison of Subjects 12 Through 15 Years of Age to Subjects 16 Through 25 Years of Age (Immunogenicity Subset) Subjects Without Evidence of Infection up to 1 Month After Dose 2 Dose 2 Evaluable Immunogenicity Population
- 49. Module 2.7.4 Summary of Clinical Safety, COVID-19 Vaccine MAA Type II Variation (12-15 Years) April 2021
- 50. Interim Report 6 Month Update: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-COV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals [hereafter Interim Report 6 Month Update] (13 March 2021), Supplemental Table 14.198 Demographic Characteristics, by Age Groups Phase 2/3 Subjects ≥16 Years of Age Safety Population
- 51. Interim Report 6 Month Update (13 March 2021), Supplemental table 14.84 Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2 Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV Positive Subjects ≥16 Years of Age Safety Population
- 52. Final Analysis Interim Report: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-COV-2 RNA Vaccine Candidates Against COVID-19 in Healthy

- Individuals (04 November 2020), Section 10.3.3 Phase 2/3, Table 8 Vaccine Administration Timing ~38000 Subjects for Phase 2/3 Analysis All Randomized Subjects
- 53. Interim Report 6 Month Update (13 March 2021), Table 19. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 54. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.59. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period–Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 55. Interim Report 6 Month Update (13 March 2021), Table 20. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 56. Interim Report 6 Month Update (13 March 2021), Table 21. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 57. Interim Report 6 Month Update (13 March 2021), Table 25. Vaccine Efficacy First Severe COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 58. Interim Report 6 Month Update (13 March 2021), Table 26. Vaccine Efficacy First Severe COVID-19 Occurrence After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
- 59. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.61. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
- 60. Interim Report 6 Month Update (13 March 2021), Table 28. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 61. EUA Amendment for Pfizer-BioNTech COVID-19 Vaccine, 6-Month Follow-Up Data from Participants 16 Years of Age and Older (13 March 2021), Section 6.2.1.2.1.2 Efficacy
- 62. Interim Report 6 Month Update (13 March 2021), Table 4. Analysis Populations
- 63. Module 3.2.P.8.1 Stability Summary and Conclusion, August 2021
- 64. Adverse Drug Reaction Frequency Justification Document, COVID-19 Vaccine (BNT162B2), October 2021
- 65. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.72 Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset)

- Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV-Positive Subjects ≥16
 Years of Age Safety Population
- 66. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.79 Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV-Positive Subjects ≥16 Years of Age Safety Population
- 67. 2.5 Clinical Overview to Support Inclusion of Vaccine Stress-Related Reactions in Section 4.4 of the Core Data Sheet, May 2021
- 68. EUA Amendment for Pfizer-BioNTech COVID-19 Vaccine, 6-Month Follow-Up Data from Participants 16 Years of Age and Older (13 March 2021), Section 6.2.2.1.1 Study Populations BNT162-01 Phase 1 Participants
- 69. 2.5 Clinical Overview to Support Inclusion of Myocarditis & Pericarditis in Section 4.4 (Special Warnings and Precautions for use) of the Core Data Sheet, July 2021
- 70. Module 3.2.P.8.3 Stability Data, August 2021
- 71. Interim Report BNT162b2 Booster (Dose 3): A Phase 1/2/3, Placebo Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-CoV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals
- 72. Module 3.2.P.2.2 Drug Product Tris-Sucrose, September 2021
- 73. Interim Report Children 5 to <12 Years of Age: A Phase 1, Open-Label Dose-Finding Study to Evaluate Safety, Tolerability, and Immunogenicity and Phase 2/3 Placebo-Controlled, Observer-Blinded Safety, Tolerability, and Immunogenicity Study of a SARS-CoV-2 RNA Vaccine Candidate against COVID-19 in Healthy Children and Young Adults
- 74. Module 3.2.P.1 Description and Composition of the Drug Product Tris-Sucrose, September 2021
- 75. Module 3.2.P.8.1 Stability Summary and Conclusion Tris-Sucrose, September 2021
- 76. Module 3.2.P.3.5 Shipping Validation Tris-Sucrose, September 2021
- 77. Module 3.2.P.2.6 Compatibility Tris-Sucrose, September 2021
- 78. Module 3.2.P.2.3 Manufacturing Process Development Process Development and Characterization Tris/Sucrose, September 2021
- 79. Module 3.2.P.8.1 Stability Summary and Conclusions Tris-Sucrose, November 2021

Appendix A: Adverse Drug Reactions (ADRs) and Numeric Frequencies Listed in Order of Decreasing Frequency Within Each System Organ Class (SOC)⁶⁴

Table A-1. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)

Oluei (13 Maich 2021		Frequency
System Organ Class	ADR Term	n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	83/21926 (0.4%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
-	Hypersensitivity reactions	
	Rash ^d	54/21926 (0.2%) ^a
	Pruritus ^d	23/21926 (0.1%) ^a
	Urticaria ^d	15/21926 (0.1%) ^a
	Angioedema ^d	3/21926 (0.01%) ^a
Metabolism and nutrition disorders	Decreased appetite	39/21926 (0.2%) ^a
Nervous system disorders	Headache	2814/4924 (57.1%) ^b
-	Lethargy	25/21926 (0.1%) ^a
Gastrointestinal disorders	Diarrhead	758/4924 (15.4%) ^b
	Vomitingd	110/4924 (2.2%) ^b
	Nausea	274/21926 (1.2%) ^a
Skin and subcutaneous tissue	Hyperhidrosis	31/21926 (0.1%) ^a
disorders	Night sweats	17/21926 (0.1%) ^a
Musculoskeletal and connective tissue	Myalgia (muscle pain)	1980/4924 (40.2%) ^b
disorders	Arthralgia (joint pain)	1232/4924 (25.0%) ^b
	Pain in extremity (arm) ^d	185/21926 (0.8%) ^a
General disorders and administration	Injection site pain	4153/4924 (84.3%)°
site conditions	Fatigue	3185/4924 (64.7%) ^b
	Chills	1707/4924 (34.7%) ^b
	Pyrexia	749/4924 (15.2%) ^b
	Injection site swelling	546/4924 (11.1%)°
	Injection site redness	486/4924 (9.9%)°
	Malaise	130/21926 (0.6%) ^a
	Asthenia	76/21926 (0.3%) ^a

a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term – Blinded Placebo-Controlled Follow-up Period – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cutoff date: 13March2021)

b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cutoff date: 13March2021)

c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cutoff date: 13March2021)

d. These adverse reactions were identified in the post-authorization period.

Table A-2. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	9/1131 (0.8%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
	Hypersensitivity reactions	
	Rash ^d	2/1131 (0.2%) ^a
	Urticaria ^d	2/1131 (0.2%) ^a
	Pruritus ^{d,e}	
	Angioedema ^{d,e}	
Metabolism and nutrition disorders	Decreased appetite ^e	
Nervous system disorders	Headache	854/1131 (75.5%) ^b
•	Lethargye	
Gastrointestinal disorders	Diarrhea ^d	141/1131 (12.5%) ^b
	Vomitingd	59/1131 (5.2%) ^b
	Nausea	5/1131 (0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e	
disorders	Night sweats ^e	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	477/1131 (42.2%) ^b
disorders	Arthralgia (joint pain) (new)	229/1131 (20.2%) ^b
	Pain in extremity (arm) ^d	1/1131 (0.1%) ^a
General disorders and administration	Injection site pain	1023/1131 (90.5%)°
site conditions	Fatigue	876/1131 (77.5%) ^b
	Chills	557/1131 (49.2%) ^b
	Pyrexia	275/1131 (24.3%) ^b
	Injection site swelling	104/1131 (9.2%)°
	Injection site redness	97/1131 (8.6%)°
	Malaise ^e	
	Asthenia ^e	

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) –Safety Population (Cutoff date: 13March2021)
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cutoff date: 13March2021)
- c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cutoff date: 13March2021)
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in the 12 through 15 year old age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table A-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

Table A-3. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	16/306 (5.2%) ^{a,b}
Immune system disorders	Anaphylaxise	(2.2.2)
	Hypersensitivity reactions	
	Rashe	1/306 (0.3%) ^b
	Pruritus ^{e,f}	,
	Urticaria ^{e,f}	
	Angioedema ^{e,f}	
Metabolism and nutrition disorders	Decreased appetite	1/306 (0.3%) ^b
Nervous system disorders	Headache	140/289 (48.4%)°
-	Lethargy ^f	·
Gastrointestinal disorders	Diarrhea ^e	25/289 (8.7%)°
	Vomitinge	5/289 (1.7%) ^c
	Nausea	2/306 (0.7%) ^b
Skin and subcutaneous tissue	Hyperhidrosis ^f	·
disorders	Night sweats ^f	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	113/289 (39.1%)°
disorders	Arthralgia (joint pain) (new)	73/289 (25.3%)°
	Pain in extremity (arm) ^e	1/306 (0.3%) ^b
General disorders and administration	Injection site pain	240/289 (83.0%) ^d
site conditions	Fatigue	184/289 (63.7%)°
	Chills	84/289 (29.1%)°
	Pyrexia	25/289 (8.7%) ^c
	Injection site swelling	23/289 (8.0%) ^d
	Injection site redness	17/289 (5.9%) ^d
	Malaisef	
	Asthenia ^f	

- The booster dose (third dose) of BNT162b2 30 μg was administered to participants 18 to 55 years of age.
- a. A higher frequency of lymphadenopathy (5.2% vs. 0.4%) was observed in participants receiving a booster dose (third dose) compared to participants receiving 2 doses.
- b. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Booster Dose to 1 Month After Booster Dose, by System Organ Class and Preferred Term – Phase 3 – BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cutoff date: 17June2021).
- c. Source = Systemic Events, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cutoff date: 17June2021).
- d. Source = Local Reactions, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) -Booster Safety Population (Cutoff date: 17June2021).
- e. These adverse reactions were identified in the post-authorization period.
- f. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age following the 2-dose series (13 March 2021 Data Cut-off Date) Table A-1: angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-4. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency within each System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	_	uency (%)
Blood and lymphatic system disorders	Lymphadenopathy	13/1518	$(0.9\%)^a$
Immune system disorders	Anaphylaxis ^d		,
-	Hypersensitivity reactions		
	Rash ^d	5/1518	(0.3%)a
	Urticaria ^d	3/1518	$(0.2\%)^a$
	Pruritus ^d	1/1518	$(0.1\%)^a$
	Angioedema ^{d,e}		
Metabolism and nutrition disorders	Decreased appetite	1/1518	(0.1%) ^a
Nervous system disorders	Headache	579/1517	(38.2%)b
	Lethargy ^e		
Gastrointestinal disorders	Diarrhea ^d	146/1517	(9.6%) ^b
	Vomiting ^d	60/1517	$(4.0\%)^{b}$
	Nausea	6/1518	(0.4%)a
Skin and subcutaneous tissue	Hyperhidrosis ^e		
disorders	Night sweats ^e		
Musculoskeletal and connective tissue	Myalgia (muscle pain)	266/1517	(17.5%)b
disorders	Arthralgia (joint pain) (new)	115/1517	$(7.6\%)^{b}$
	Pain in extremity (arm) ^d	3/1518	(0.2%)a
General disorders and administration	Injection site pain	1279/1517	(84.3%)°
site conditions	Fatigue	785/1517	(51.7%)b
	Injection site redness	401/1517	(26.4%)°
	Injection site swelling	309/1517	(20.4%)°
	Chills	188/1517	(12.4%)b
	Pyrexia	126/1517	(8.3%)b
	Malaise	2/1518	(0.1%)a
	Asthenia ^e		

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term Phase 2/3 5 to <12 Years of Age Safety Population (Cutoff date: 06Sep2021).
- b. Source = Systemic Events, by Maximum Severity, Within 7 Days After Each Dose -Phase 2/3 5 to <12 Years of Age Safety Population (Cutoff date: 06Sep2021).
- c. Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose -Phase 2/3 5 to <12 Years of Age Safety Population (Cutoff date: 06Sep2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Error! Reference source not found.): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

Appendix B: Adverse Drug Reactions (ADRs) by System Organ Class and Council for **International Organizations of Medical Science (CIOMS) Frequency** Category Listed in Order of Decreasing Medical Seriousness or Clinical Importance Within Each Frequency Category and SOC⁶⁴

Table B-1. ADRs by SOC and CIOMS Frequency Category Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 16 Years

of Age and Older (13 March 2021 Data Cut-off Date)

UI Age	and Older (13 Mai CII 202	I Data Cut-on D	ale)		
S. at a second Glass	Very Common ≥1/10	≥1/100 to <1/10	Uncommon ≥1/1,000 to <1/100	Rare ≥1/10,000 to <1/1,000 (≥0.01% to	Very Rare <1/10,000	Frequency not known (cannot be estimated from the
System Organ Class	(≥10%)	(≥1% to <10%)		<0.1%)	(<0.01%)	available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria; ^{a,b} Pruritus; ^{a,b} Rash ^{a,b}	Angioedema ^{a,b}		Anaphylaxis ^a
Metabolism and Nutrition disorders			Decreased appetite			
Nervous system disorders	Headache		Lethargy			
Gastrointestinal disorders	Diarrhea ^a	Vomiting; ^a Nausea				
Skin and subcutaneous Tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia; Injection site swelling	Injection site redness	Asthenia; Malaise			

These adverse reactions were identified in the post-authorization period.

The following events are categorized as hypersensitivity reactions: urticaria, pruritus, rash, and angioedema.

Table B-2. ADRs by SOC and CIOMS Frequency Category Listed in order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)

	Jugn 10 1 car	5 01 11ge (10 1	Taren 2021 Data	Out on Bate	,	
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	1 — /	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopathy	•	,	
Immune system disorders	1		Urticaria; ^{a,b} Rash ^{a,b}			Anaphylaxis ^a
Nervous system disorders	Headache					
Gastrointestinal disorders	Diarrheaa	Vomiting ^a	Nausea			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia	Injection site swelling; Injection site redness				

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the 12 through 15 years of age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table B-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria and rash.

Table B-3. ADRs by SOC and CIOMS Frequency Category Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

(ng) _ 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		_ `		
					Frequency not
Very		,			known (cannot
Common	Common	<1/100			
≥1/10	≥1/100 to <1/10	(≥0.1% to			from the
(≥10%)	(≥1% to <10%)	<1%)	<0.1%)	(<0.01%)	available data)
	Lymphadenopathy				
		Rash ^a			Anaphylaxis ^a
		Decreased			
		appetite			
Headache					
	Diarrhea ^a	Nausea			
	Vomiting ^a				
	_				
Arthralgia;		Pain in			
Myalgia		extremity			
		(arm) ^a			
Injection site	Pyrexia;				
pain;	Injection site				
Fatigue;	swelling;				
Chills	Injection site				
	redness				
	Very Common ≥1/10 (≥10%) Headache Arthralgia; Myalgia Injection site pain; Fatigue;	Very Common ≥1/10 (≥10%) Elimination ≥1/100 to <1/10 (≥1% to <10%) Lymphadenopathy Diarrhea ^a Vomiting ^a Arthralgia; Myalgia Injection site pain; Fatigue; Chills Injection site redness	Very Common ≥1/10 (≥1/100 to <1/10 (≥1/100 to <1/10 (≥0.1% to <1/0)) ≥1/10 (≥1% to <10%)	Very Common ≥1/10 (≥10%) Common ≥1/100 to <1/10 (≥0.1% to <1/1,000 to <1/1,000 (≥0.1% to <0.1%) Example ≥1/10,000 to <1/1,000 (≥0.1% to <0.1%) Example ≥1/10,000 to <1/1,000 (≥0.1% to <0.1%) Example ≥1/10,000 to <0.1%	Very Common ≥1/10 (≥1/10 to <1/10 (≥0.1% to <1/10,000 to <1/1,000 (≥0.01% to <1/10,000 (≥0.01% to <1/10,000 (≥0.01% to <1/10,000 (≥0.01% to <1/10,000 (≥0.01%) Very Rare <1/10,000 (≥0.01% to <1/10,000 (<0.01%) Lymphadenopathy Rash ^a Decreased appetite Headache Diarrhea ^a Vomiting ^a Nausea Arthralgia; Myalgia Pain in extremity (arm) ^a Injection site pain; Injection site Fatigue; Swelling; Chills Injection site redness Injection site redness

^{*} The booster dose (third dose) of BNT162b2 30 μg was administered to participants 18 to 55 years of age.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age 1 month after Dose 2 (Cutoff date: 13March2021) (see Table B-1): angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table B-4. ADRs by System Organ Class and CIOMS Frequency Category Listed in order of Decreasing Medical Seriousness within each Frequency Category and System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)

Class. I.	IIuiviuuais 5		or Age (oo Septe		Jata Cut-u	
	Very	Common ≥1/100 to	Uncommon	Rare ≥1/10,000 to	Very	Frequency not known (cannot
	Common	<1/10	≥1/1,000 to	<1/1,000	Rare	be estimated
	≥1/10	(≥1% to	<1/100	(≥0.01% to	<1/10,000	
System Organ Class	(≥10%)	<10%)	(≥0.1% to <1%)	<0.1%)	(<0.01%)	available data)
Blood and lymphatic			Lymphadenopat			
system disorders			hy			
Immune system			Urticaria ^{a,b} ;			Anaphylaxis ^a
disorders			Pruritus ^{a,b} ;			
			Rash ^{a,b}			
Metabolism and			Decreased			
nutrition disorders			appetite			
Nervous system	Headache					
disorders						
Gastrointestinal		Diarrhea;a	Nausea			
disorders		Vomiting ^a				
Musculoskeletal and	Myalgia	Arthralgia	Pain in extremity			
connective tissue			(arm) ^a			
disorders						
General disorders and	Injection site	Pyrexia	Malaise			
administration site	pain;					
conditions	Fatigue;					
	Chills;					
	Injection site					
	swelling;					
	Injection site					
	redness					

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash

Appendix C. HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Frequency in the Safety Population Subset

Table C-1. Study 2 – Frequency and Percentages of Participants with Solicited Local Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,65

	TRADENAME	Placebo	TRADENAME	Placebo
	Dose 1	Dose 1	Dose 2	Dose 2
	$N^a=54$	N ^a =56	N ^a =60	N ^a =62
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
Redness ^c				
Any (>2.0 cm)	2 (3.7)	3 (5.4)	4 (6.7)	1 (1.6)
Mild	2 (3.7)	1 (1.8)	3 (5.0)	1 (1.6)
Moderate	0	0	1 (1.7)	0
Severe	0	2 (3.6)	0	0
Swelling ^c				
Any (>2.0 cm)	3 (5.6)	1 (1.8)	5 (8.3)	0
Mild	2 (3.7)	0	2 (3.3)	0
Moderate	1 (1.9)	0	3 (5.0)	0
Severe	0	1 (1.8)	0	0
Pain at the injection site ^d				
Any	34 (63.0)	9 (16.1)	32 (53.3)	5 (8.1)
Mild	26 (48.1)	8 (14.3)	22 (36.7)	5 (8.1)
Moderate	8 (14.8)	1 (1.8)	9 (15.0)	0
Severe	0	0	1 (1.7)	0

Notes: Reactions were collected in the electronic diary (e-diary) from Day 1 to Day 7 after vaccination.

No Grade 4 solicited local reactions were reported in HIV-Positive participants 16 years of age and older.

^{*} Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.

a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each reaction was the same, therefore, this information was included in the column header.

b. n = Number of participants with the specified reaction.

c. Mild: >2.0 to ≤ 5.0 cm; Moderate: >5.0 to ≤ 10.0 cm; Severe: >10.0 cm.

d. Mild: does not interfere with activity; Moderate: interferes with activity; Severe: prevents daily activity.

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,66

Older – Rea	ictogenicity Subset			
	TRADENAME	Placebo	TRADENAME	Placebo
	Dose 1	Dose 1	Dose 2	Dose 2
	N ^a =54	$N^a=56$	Na=60	$N^a=62$
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
Fever				
≥38.0°C	1 (1.9)	4 (7.1)	9 (15.0)	5 (8.1)
≥38.0°C to 38.4°C	1 (1.9)	2 (3.6)	4 (6.7)	5 (8.1)
>38.4°C to 38.9°C	0	0	4 (6.7)	0
>38.9°C to 40.0°C	0	2 (3.6)	1 (1.7)	0
>40.0°C	0	0	0	0
Fatigue ^c				
Any	22 (40.7)	15 (26.8)	24 (40.0)	12 (19.4)
Mild	15 (27.8)	9 (16.1)	12 (20.0)	5 (8.1)
Moderate	7 (13.0)	5 (8.9)	9 (15.0)	7 (11.3)
Severe	0	1 (1.8)	3 (5.0)	0
Headache ^c		,		
Any	11 (20.4)	18 (32.1)	18 (30.0)	12 (19.4)
Mild	7 (13.0)	10 (17.9)	8 (13.3)	8 (12.9)
Moderate	4 (7.4)	7 (12.5)	8 (13.3)	4 (6.5)
Severe	0	1 (1.8)	2 (3.3)	0
Chills ^c		, ,		
Any	6 (11.1)	5 (8.9)	14 (23.3)	4 (6.5)
Mild	5 (9.3)	4 (7.1)	5 (8.3)	3 (4.8)
Moderate	1 (1.9)	1 (1.8)	8 (13.3)	1 (1.6)
Severe	0	0	1 (1.7)	0
Vomitingd				
Any	1 (1.9)	3 (5.4)	2 (3.3)	2 (3.2)
Mild	1 (1.9)	1 (1.8)	1 (1.7)	1 (1.6)
Moderate	0	0	1 (1.7)	1 (1.6)
Severe	0	2 (3.6)	0	0
Diarrhea ^e				
Any	5 (9.3)	8 (14.3)	4 (6.7)	9 (14.5)
Mild	5 (9.3)	6 (10.7)	1 (1.7)	6 (9.7)
Moderate	0	1 (1.8)	2 (3.3)	3 (4.8)
Severe	0	1 (1.8)	1 (1.7)	0
New or worsened muscle pain ^c				
Any	9 (16.7)	10 (17.9)	10 (16.7)	5 (8.1)
Mild	, ,		` '	· · · · ·
	7 (13.0)	7 (12.5)	5 (8.3)	1 (1.6)
Moderate	2 (3.7)	3 (5.4)	5 (8.3)	4 (6.5)

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,66

	TRADENAME	Placebo	TRADENAME	Placebo
	Dose 1	Dose 1	Dose 2	Dose 2
	N ^a =54	$N^a=56$	Na=60	$N^a=62$
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
Severe	0	0	0	0
New or worsened joint				
pain ^c				
Any	5 (9.3)	7 (12.5)	10 (16.7)	5 (8.1)
Mild	5 (9.3)	4 (7.1)	4 (6.7)	1 (1.6)
Moderate	0	3 (5.4)	6 (10.0)	4 (6.5)
Severe	0	0	0	0
Use of antipyretic or pain				
medication ^f	7 (13.0)	8 (14.3)	16 (26.7)	7 (11.3)

Notes: Reactions and use of antipyretic or pain medication were collected in the electronic diary (e-diary) from Day 1 to Day 7 after each dose.

No Grade 4 solicited systemic reactions were reported in HIV-positive participants 16 years of age and older.

- * Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.
- a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each event or use of antipyretic or pain medication was the same, therefore, this information was included in the column header.
- b. n = Number of participants with the specified reaction.
- c. Mild: does not interfere with activity; Moderate: some interference with activity; Severe: prevents daily activity.
- d. Mild: 1 to 2 times in 24 hours: Moderate: >2 times in 24 hours; Severe: requires intravenous hydration.
- e. Mild: 2 to 3 loose stools in 24 hours; Moderate: 4 to 5 loose stools in 24 hours; Severe: 6 or more loose stools in 24 hours.
- f. Severity was not collected for use of antipyretic or pain medication.

PREPARED BY PFIZER INC

CDS EFFECTIVE DATE: 21-DEC-2021

Date of Superseded CDS: 02-Dec-2021

COVID-19 mRNA Vaccine

CORE DATA SHEET

VERSION 10

1. NAME OF THE MEDICINAL PRODUCT

COVID-19 mRNA Vaccine (nucleoside modified) and COMIRNATY are called TRADENAME.

2. QUALITATIVE AND QUANTITATIVE COMPOSITION^{1,2,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): This is a multidose vial and must be diluted before use. One vial (0.45 mL) contains 6 doses of 0.3 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): This is a multidose vial. One vial (2.25 mL) contains 6 doses of 0.3 mL (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): This is a multidose vial and must be diluted before use. One vial (1.3 mL) contains 10 doses of 0.2 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.2 mL) contains 10 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

3. PHARMACEUTICAL FORM^{2,3,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): Concentrate for dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): Dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): Concentrate for dispersion for injection.

The vaccine is a white to off-white frozen solution.

4. CLINICAL PARTICULARS

4.1. Therapeutic indications

The following is a representative indication. Locally approved indications may differ.

Active immunization to prevent coronavirus disease 2019 (COVID-19) caused by SARS-CoV-2 virus, in individuals 5 years of age and older. 4,49,73

4.2. Posology and method of administration

Posology

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

Or

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Individuals 12 years of age and older

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) are administered intramuscularly as a primary series of 2 doses (0.3 mL each) at greater than or equal to 21 days (preferably 3 weeks) apart.^{5,49}

Booster dose in individuals 16 years of age and older

A booster dose of TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) may be administered intramuscularly approximately 6 months after the second dose in individuals 16 years of age and older.⁷¹

Doses of TRADENAME (Dilute Before Use) concentrate for dispersion for injection (30 micrograms/dose) and TRADENAME (Do Not Dilute) dispersion for injection (30 micrograms/dose) vaccine are considered interchangeable.

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) intended for individuals ages 12 years and older cannot be used for individuals age 5 years to <12 years.

Interchangeability

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series or the booster dose has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series and for any additional doses.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

Individuals 5 through <12 years of age

TRADENAME (for age 5 years to <12 years) is administered intramuscularly after dilution as a primary series of 2 doses (0.2 mL) at greater than or equal to 21 days (preferably 3 weeks) apart.⁷³

TRADENAME (for age 5 years to <12 years) cannot be used in individuals 12 years of age and older.

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

Pediatric population

The safety and efficacy of TRADENAME in individuals under 5 years of age have not yet been established. The safety and effectiveness of a booster dose of TRADENAME in individuals 16 through 17 years of age is based on safety and effectiveness data in adults at least 18 through 55 years of age.⁷¹

Geriatric population

Clinical studies of TRADENAME include participants 65 years of age and older and their data contributes to the overall assessment of safety and efficacy. Of the total number of TRADENAME recipients in Study 2 (N = 22,026), 16.5% (n = 3627) were 65 through 74 years of age and 4.2% (n = 925) were 75 years of age and older (see Section 5.1). Of the total number of the safety of the safet

The safety of a booster dose of TRADENAME in individuals 65 years of age and older is based on safety data in 12 booster dose recipients 65 through 85 years of age in Study 2, 306 booster dose recipients 18 through 55 years of age in Study 2, and 1,175 booster dose recipients 65 years of age and older in Study 4. The effectiveness of a booster dose of TRADENAME in individuals 65 years of age and older is based on effectiveness data in 306 booster dose recipients 18 through 55 years of age in Study 2, and an efficacy analysis from participants 16 years of age and older in 9,945 participants in Study 4.71,80

Method of administration

Administer TRADENAME intramuscularly in the deltoid muscle.

Do not inject the vaccine intravascularly, subcutaneously, or intradermally.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

After dilution, vials of TRADENAME (Dilute Before Use) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead -volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Vials of TRADENAME (Do Not Dilute) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead-volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

After dilution, vials of TRADENAME (for age 5 years to <12 years) contains 10 doses of 0.2 mL of vaccine.

Individuals 5 through <12 years of age

Low dead-volume syringes and/or needles can be used to extract 10 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract 10 doses from a single vial. Irrespective of the type of syringe and needle:

• Each dose must contain 0.2 mL of vaccine.

- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and content.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

4.3. Contraindications

Hypersensitivity to the active substance or to any of the excipients listed in Section 6.1.

4.4. Special warnings and precautions for use

Traceability

In order to improve the traceability of biological medicinal products, the name and the batch number of the administered product should be clearly recorded.

General recommendations

As with all injectable vaccines, appropriate medical treatment and supervision must always be readily available in case of a rare anaphylactic event following the administration of the vaccine.

Very rare cases of myocarditis and pericarditis have been reported following vaccination with TRADENAME. Typically, the cases have occurred more often in younger men and after the second dose of the vaccine and within 14 days after vaccination. These are generally mild cases and individuals tend to recover within a short time following standard treatment and rest. Healthcare professionals should be alert to the signs and symptoms of myocarditis and pericarditis in vaccine recipients.⁶⁹

The administration of TRADENAME should be postponed in individuals suffering from acute severe febrile illness.⁹

Individuals receiving anticoagulant therapy or those with a bleeding disorder that would contraindicate intramuscular injection, should not be given the vaccine unless the potential benefit clearly outweighs the risk of administration.⁹

Immunocompromised persons, including individuals receiving immunosuppressant therapy, may have a diminished immune response to the vaccine.

Some individuals may have stress-related responses associated with the process of vaccination itself. Stress-related responses are temporary and resolve on their own. They may include dizziness, fainting, palpitations, increases in heart rate, alterations in blood pressure, feeling short of breath, tingling sensations, sweating and/or anxiety. Individuals should be advised to bring symptoms to the attention of the vaccination provider for evaluation and precautions should be in place to avoid injury from fainting.⁶⁷

As with any vaccine, vaccination with TRADENAME may not protect all vaccine recipients.

4.5. Interaction with other medicinal products and other forms of interaction

No interaction studies have been performed.

Do not mix TRADENAME with other vaccines/products in the same syringe.

4.6. Fertility, pregnancy and lactation

Pregnancy

There are limited amount of data from the use of TRADENAME in pregnant women. Animal studies do not indicate direct or indirect harmful effects with respect to pregnancy, embryo/fetal development, parturition, or post-natal development (see Section 5.3). Administration of TRADENAME in pregnancy should be considered when the potential benefits outweigh any potential risks for the mother and fetus.

Lactation

It is unknown whether TRADENAME is excreted in human milk.

Fertility

It is unknown whether TRADENAME has an impact on fertility. Animal studies do not indicate direct or indirect harmful effects with respect to female fertility or reproductive toxicity (see Section 5.3).^{10,11}

4.7. Effects on ability to drive and use machines

TRADENAME has no or negligible influence on the ability to drive and use machines. However, some of the effects mentioned under Section 4.8 "Undesirable effects" may temporarily affect the ability to drive or use machines.

4.8. Undesirable effects

Summary of safety profile

The safety of TRADENAME was evaluated in participants 5 years of age and older in 3 clinical studies conducted in the United States, Europe, Turkey, South Africa, and South America. Study BNT162-01 (Study 1) enrolled 60 participants, 18 through 55 years of age and 36 participants, 56 through 85 years of age. Study C4591001 (Study 2) enrolled approximately 46,000 participants, 12 years of age or older. Study C4591007 (Study 3) enrolled approximately 2,300 participants 5 through less than 12 years of age.

Additionally, 306 existing Phase 3 participants at least 18 through 55 years of age received a booster dose of TRADENAME approximately 6 months after the second dose in the non-placebo-controlled booster dose portion of Study 2. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁷¹

In Study C4591031 (Study 4), a placebo-controlled booster study, 5,081 participants 16 years of age and older were recruited from Study 2 to receive a booster dose of TRADENAME at least 6 months after the second dose. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁸⁰

Participants 16 years of age and older – after 2 doses

In Study 2, a total of 22,026 participants 16 years of age or older received at least 1 dose of TRADENAME and a total of 22,021 participants 16 years of age or older received placebo.⁵⁰

The most frequent adverse reactions in participants 16 years of age and older that received 2 doses (in order from highest to lowest frequencies) were injection site pain (>80%), fatigue (>60%), headache (>50%), myalgia (>40%), chills (>30%), arthralgia (>20%), pyrexia and injection site swelling (>10%) and were usually mild or moderate in intensity and resolved within a few days after vaccination. A lower frequency of reactogenicity events was associated with greater age. 15

The safety profile in 545 participants receiving TRADENAME, that were seropositive for SARS-CoV-2 at baseline, was similar to that seen in the general population. 17,28,31

Study 2 also included 200 participants with confirmed stable human immunodeficiency virus (HIV) infection. The safety profile of the participants receiving TRADENAME (n = 100) in the individuals with stable HIV infection was similar to that seen in the general population.⁵¹

Adolescents 12 through 15 years of age – after 2 doses

In an analysis of Study 2, 2260 adolescents (1131 TRADENAME; 1129 placebo) were 12 through 15 years of age. Of these, 1308 adolescents (660 TRADENAME and 648 placebo) have been followed for at least 2 months after the second dose. ^{41,42} The safety evaluation in Study 2 is ongoing.

The most frequent adverse reactions in adolescents 12 through 15 years of age that received 2 doses were injection site pain (>90%), fatigue and headache (>70%), myalgia and chills (>40%), arthralgia and pyrexia (>20%). 43,44,45

Children 5 through <12 years of age – after 2 doses⁷³

In an analysis of Study 3 Phase 2/3, 2,268 participants (1,518 TRADENAME 10 mcg; 750 placebo) were 5 through <12 years of age. Of these, 2,158 (95.1%) (1,444 TRADENAME 10 mcg and 714 placebo) participants have been followed for at least 2 months after the second dose. The safety evaluation in Study 3 is ongoing.

The most frequent adverse reactions in children 5 through <12 years of age that received 2 doses included injection site pain (>80%), fatigue (>50%), headache (>30%), injection site redness and swelling (>20%), myalgia and chills (>10%).

Participants 16 years of age and older – after booster dose⁷¹

A subset from Study 2 Phase 2/3 participants of 306 adults at least 18 through 55 years of age who completed the primary TRADENAME 2-dose course, received a booster dose of TRADENAME approximately 6 months (range of 4.8 to 8.0 months) after receiving Dose 2.

The most frequent adverse reactions in participants 18 through 55 years of age were injection site pain (>80%), fatigue (>60%), headache (>40%), myalgia (>30%), chills and arthralgia (>20%).

In Study 4, a placebo-controlled booster study, participants 16 years of age and older recruited from Study 2 received a booster dose of TRADENAME (5,081 participants), or placebo (5,044 participants) at least 6 months after the second dose of TRADENAME. Overall, participants who received a booster dose, had a median follow-up time of 2.5 months after the booster dose to the cut-off date (5 October 2021).⁸⁰

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
Blood and lymphatic system disorders	Lymphadenopathya
Metabolism and nutrition disorders	Decreased appetite
Nervous system disorders	Headache
	Lethargy
Gastrointestinal disorders	Nausea
Skin and subcutaneous tissue	Hyperhidrosis
disorders	Night sweats
Musculoskeletal and connective	Arthralgia
tissue disorders	Myalgia
General disorders and	Pyrexia ^b
administration site conditions	Chills
	Asthenia
	Malaise
	Fatigue
	Injection site pain
	Injection site swelling
	Injection site redness

a. A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.⁷¹

b. A higher frequency of pyrexia was observed after the second dose compared to the first dose. The preferred term pyrexia is a cluster term covering also body temperature increased.

Adverse reactions from TRADENAME post-authorization experience

The following events have been identified as adverse reactions during the post-authorization use of TRADENAME.

Table 2. Adverse Drug Reactions 38,64,80

System Organ Class	Adverse Drug Reactions
Immune system disorders	Anaphylaxis Hypersensitivity reactions (e.g., rash, pruritus, urticaria, angioedema)
Gastrointestinal disorders	Diarrhea Vomiting
Musculoskeletal and connective tissue disorders	Pain in extremity (arm) ^a

a. A higher frequency of pain in extremity (1.1% vs. 0.8%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.

4.9. Overdose

Participants who received 58 micrograms of TRADENAME in clinical trials did not report an increase in reactogenicity or adverse events.¹⁸

In the event of overdose, monitoring of vital functions and possible symptomatic treatment is recommended.

5. PHARMACOLOGICAL PROPERTIES

5.1. Pharmacodynamic properties

Pharmacological class, therapeutic class

Vaccines

Refer to the current ATC code index for the appropriate code assignment for the pharmacologic and/or therapeutic class.

Mechanism of action

The nucleoside-modified messenger RNA in TRADENAME is formulated in lipid nanoparticles, which enable delivery of the RNA into host cells to allow expression of the SARS-CoV-2 S antigen. The vaccine elicits both neutralizing antibody and cellular immune responses to the spike (S) antigen, which may contribute to protection against COVID-19.^{19,20}

Efficacy

Study 2 is a multicenter, placebo-controlled efficacy study in participants 12 years of age and older. Randomization was stratified by age: 12 through 15 years of age, 16 through 55 years of

age, or 56 years of age and older, with a minimum of 40% of participants in the ≥56-year stratum. The study excluded participants who were immunocompromised and those who had previous clinical or microbiological diagnosis of COVID-19. Participants with pre-existing stable disease, defined as disease not requiring significant change in therapy or hospitalization for worsening disease during the 6 weeks before enrollment, were included as were participants with known stable infection with HIV, hepatitis C virus (HCV), or hepatitis B virus (HBV).

Efficacy in participants 16 years of age and older – after 2 doses

In the Phase 2/3 portion of Study 2, based on data accrued through 14 November 2020, approximately 44,000 participants 12 years of age and older were randomized equally and received 2 doses of COVID-19 mRNA Vaccine or placebo. The efficacy analyses included participants that received their second vaccination within 19 to 42 days after their first vaccination. The majority (93.1%) of vaccine recipients received the second dose 19 days to 23 days after Dose 1.⁵² Participants are planned to be followed for up to 24 months, for assessments of safety and efficacy against COVID-19.^{12,27}

The population for the analysis of the primary efficacy endpoint included, 36,621 participants 12 years of age and older (18,242 in the COVID-19 mRNA Vaccine group and 18,379 in the placebo group) who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.²² Table 3 presents the specific demographic characteristics in the studied population.

Table 3. Demographics (Population for the Primary Efficacy Endpoint)^{a,22}

	TRADENAME	Placebo
	(N=18,242) n (%)	(N=18,379) n (%)
Sex	(* *)	
Male	9318 (51.1)	9225 (50.2)
Female	8924 (48.9)	9154 (49.8)
Age (years)		
Mean (SD)	50.6 (15.70)	50.4 (15.81)
Median	52.0	52.0
Min, max	(12, 89)	(12, 91)
Age group	,	,
12 to 15 years	46 (0.3)	42 (0.2)
16 to 17 years	66 (0.4)	68 (0.4)
16 to 64 years	14,216 (77.9)	14,299 (77.8)
65 to 74 years	3176 (17.4)	3226 (17.6)
≥75 years	804 (4.4)	812 (4.4)
Race	•	
White	15,110 (82.8)	15,301 (83.3)
Black or African American	1617 (8.9)	1617 (8.8)
American Indian or Alaska Native	118 (0.6)	106 (0.6)
Asian	815 (4.5)	810 (4.4)

Table 3. Demographics (Population for the Primary Efficacy Endpoint)^{a,22}

	TRADENAME (N=18,242) n (%)	Placebo (N=18,379) n (%)
Native Hawaiian or other Pacific	н (70)	n (70)
Islander	48 (0.3)	29 (0.2)
Other ^b	534 (2.9)	516 (2.8)
Ethnicity		
Hispanic or Latino	4886 (26.8)	4857 (26.4)
Not Hispanic or Latino	13,253 (72.7)	13,412 (73.0)
Not reported	103 (0.6)	110 (0.6)
Comorbidities ^c		
Yes	8432 (46.2)	8450 (46.0)
No	9810 (53.8)	9929 (54.0)

- a. All eligible randomized participants who receive all vaccination(s) as randomized within the predefined window, have no other important protocol deviations as determined by the clinician, and have no evidence of SARS-CoV-2 infection prior to 7 days after Dose 2.
- b. Includes multiracial and not reported.
- c. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19.
 - Chronic lung disease (e.g., emphysema and chronic bronchitis, idiopathic pulmonary fibrosis, and cystic fibrosis) or moderate to severe asthma
 - Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension)
 - Obesity (body mass index $\geq 30 \text{ kg/m}^2$)
 - Diabetes (Type 1, Type 2, or gestational)
 - Liver disease
 - Human Immunodeficiency Virus (HIV) infection (not included in the efficacy evaluation)

At the time of the primary efficacy analysis, participants had been followed for symptomatic COVID-19 for at least 2214 person-years for the COVID-19 mRNA Vaccine and at least 2222 person-years in the placebo group.³²

There were no meaningful clinical differences in overall vaccine efficacy in participants who were at risk of severe COVID-19 including those with 1 or more comorbidities that increase the risk of severe COVID-19 [e.g., asthma, body mass index (BMI) \geq 30 kg/m², chronic pulmonary disease, diabetes mellitus, hypertension].^{23,24}

The vaccine efficacy information is presented in Table 4.

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

First COVID-19 occurrence from 7 days after Dose 2 in participants without evidence of prior SARS-CoV-2 infection*,34			
	TRADENAME N ² =18,198	Placebo Na=18,325	
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	8	162	95.0
All participants ^e	2.214 (17,411)	2.222 (17,511)	$(90.3, 97.6)^{f}$
	7	143	95.1
16 to 64 years	1.706 (13,549)	1.710 (13,618)	$(89.6, 98.1)^g$
_	1	19	94.7
≥65 years	0.508 (3848)	0.511 (3880)	$(66.7, 99.9)^g$
	1	14	92.9
65 to 74 years	0.406 (3074)	0.406 (3095)	$(53.1, 99.8)^g$
	0	5	100.0
≥75 years	0.102 (774)	0.106 (785)	$(-13.1, 100.0)^g$

First COVID-19 occurrence from 7 days after Dose 2 in participants with or without* evidence of prior SARS-CoV-2 infection²⁸

	TRADENAME Na=19,965	Placebo N ² =20,172	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	9	169	94.6
All participants ^e	2.332 (18,559)	2.345 (18,708)	$(89.9, 97.3)^{f}$
	8	150	94.6
16 to 64 years	1.802 (14,501)	1.814 (14,627)	$(89.1, 97.7)^g$
	1	19	94.7
≥65 years	0.530 (4044)	0.532 (4067)	$(66.8, 99.9)^g$
	1	14	92.9
65 to 74 years	0.424 (3239)	0.423 (3255)	$(53.2, 99.8)^g$
	0	5	100.0
≥75 years	0.106 (805)	0.109 (812)	$(-12.1, 100.0)^g$

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Abbreviations: NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. No confirmed cases were identified in adolescents 12 to 15 years of age.
- f. Two-sided credible interval for vaccine efficacy (VE) was calculated using a beta-binomial model with a beta (0.700102, 1) prior for θ =r(1-VE)/(1+r(1-VE)), where r is the ratio of surveillance time in the active vaccine group over that in the placebo group.
- g. Two-sided confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted to the surveillance time.

The subgroup analyses of vaccine efficacy including demographic characteristics is presented in Table 5.

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

Inicc	TRADENAME	Placebo	
	Na=18,198	Na=18,325	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
Sex			
	5	81	93.7
Female	1.090 (8536)	1.114 (8749)	(84.7, 98.0)
	3	81	96.4
Male	1.124 (8875)	1.108 (8762)	(88.9, 99.3)
Ethnicity			
Hispanic or	3	53	94.4
Latino	0.605 (4764)	0.600 (4746)	(82.7, 98.9)
Not			
Hispanic or	5	109	95.4
Latino	1.596 (12,548)	1.608 (12,661)	(88.9, 98.5)
Race	_	_	
Black or			
African	0	7	100.0
American	0.165 (1502)	0.164 (1486)	(31.2, 100.0)
	7	146	95.2
White	1.889 (14,504)	1.903 (14,670)	(89.8, 98.1)
_	1	9	89.3
All others ^f	0.160 (1405)	0.155 (1355)	(22.6, 99.8)

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

d. n2 = Number of participants at risk for the endpoint.

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

	TRADENAME	Placebo	
	Na=18,198	Na=18,325	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)

- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Updated efficacy analyses were performed with additional confirmed COVID-19 cases accrued during blinded placebo-controlled follow-up through 13 March 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.

The updated vaccine efficacy information is presented in Table 6.

Table 6. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period

First COVID-19 occurrence from 7 days after Dose 2 in participants without evidence of			
prior SARS-CoV-2 infection*,53			
	TRADENAME		
	N ^a =20,998	Placebo	
	Cases	Na=21,096 Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
	77	850	91.3
All participants ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
	70	710	90.6
16 through 64 years	4.859 (15,519)	4.654 (15,515)	(87.9, 92.7)
	7	124	94.5
65 years and older	1.233 (4192)	1.202 (4226)	(88.3, 97.8)
65 through	6	98	94.1
74 years	0.994 (3350)	0.966 (3379)	(86.6, 97.9)
75 years and	1	26	96.2
older	0.239 (842)	0.237 (847)	(76.9, 99.9)

First COVID-19 occurrence from 7 days after Dose 2 in participants with or without* evidence of prior SARS-CoV-2 infection ⁵⁴			
	TRADENAME N ^a =22,166	Placebo N ^a =22,320	
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
	81	873	91.1
All participants ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
	74	727	90.2
16 through 64 years	5.073 (16,218)	4.879 (16,269)	(87.6, 92.4)
	7	128	94.7
65 years and older	1.267 (4315)	1.232 (4326)	(88.7, 97.9)
65 through	6	102	94.3
74 years	1.021 (3450)	0.992 (3468)	(87.1, 98.0)
75 years and	1	26	96.2
older	0.246 (865)	0.240 (858)	(77.2, 99.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group (both <u>without</u> and <u>with or without</u> evidence of prior SARS-CoV-2 infection); 16 and 18 in the placebo group (<u>without</u> and <u>with or without</u> evidence of prior SARS-CoV-2 infection, respectively).

The updated subgroup analyses of vaccine efficacy by demographic characteristics are presented in Table 7 and Table 8.

Table 7. Vaccine Efficacy– First COVID-19 Occurrence From 7 Days After
Dose 2 – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics – Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

the Placebo-Controlled Follow-up Period ⁵⁵			
	TRADENAME	Placebo	
	Na=20,998	N ^a =21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	42	399	90.1
Male	3.246 (10,637)	3.047 (10,433)	(86.4, 93.0)
	35	451	92.4
Female	3.001 (10075)	2.956 (10,280)	(89.2, 94.7)
Ethnicity			
	29	241	88.5
Hispanic or Latino	1.786 (5161)	1.711 (5120)	(83.0, 92.4)
Not Hispanic or	47	609	92.6
Latino	4.429 (15,449)	4.259 (15,484)	(90.0, 94.6)
Race			, , ,
Black or African	4	48	91.9
American	0.545 (1737)	0.527 (1737)	(78.0, 97.9)
	67	747	91.3
White	5.208 (17,186)	5.026 (17,256)	(88.9, 93.4)
	6	55	90.0
All othersf	0.494 (1789)	0.451 (1720)	(76.9, 96.5)
Country			, , ,
•	15	108	86.5
Argentina	1.012 (2600)	0.986 (2586)	(76.7, 92.7)
	12	80	86.2
Brazil	0.406 (1311)	0.374 (1293)	(74.5, 93.1)
	0	1	100.0
Germany	0.047 (236)	0.048 (242)	(-3874.2, 100.0)
•	0	9	100.0
South Africa	0.080 (291)	0.074 (276)	(53.5, 100.0)
	0	5	100.0
Turkey	0.027 (228)	0.025 (222)	(-0.1, 100.0)
•	50	647	92.6
United States	4.674 (16,046)	4.497 (16,094)	(90.1, 94.5)

Table 7. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics — Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Table 8. Vaccine Efficacy– First COVID-19 Occurrence From 7 Days After
Dose 2 – Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics – Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

	TRADENAME	Placebo	
	N ^a =22,166	N ^a =22,320	
	Cases	Cases	X7 • T260 0/
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	44	411	89.9
Male	3.376 (11,103)	3.181 (10,920)	(86.2, 92.8)
	37	462	92.1
Female	3.133 (10,539)	3.093 (10,769)	(88.9, 94.5)
Ethnicity			
	32	245	87.4
Hispanic or Latino	1.862 (5408)	1.794 (5391)	(81.8, 91.6)
Not Hispanic or	48	628	92.6
Latino	4.615 (16,128)	4.445 (16,186)	(90.1, 94.6)

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

Topulation			
	TRADENAME N ² =22,166	Placebo N ² =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Race			
Black or African	4	49	92.0
American	0.611 (1958)	0.601 (1985)	(78.1, 97.9)
	69	768	91.3
White	5.379 (17,801)	5.191 (17,880)	(88.9, 93.3)
	8	56	86.8
All othersf	0.519 (1883)	0.481 (1824)	(72.1, 94.5)
Country			
	16	110	85.7
Argentina	1.033 (2655)	1.017 (2670)	(75.7, 92.1)
	14	82	84.2
Brazil	0.441 (1419)	0.408 (1401)	(71.9, 91.7)
	0	1	100.0
Germany	0.047 (237)	0.048 (243)	(-3868.6, 100.0)
	0	10	100.0
South Africa	0.099 (358)	0.096 (358)	(56.6, 100.0)
	0	6	100.0
Turkey	0.029 (238)	0.026 (232)	(22.2, 100.0)
	51	664	92.6
United States	4.861 (16,735)	4.678 (16,785)	(90.2, 94.6)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

The subgroup analyses of vaccine efficacy by risk status in participants is presented in Table 9.

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

	TRADENAME	Placebo	
	Na=18,198	Na=18,325	
Efficacy	Cases	Cases	
Endpoint	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
First COVID-19	occurrence from 7 days afte	r Dose 2	
At risk ^f			
	4	86	95.3
Yes	1.025 (8030)	1.025 (8029)	(87.7, 98.8)
	4	76	94.7
No	1.189 (9381)	1.197 (9482)	(85.9, 98.6)
Age group (year	s) and at risk		
16 to 64 and	4	69	94.2
not at risk	0.962 (7671)	0.964 (7701)	(84.4, 98.5)
16 to 64 and	3	74	95.9
at risk	risk 0.744 (5878) 0.746 (5917		(87.6, 99.2)
≥65 and not	0	7	100.0
at risk	0.227 (1701)	0.233 (1771)	(29.0, 100.0)
≥65 and at	1	12	91.7
risk	0.281 (2147)	0.279 (2109)	(44.2, 99.8)
Obeseg			
	3	67	95.4
Yes	0.763 (6000)	0.782 (6103)	(86.0, 99.1)
	5	95	94.8
No	1.451 (11,406)	1.439 (11,404)	(87.4, 98.3)
Age group (year	s) and obese		
16 to 64 and	4	83	95.2
not obese	1.107 (8811)	1.101 (8825)	(87.3, 98.7)
16 to 64 and	3	60	94.9
obese	0.598 (4734)	0.609 (4789)	(84.4, 99.0)
≥65 and not	1	12	91.8
obese	0.343 (2582)	0.338 (2567)	(44.5, 99.8)
≥65 and	0	7	100.0
obese	0.165 (1265)	0.173 (1313)	(27.1, 100.0)

Abbreviations: BMI = body mass index; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

* Subjects who had no serological or virological evidence (prior to 7 days after receipt of the last dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

	TRADENAME	Placebo	
	Na=18,198	N ^a =18,325	
Efficacy	Cases	Cases	
Endpoint	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)

- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted for surveillance time
- f. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m²).
- g. Obese is defined as BMI \geq 30 kg/m².

The updated subgroup analyses of vaccine efficacy by risk status in participants followed up to 6 months after Dose 2 (with a cut-off date of 13 March 2021) are presented in Table 10 and Table 11.

Table 10. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁵

	TRADENAME Placebo N ^a =20,998 N ^a =21,096 Cases Cases n1 ^b n1 ^b		Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
First COVID-19			
occurrence from	77	850	91.3
7 days after Dose 2 ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
At risk ^g			
	35	401	91.6
Yes	2.797 (9167)	2.681 (9136)	(88.2, 94.3)
	42	449	91.0
No	3.450 (11,545)	3.322 (11,577)	(87.6, 93.6)

Age group (years) and risk status					
16 through 64 and	41	385	89.8		
not at risk	2.776 (8887)	2.661 (8886)	(85.9, 92.8)		
16 through 64 and	29	325	91.5		
at risk	2.083 (6632)	1.993 (6629)	(87.5, 94.4)		
65 and older and	1	53	98.1		
not at risk	0.553 (1870)	0.546 (1922)	(89.2, 100.0)		
65 and older and	6	71	91.8		
at risk	0.680 (2322)	0.656 (2304)	(81.4, 97.1)		
Obese ^h					
	27	314	91.6		
Yes	2.103 (6796)	2.050 (6875)	(87.6, 94.6)		
	50	536	91.1		
No	4.143 (13,911)	3.952 (13,833)	(88.1, 93.5)		
Age group (years) an	d obesity status				
16 through 64 and	46	444	90.1		
not obese	3.178 (10,212)	3.028 (10,166)	(86.6, 92.9)		
16 through 64 and	24	266	91.3		
obese	1.680 (5303)	1.624 (5344)	(86.7, 94.5)		
65 and older and	4	79	95.2		
not obese	0.829 (2821)	0.793 (2800)	(87.1, 98.7)		
65 and older and	3	45	93.2		
obese	0.404 (1370)	0.410 (1426)	(78.9, 98.7)		

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 Years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For 12 through 15 years age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html_charts/bmiagerev.htm.

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME N ² =22,166	Placebo N ² =22,320		
	Cases	Cases	Vaccine Efficacy % (95% CI) ^e	
	n1 ^b	n1 ^b		
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)		
First COVID-19				
occurrence from	81	873	91.1	
7 days after Dose 2 ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)	
At risk ^g			, , ,	
	36	410	91.6	
Yes	2.925 (9601)	2.807 (9570)	(88.1, 94.2)	
	45	463	90.6	
No	3.584 (12,041)	3.466 (12,119)	(87.2, 93.2)	
Age group (years) an				
16 through 64 and	44	397	89.3	
not at risk	2.887 (9254)	2.779 (9289)	(85.4, 92.4)	
16 through 64 and	30	330	91.3	
at risk	2.186 (6964)	2.100 (6980)	(87.3, 94.2)	
65 and older and	1	55	98.2	
not at risk	0.566 (1920)	0.559 (1966)	(89.6, 100.0)	
65 and older and at	` ,	73	92.1	
risk	0.701 (2395)	0.672 (2360)	(82.0, 97.2)	
Obese ^h				
	28	319	91.4	
Yes	2.207 (7139)	2.158 (7235)	(87.4, 94.4)	
	53	554	90.8	
No	4.301 (14,497)	4.114 (14,448)	(87.9, 93.2)	
Age group (years) an	d obesity status		· · · · · ·	
16 through 64 and	49	458	89.8	
not obese	3.303 (10,629)	3.158 (10,614)	(86.2, 92.5)	
16 through 64 and	25	269 91.0		
obese	1.768 (5584)	1.719 (5649)	(86.4, 94.3)	
65 and older and	4	82	95.3	
not obese	0.850 (2899)	0.811 (2864) (87.6, 98.8)		
65 and older and	3	46	93.4	
obese	0.417 (1415)	0.420 (1462)	(79.5, 98.7)	

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME	Placebo	
	Na=22,166	Na=22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e

- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 to 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For the 12 through 15 years of age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Efficacy against severe COVID-19 - after 2 doses
Secondary efficacy analyses suggested benefit of the COVID-19 mRNA Vaccine in preventing severe COVID-19.

As of 14 November 2020, efficacy against severe COVID-19 (as defined by the study protocol) occurring after the first dose was 88.9% (95% CI: 20.1, 99.7) (1 case in COVID-19 mRNA Vaccine group and 9 cases in placebo group), with an estimated vaccine efficacy of 75.0% (95% CI: -152.6, 99.5) (1 case in COVID-19 mRNA Vaccine group and 4 cases in placebo group) against severe COVID-19 occurring at least 7 days after Dose 2.³⁶ Efficacy against severe COVID-19, defined by the Centers for Disease Control and Prevention as hospitalization, admission to the Intensive Care Unit, intubation or mechanical ventilation, or death occurring after the first dose, was 92.9% (95% CI: 53.2, 99.8) (1 case in COVID-19 mRNA Vaccine group and 14 cases in placebo group).³⁷

As of 13 March 2021, vaccine efficacy against severe COVID-19 is presented only for participants with or without prior SARS-CoV-2 infection (Table 12) as the COVID-19 case counts in participants without prior SARS-CoV-2 infection were the same as those in participants with or without prior SARS-CoV-2 infection in both the TRADENAME and placebo groups.

Table 12. Vaccine Efficacy – First Severe COVID-19 Occurrence in Participants With or Without* Prior SARS-CoV-2 Infection Based on FDA† or Centers for Disease Control and Prevention (CDC)‡ Definition After Dose 1 or From 7 Days After Dose 2 in the Placebo-Controlled Follow-up

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Vaccine Efficacy	- First Severe COVID-19	Occurrence Based on F	DA Definition ^{57,58}
•	TRADENAME	Placebo	
	Cases	Cases	
	n1 ^a	n1ª	Vaccine Efficacy %
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI ^c)
	1	30	96.7
After Dose 1 ^d	8.439 ^e (22,505)	8.288 ^e (22,435)	(80.3, 99.9)
	1	21	95.3
7 days after Dose 2 ^f	6.522 ^g (21,649)	6.404^{g} (21,730)	(70.9, 99.9)
Vaccine Efficacy	- First Severe COVID-19	Occurrence Based on C	DC Definition ^{59,60}
*	TRADENAME	Placebo	
	Cases	Cases	
	n1ª	n1ª	Vaccine Efficacy %
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI°)
	1	45	97.8
After Dose 1 ^d	8.427 ^e (22,473)	8.269 ^e (22,394)	(87.2, 99.9)
	0	32	100
7 days after Dose 2 ^f	6.514g (21,620)	$6.391^{g}(21,693)$	(88.0, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- † Severe illness from COVID-19 as defined by FDA is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Clinical signs at rest indicative of severe systemic illness (respiratory rate ≥30 breaths per minute, heart rate ≥125 beats per minute, saturation of oxygen ≤93% on room air at sea level, or ratio of arterial oxygen partial pressure to fractional inspired oxygen <300 mm Hg);
 - Respiratory failure [defined as needing high-flow oxygen, noninvasive ventilation, mechanical ventilation or extracorporeal membrane oxygenation (ECMO)];
 - Evidence of shock (systolic blood pressure <90 mm Hg, diastolic blood pressure <60 mm Hg, or requiring vasopressors):
 - Significant acute renal, hepatic, or neurologic dysfunction;
 - Admission to an Intensive Care Unit;
 - Death.
- Severe illness from COVID-19 as defined by CDC is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Hospitalization:
 - Admission to the Intensive Care Unit;
 - Intubation or mechanical ventilation;
 - Death.
- a. n1 = Number of participants meeting the endpoint definition.
- b. n2 = Number of participants at risk for the endpoint.
- c. Two-side confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.

- d. Efficacy assessed based on the Dose 1 all-available efficacy (modified intention-to-treat) population that included all randomized participants who received at least 1 dose of study intervention.⁶²
- e. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from Dose 1 to the end of the surveillance period.
- f. Efficacy assessed based on the evaluable efficacy (7 Days) population that included all eligible randomized participants who receive all dose(s) of study intervention as randomized within the predefined window, have no other important protocol deviations as determined by the clinician.⁶²
- g. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

Efficacy and immunogenicity in adolescents 12 to 15 years of age – after 2 doses

An analysis of Study 2 has been performed in adolescents 12 to 15 years of age up to a data cut-off date of 13 March 2021.

The vaccine efficacy information in adolescents 12 to 15 years of age is presented in Table 13.

Table 13. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 to 15 Years of Age Evaluable Efficacy (7 Days) Population

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age without evidence of prior SARS-CoV-2 infection*,46						
	$ \begin{array}{c ccccc} TRADENAME & Placebo & \\ N^a=1005 & N^a=978 & \\ Cases & Cases & \\ n1^b & n1^b & Vaccine Efficacy \\ Surveillance Time^c (n2^d) & Surveillance Time^c (n2^d) & (95\% CI^e) \\ \end{array} $					
Adolescents 12 to						
15 Years of Age	0 0.154 (1001)	16 0.147 (972)	100.0 (75.3, 100.0)			

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age with or without* evidence of prior SARS-CoV-2 infection⁴⁷

	TRADENAME N ² =1119 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ² =1110 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI°)
Adolescents		·	·
12 to			
15 Years of	0	18	100.0
Age	0.170 (1109)	0.163 (1094)	(78.1, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

In Study 2 an analysis of SARS-CoV-2 neutralizing titers in a randomly selected subset of participants was performed to demonstrate non-inferior immune responses (within 1.5-fold) comparing adolescents 12 to 15 years of age to participants 16 to 25 years of age who had no serological or virological evidence of past SARS-CoV-2 infection. The immune response to TRADENAME in adolescents 12 to 15 years of age (n=190) was non-inferior to the immune response in participants 16 to 25 years of age (n=170), based on results for SARS-CoV-2 neutralizing titers at 1 month after Dose 2. The geometric mean titers (GMT) ratio of the adolescents 12 to 15 years of age group to the participants 16 to 25 years of age group was 1.76, with a 2-sided 95% CI of 1.47 to 2.10, meeting the 1.5-fold non-inferiority criterion (the lower bound of the 2-sided 95% CI for the geometric mean ratio [GMR] >0.67) which indicates a statistically greater response in the adolescents 12 to 15 years of age than that of participants 16 to 25 years of age.

Immunogenicity in children 5 through <12 years of age – after 2 doses⁷³

Study 3 is a Phase 1/2/3 study comprised of an open-label vaccine dose-finding portion (Phase 1) and a multicenter, multinational, randomized, saline placebo-controlled, observer-blind efficacy portion (Phase 2/3) that has enrolled participants 5 through <12 years of age.

In Study 3, an analysis of SARS-CoV-2 50% neutralizing titers (NT50) 1 month after Dose 2 in a randomly selected subset of participants demonstrated effectiveness by immunobridging of immune responses comparing children 5 through less <12 years of age in the Phase 2/3 part of Study 3 to participants 16 through 25 years of age in the Phase 2/3 part of Study 2 who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after Dose 2, meeting the prespecified immunobridging criteria for both the GMR and the seroresponse difference with seroresponse defined as achieving at least 4-fold rise in SARS-CoV-2 NT50 from baseline (before Dose 1).

The ratio of the SARS-CoV-2 NT50 in children 5 through <12 years of age to that of young adults 16 to 25 years of age was 1.04 (2-sided 95% CI: 0.93, 1.18), as presented in Table 14.

Table 14: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of Children 5 Through Less Than 12 Years of Age (Study 3) to Participants 16 Through 25 Years of Age (Study 2) – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Dose 2 Evaluable Immunogenicity Population⁷³

•		TRADI	ENAME		,
		10 mcg/Dose 5 Through <12 Years n ^a =264	30 mcg/Dose 16 Through 25 Years n ^a =253		igh <12 Years/ ough 25 Years
Assay	Time Point ^b	GMT° (95% CI°)	GMT ^c (95% CI ^c)	GMR ^d (95% CI ^d)	Met Immunobridging Objective ^e (Y/N)
SARS-CoV-2 neutralization					
assay - NT50	1 month after	1197.6	1146.5	1.04	
(titer) ^f	Dose 2	(1106.1, 1296.6)	(1045.5, 1257.2)	(0.93, 1.18)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. n = Number of participants with valid and determinate assay results for the specified assay at the given dose/sampling time point.
- b. Protocol-specified timing for blood sample collection.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOO were set to 0.5 × LLOO.
- d. GMRs and 2-sided 95% CIs were calculated by exponentiating the mean difference of the logarithms of the titers (Group 1[5 through <12 years of age] Group 2 [16 through 25 years of age]) and the corresponding CI (based on the Student t distribution).
- e. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the GMR is greater than 0.67 and the point estimate of the GMR is ≥0.8.
- f. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Among participants without prior evidence of SARS-CoV-2 infection up to 1 month after Dose 2, 99.2% of children 5 through less than 12 years of age and 99.2% of participants 16 through 25 years of age had a seroresponse from before vaccination to 1 month after Dose 2. The difference in proportions of participants who had seroresponse between the 2 age groups (children – young adult) was 0.0% (2-sided 95% CI: -2.0%, 2.2%), as presented in Table 15.

Table 15: Difference in Percentages of Participants With Seroresponse – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Immunobridging Subset – Phase 2/3 – Comparison of 5 Through <12 Years of Age to Study 2 Phase 2/3 16 Through 25 Years of Age – Evaluable Immunogenicity Population⁷³

			ech COVID-19		
			cine	-	
		Study 3	Study 2		
		10 mcg/Dose	30 mcg/Dose		
		5 Through	16 Through		
		< 12 Years	25 Years	5 Through	1 <12 Years /
		$N^a=264$	Na=253	16 Throu	gh 25 Years
					Met
					Immunobridging
		n° (%)	n° (%)	Difference %e	Objective ^g
Assay	Time Pointb	(95% CI ^d)	(95% CI ^d)	(95% CI ^f)	(Y/N)
SARS-CoV-2					
neutralization					
assay - NT50	1 month	262 (99.2)	251 (99.2)	0.0	
(titer) ^h	after Dose 2	(97.3, 99.9)	(97.2, 99.9)	(-2.0, 2.2)	Y

Abbreviations: LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer 50; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. N = number of participants with valid and determinate assay results both before vaccination and at 1 month after Dose 2. These values are the denominators for the percentage calculations.
- b. Protocol-specified timing for blood sample collection.
- c. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- d. Exact 2-sided CI based on the Clopper and Pearson method.
- e. Difference in proportions, expressed as a percentage (Group 1 [5 through < 12 years of age] Group 2 [16 through 25 years of age]).
- f. 2-Sided CI, based on the Miettinen and Nurminen method for the difference in proportions, expressed as a percentage.
- g. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the difference in proportions is greater than -10.0%.
- h. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Immunogenicity in participants 18 years of age and older – after booster dose⁷¹

Effectiveness of a booster dose of TRADENAME was demonstrated by evaluating noninferiority immune responses of SARS-CoV-2 NT50 1 month after a booster dose. In Study 2, an analysis of SARS-CoV-2 NT50 demonstrated non-inferior immune responses 1 month after a booster dose

compared to 1 month after Dose 2 in participants at least 18 through 55 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose, based on prespecified noninferiority criteria for both GMR and difference in seroresponse rates. Seroresponse for a participant was defined as achieving a ≥4-fold rise from baseline (before Dose 1) in NT50 (Table 16 and Table 17).

The SARS-CoV-2 NT50 GMR of 1 month after the booster dose to 1 month after Dose 2 was 3.29 (2-sided 97.5% CI: 2.76, 3.91), which met the noninferiority criteria for GMR (lower bound of the 2-sided 97.5% CI >0.67 and point estimate of the GMR \geq 0.8).

A high proportion of participants (99.5%) had seroresponse 1 month after Dose 3 compared with 98.0% 1 month after Dose 2. The difference in proportions of participants with a seroresponse 1 month after the booster (Dose 3) and 1 month after Dose 2 (Dose 3 minus Dose 2) was 1.5% (2-sided 97.5% CI: -0.7%, 3.7%), which met the 10% noninferiority criterion (i.e., lower bound of the 2-sided 97.5% CI >-10%).

Table 16: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

		TRADENAME Sampling Time Point			
Assay	n ^a	1 Month After Booster Dose GMT ^b (95% CI ^b)	1 Month After Dose 2 GMT ^b (95% CI ^b)	1 Month After Booster Dose - 1 Month After Dose 2 GMR ^c (97.5% CI ^c)	Met Noninferiority Objective ^d (Y/N)
SARS-CoV-2 neutralization assay - reference strain -		2476.4	753.7	3.29	
NT50 (titer) ^e	210	(2210.1, 2774.9)	(658.2, 863.1)	(2.76, 3.91)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of a booster dose of COMIRNATY) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after the booster dose were included in the analysis.
- a. n = Number of participants with valid and determinate assay results at both sampling time points within specified window.
- b. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- c. GMRs and 2-sided 97.5% CIs were calculated by exponentiating the mean differences in the logarithms of the assay and the corresponding CIs (based on the Student t distribution).
- d. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the GMR is >0.67 and the point estimate of the GMR is ≥ 0.80 .
- e. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on

Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Table 17: Percentage Difference of Participants Achieving Seroresponse – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Phase 3 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

		TRADENAME Sampling Time Point		Difference (1 Month After	
		1 Month After Booster Dose	1 Month After Dose 2	Booster Dose - 1 Month After Dose 2)	Met Noninferiority
Assay	N^a	n ^b % (95% CI°)	n ^b % (95% CI°)	% ^d (97.5% CI ^e)	Objective ^f (Y/N)
SARS-CoV-2 neutralization assay -					
reference strain -		197	194	1.5	
NT50 (titer) ^g	198	99.5 (97.2, 100.0)	98.0 (94.9, 99.4)	(-0.7, 3.7)	Y

Abbreviations: CI = confidence interval; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of booster dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after booster dose were included in the analysis.
- a. N = number of participants with valid and determinate assay results for the specified assay at baseline, 1 month after Dose 2 and 1 month after the booster dose within specified window. These values are the denominators for the percentage calculations.
- b. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- c. Exact 2-sided CI based on the Clopper and Pearson method.
- d. Difference in proportions, expressed as a percentage (1 month after booster dose 1 month after Dose 2).
- e. Adjusted Wald 2-sided CI for the difference in proportions, expressed as a percentage.
- f. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the percentage difference is >-10%.
- g. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Relative vaccine efficacy in participants 16 years of age and older – after booster dose⁸⁰

An interim efficacy analysis of Study 4, a placebo-controlled booster study, was performed in approximately 10,000 participants 16 years of age and older who were recruited from Study 2, evaluated confirmed COVID-19 cases accrued from at least 7 days after booster vaccination up to a data cut-off date of 5 October 2021, which represents a median of 2.5 months post-booster follow-up. Vaccine efficacy of the TRADENAME booster dose after the primary series relative to the placebo booster group who only received the primary series dose was assessed. The relative vaccine efficacy information for participants 16 years of age and older is presented in Table 18.

Table 18: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Booster Vaccination – Participants 16 Years of Age and Older Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Booster Vaccination – Evaluable Efficacy Population⁸⁰

First COVID-19 occu	rrence from 7 days after	booster dose in participant	s without evidence of
	prior SARS-C	oV-2 infection*	
	Comirnaty	Placebo	
	N ^a =4695	N ^a =4671	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	6	123	95.3
vaccination	0.823 (4659)	0.792 (4614)	(89.5, 98.3)
First COVID-19 occ	currence from 7 days afte	r booster dose in participa	nts with or without
	evidence of prior SA	ARS-CoV-2 infection	
	Comirnaty	Placebo	
	N ^a =4993	N ^a =4952	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	7	124	94.6
vaccination	0.871 (4934)	0.835 (4863)	(88.5, 97.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; yomiting)

- * Participants who had no serological or virological evidence (prior to 7 days after receipt of the booster vaccination) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visit 1, and had a negative NAAT [nasal swab] at any unscheduled visit prior to 7 days after booster vaccination) were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after the booster vaccination to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Relative vaccine efficacy of the Comirnaty booster group relative to the placebo group (non-booster).
- f. Two-sided confidence interval (CI) for relative vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

5.2. Pharmacokinetic properties

Not applicable.

5.3. Preclinical safety data

Non-clinical data reveal no special hazard for humans based on conventional studies of repeat dose toxicity and reproduction and developmental toxicity.^{10,11}

6. PHARMACEUTICAL PARTICULARS

6.1. List of excipients^{2,3,74}

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose.**]

TRADENAME (Dilute Before Use)

(4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Potassium chloride

Potassium dihydrogen phosphate

Sodium chloride

Disodium hydrogen phosphate dihydrate

Sucrose

Water for injections

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose.]**

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose.]**

TRADENAME (for age 5 years to <12 years)

((4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC-0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Tromethamine

Tromethamine hydrochloride

Sucrose

Water for injections

6.2. Incompatibilities

This medicinal product must not be mixed with other medicinal products except those mentioned in Sections 6.3 and 6.6.

6.3. Shelf life

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Dilute Before Use)

Unopened vial

9 months at -90 °C to -60 °C.^{63,70}

Alternatively, unopened vials may be stored and transported at -25 °C to -15 °C for a total of 2 weeks and can be returned to -90 °C to -60 °C.³⁹

Once removed from the freezer, the unopened vial can be stored for up to 1 month at 2 °C to 8 °C. Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.^{29,63} Prior to use, the unopened vial can be stored for up to 2 hours at temperatures up to 30 °C.

Once thawed, the vaccine should not be re-frozen.

Transfers of frozen vials stored at ultra-low temperature (<-60 °C)

- <u>Closed-lid vial trays</u> containing 195 vials removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to <u>5 minutes</u>.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in frozen storage for at least 2 hours before they can be removed again.

Transfers of frozen vials stored at -25 °C to -15 °C⁴⁰

- <u>Closed-lid vial trays</u> containing 195 vials removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 1 minute.

Once a vial is removed from the vial tray, it should be thawed for use.

Diluted medicinal product

Chemical and physical in-use stability, including during transportation,³⁰ has been demonstrated for 6 hours at 2 °C to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for

injection. From a microbiological point of view, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30** micrograms/dose.]

TRADENAME (Do Not Dilute)⁷⁵

Unopened vial

9 months when stored at -90 °C to -60 °C.⁷⁹

TRADENAME (Do Not Dilute) may be received frozen at -90 °C to -60 °C. ⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks within the 9month shelf life.⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following first puncture.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Opened vial

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately after the first puncture. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)⁷⁵

Unopened vial

9 months when stored at -90 °C to -60 °C.⁷⁹

TRADENAME (for age 5 years to <12 years) may be received frozen at -90 °C to -60 °C.⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks within the 9-month shelf life.⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following dilution.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Diluted medicinal product

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C, after dilution with sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

6.4. Special precautions for storage^{2,25,75}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use)

Store in a freezer at -90 °C to -60 °C.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

For storage conditions after thawing and dilution of the medicinal product, see Section 6.3.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

TRADENAME (Do Not Dilute) and TRADENAME (for age 5 years to <12 years) can be stored in a refrigerator at 2 °C to 8 °C for a single period of up to 10 weeks, not exceeding the original expiry date (EXP). Alternatively, the vaccine may be stored in a freezer at -90 °C to -60 °C. The expiry date for storage at -90 °C to -60 °C is printed on the vial and outer carton after "EXP".

The vaccine may be received frozen at -90 °C to -60 °C or at -25 °C to -15 °C. Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt. Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date has been updated to reflect the refrigerated EXP date and that the original expiry date has been crossed out.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at room temperature (up to 30 °C).

Once thawed, the vaccine cannot be re-frozen.

Thawed vials can be handled in room light conditions.

6.5. Nature and contents of container

Information to be provided by local subsidiary.

$\textbf{6.6. Special precautions for disposal and other handling}^{2,3,26,29,30,35,63,75,77,78}$

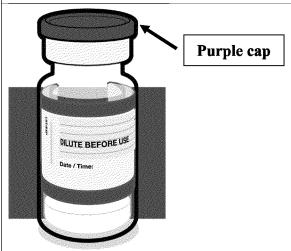
Handling instructions

TRADENAME should be prepared by a healthcare professional using aseptic technique to ensure the sterility of the prepared dispersion.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

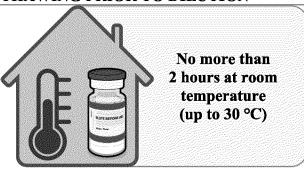
TRADENAME (Dilute Before Use)

DOSE VERIFICATION



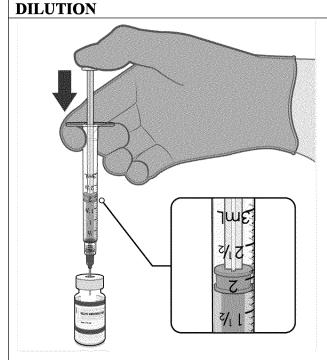
Verify that the vial has a purple plastic cap. If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

THAWING PRIOR TO DILUTION



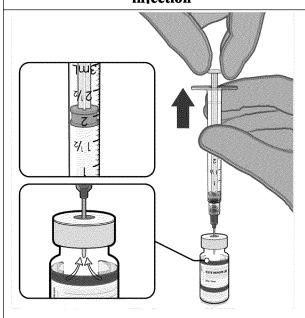
- The multidose vial is stored frozen and must be thawed prior to dilution. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 195 vial pack may take 3 hours to thaw. Alternatively, frozen vials may also be thawed for 30 minutes at temperatures up to 30 °C for immediate use.
- The unopened vial can be stored for up to 1 month at 2 °C to 8 °C. Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.
- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to offwhite opaque amorphous particles.

TRADENAME (Dilute Before Use)



1.8 mL of 0.9% sodium chloride injection

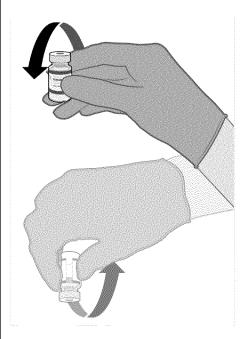
• The thawed vaccine must be diluted in its original vial with 1.8 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.



Pull back plunger to 1.8 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.8 mL air into the empty diluent syringe.

TRADENAME (Dilute Before Use)



Gently × 10

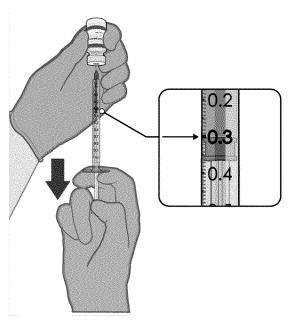
- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as an off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.



Record appropriate date and time. Use within 6 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 6 hours, including any transportation time.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

TRADENAME (Dilute Before Use) PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL diluted vaccine

- After dilution, the vial contains 2.25 mL from which 6 doses of 0.3 mL can be extracted.
- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead-volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 6 hours after dilution.

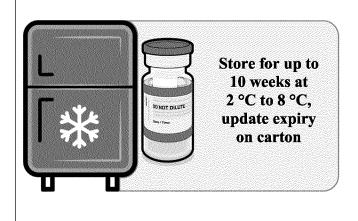
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

DOSE VERIFICATION Grey cap Do Not Dilute Date / Times:

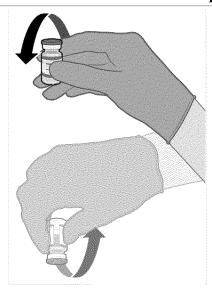
• Verify that the vial has a grey plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 6 hours to thaw. Ensure vials are completely thawed prior to use.
- Update the expiry date on the carton.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C.
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

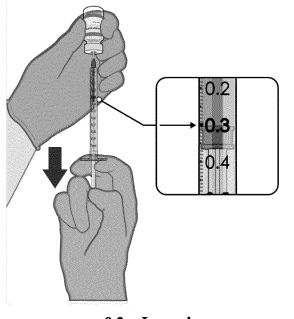
TRADENAME (Do Not Dilute)



- Gently mix by inverting vials 10 times prior to use. Do not shake.
- Prior to mixing, the thawed dispersion may contain white to off-white opaque amorphous particles.
- After mixing, the vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the vaccine if particulates or discoloration are present.

Gently × 10

PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine 12 hours after first puncture. Record the appropriate date/time on the vial.

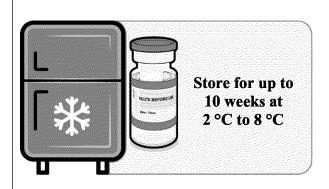
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

Orange cap 10 mcg Date / Time:

• Verify that the vial has an orange plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute).

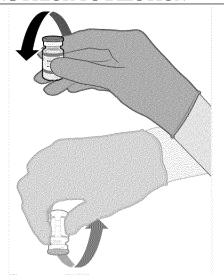
HANDLING PRIOR TO USE



- must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 4 hours to thaw. Ensure vials are completely thawed prior to use.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C.
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

TRADENAME (for age 5 years to <12 years)

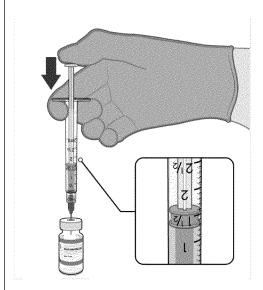
MIXING PRIOR TO DILUTION



Gently × 10

- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to off-white opaque amorphous particles.

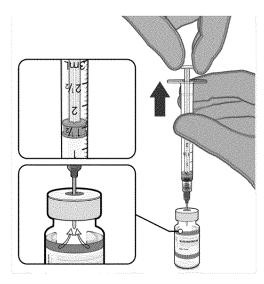
DILUTION



1.3 mL of 0.9% sodium chloride

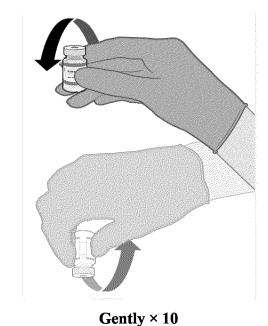
 The thawed vaccine must be diluted in its original vial with 1.3 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.

TRADENAME (for age 5 years to <12 years)



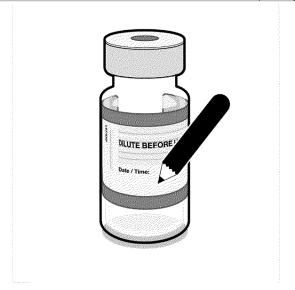
Pull back plunger to 1.3 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.3 mL air into the empty diluent syringe.



- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.

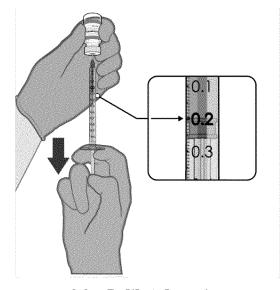
TRADENAME (for age 5 years to <12 years)



Record appropriate date and time. Use within 12 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 12 hours.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

PREPARATION OF INDIVIDUAL 0.2 mL DOSES OF TRADENAME



0.2 mL diluted vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.2 mL of TRADENAME for children age 5 to 11 years.

Low dead-volume syringes and/or needles should be used in order to extract 10 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract ten doses from a single vial.

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 12 hours after dilution.

Disposal

Any unused medicinal product or waste material should be disposed of in accordance with local requirements.

7. REFERENCES

- 1. BB-IND19736 Section 3.2.S.1.1
- 2. BB-IND19736 Section 3.2.P.2
- 3. BB-IND19736 Section 3.2.P.1
- 4. Global Emergency Use Authorization Application, Section 1.3 Intended Use
- 5. Global Emergency Use Authorization Application, Section 1.2 Description of Product
- 6. Vaccine Efficacy First COVID-19 Occurrence ≥7 Days After Dose 2 Subjects Without Evidence of Infection Before Vaccination, by Subgroup Evaluable Efficacy (7 Days) Population
- 7. Global Emergency Use Authorization Application, Section 7.3.1 Geriatric use
- 8. Module 5.3.5.1 Table 5: Demographic Characteristics—Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2—Evaluable Efficacy (7 Days) Population Reference no longer applicable; removed in CDS version 4
- 9. Ezeanolue E, Harriman K, Hunter P, Kroger A, Pellegrini C. General Best Practice Guidelines for Immunization. Best Practices Guidance of the Advisory Committee on Immunization Practices (ACIP)
- Module 4.2.3 Study 20256434 (RN9391R58), Section 4.2.3.5 Final Report A Combined Fertility and Developmental Study of BNT162b1, BNT162b2 and BNT162b3 by the Intramuscular Route in the Wistar Rat
- 11. Module 4.2.3.5 Study 20256434 (RN9391R58) Summary for BNT162b2 DART
- 12. Global Emergency Use Authorization Application, Section 6.2.1.2
- 13. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 14. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 15. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.5 Overall Conclusions
- 16. Module 2.5 Clinical Overview, COVID-19 Vaccine, 2021, CO for CDS (anaphylaxis & hypersensitivity)
- 17. Global Emergency Use Authorization, Section 6.2.4.1.1.3.1 Overview of Adverse Events
- 18. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.4.5
- 19. Global Emergency Use Authorization Application, Section 1.2.2 RNA-Lipid Nanoparticle Formulation
- 20. Global Emergency Use Authorization, Section 6.2.2.1.1.3 Study BNT162-01 Immunogenicity Conclusions in Phase 1
- 21. Global Emergency Use Authorization, Section 6.2.1.1 Phase 1 First-in-Human BNT162-01

- 22. Module 5.3.5.1 Study C4591001, Table Title: Demographic Characteristics Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 23. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 24. Baseline Charlson Comorbidities ~38,000 Subjects for Phase 2/3 Analysis Safety Population
- 25. BB-IND19736, Section 3.2.P.8
- 26. BB-IND19736, Section 3.2.P.5.2
- 27. Global Emergency Use Authorization, Table 5: Demographic Characteristics Phase 2 Dose 2 Evaluable Immunogenicity Population
- 28. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 29. BB-IND19736, Section 3.2.P.3.5
- 30. BB-IND19736, Section 3.2.P.2.6
- 31. Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by Baseline SARS-CoV-2 Status -- ~38000 Subjects for Phase 2/3 Analysis Safety Population
- 32. Global Emergency Use Application, Table 35 Vaccine Efficacy First COVID-19
 Occurrence From 7 Days After Dose 2 Subjects Without Evidence of Infection Prior to
 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 33. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 34. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 All-Available Efficacy
- 35. Module 3.2.P Dosage and Administration Instructions for BNT162 (PF-07302048) Vaccine, 0.5 mg/mL
- 36. Module 5.3.5.1 C4591001 Clinical Study Report, Section 11.1.2.3.2.1.3 Table 40
- 37. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Dose 1 All-Available Efficacy Population
- 38. Module 2.5, Clinical Overview to Support Inclusion of Pain in Extremity, Diarrhea, and Vomiting as Adverse Drug Reactions in Section 4.8 of the Core Data Sheet, February 2021
- 39. Module 3.2.P.8.1 Stability Summary and Conclusion
- 40. IND Section 3.2.P.2.3 Storage Shipping and Distribution

- 41. Module 2.7.4 Summary of Clinical Safety, MAA Type II Variation (12-15 Years) Table 6: Demographic Characteristics Subjects 12 Through 15 and 16 Through 25 Years of Age Safety Population
- 42. Table: Follow-up Time After Dose 2 Subjects 12 Through 15 Years of Age Safety Population
- 43. Table: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 44. Table: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 45. Table: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 46. Table: Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects 12 Through 15 Years of Age and Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 47. Table: Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects 12 Through 15 Years of Age and With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 48. Table: Summary of Geometric Mean Ratio NT50 Comparison of Subjects 12 Through 15 Years of Age to Subjects 16 Through 25 Years of Age (Immunogenicity Subset) Subjects Without Evidence of Infection up to 1 Month After Dose 2 Dose 2 Evaluable Immunogenicity Population
- 49. Module 2.7.4 Summary of Clinical Safety, COVID-19 Vaccine MAA Type II Variation (12-15 Years) April 2021
- 50. Interim Report 6 Month Update: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-COV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals [hereafter Interim Report 6 Month Update] (13 March 2021), Supplemental Table 14.198 Demographic Characteristics, by Age Groups Phase 2/3 Subjects ≥16 Years of Age Safety Population
- 51. Interim Report 6 Month Update (13 March 2021), Supplemental table 14.84 Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2 Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV Positive Subjects ≥16 Years of Age Safety Population
- 52. Final Analysis Interim Report: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-COV-2 RNA Vaccine Candidates Against COVID-19 in Healthy

- Individuals (04 November 2020), Section 10.3.3 Phase 2/3, Table 8 Vaccine Administration Timing ~38000 Subjects for Phase 2/3 Analysis All Randomized Subjects
- 53. Interim Report 6 Month Update (13 March 2021), Table 19. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 54. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.59. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period–Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 55. Interim Report 6 Month Update (13 March 2021), Table 20. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 56. Interim Report 6 Month Update (13 March 2021), Table 21. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 57. Interim Report 6 Month Update (13 March 2021), Table 25. Vaccine Efficacy First Severe COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 58. Interim Report 6 Month Update (13 March 2021), Table 26. Vaccine Efficacy First Severe COVID-19 Occurrence After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
- 59. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.61. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
- 60. Interim Report 6 Month Update (13 March 2021), Table 28. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 61. EUA Amendment for Pfizer-BioNTech COVID-19 Vaccine, 6-Month Follow-Up Data from Participants 16 Years of Age and Older (13 March 2021), Section 6.2.1.2.1.2 Efficacy
- 62. Interim Report 6 Month Update (13 March 2021), Table 4. Analysis Populations
- 63. Module 3.2.P.8.1 Stability Summary and Conclusion, August 2021
- 64. Adverse Drug Reaction Frequency Justification Document, COVID-19 Vaccine (BNT162B2), December 2021
- 65. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.72 Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset)

- Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV-Positive Subjects ≥16
 Years of Age Safety Population
- 66. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.79 Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV-Positive Subjects ≥16 Years of Age Safety Population
- 67. 2.5 Clinical Overview to Support Inclusion of Vaccine Stress-Related Reactions in Section 4.4 of the Core Data Sheet, May 2021
- 68. EUA Amendment for Pfizer-BioNTech COVID-19 Vaccine, 6-Month Follow-Up Data from Participants 16 Years of Age and Older (13 March 2021), Section 6.2.2.1.1 Study Populations BNT162-01 Phase 1 Participants
- 69. 2.5 Clinical Overview to Support Inclusion of Myocarditis & Pericarditis in Section 4.4 (Special Warnings and Precautions for use) of the Core Data Sheet, July 2021
- 70. Module 3.2.P.8.3 Stability Data, August 2021
- 71. Interim Report BNT162b2 Booster (Dose 3): A Phase 1/2/3, Placebo Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-CoV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals
- 72. Module 3.2.P.2.2 Drug Product Tris-Sucrose, September 2021
- 73. Interim Report Children 5 to <12 Years of Age: A Phase 1, Open-Label Dose-Finding Study to Evaluate Safety, Tolerability, and Immunogenicity and Phase 2/3 Placebo-Controlled, Observer-Blinded Safety, Tolerability, and Immunogenicity Study of a SARS-CoV-2 RNA Vaccine Candidate against COVID-19 in Healthy Children and Young Adults
- 74. Module 3.2.P.1 Description and Composition of the Drug Product Tris-Sucrose, September 2021
- 75. Module 3.2.P.8.1 Stability Summary and Conclusion Tris-Sucrose, September 2021
- 76. Module 3.2.P.3.5 Shipping Validation Tris-Sucrose, September 2021
- 77. Module 3.2.P.2.6 Compatibility Tris-Sucrose, September 2021
- 78. Module 3.2.P.2.3 Manufacturing Process Development Process Development and Characterization Tris/Sucrose, September 2021
- 79. Module 3.2.P.8.1 Stability Summary and Conclusions Tris-Sucrose, November 2021
- 80. 2.5 Clinical Overview for Adult Booster Efficacy MAA Study C4591031, November 2021

Appendix A: Adverse Drug Reactions (ADRs) and Numeric Frequencies Listed in Order of Decreasing Frequency Within Each System Organ Class (SOC)

Table A-1. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

Oluei (13 Maich 2021		Frequency
System Organ Class	ADR Term	n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	83/21926 (0.4%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
-	Hypersensitivity reactions	
	Rash ^d	54/21926 (0.2%) ^a
	Pruritus ^d	23/21926 (0.1%) ^a
	Urticaria ^d	15/21926 (0.1%) ^a
	Angioedema ^d	3/21926 (0.01%) ^a
Metabolism and nutrition disorders	Decreased appetite	39/21926 (0.2%) ^a
Nervous system disorders	Headache	2814/4924 (57.1%) ^b
-	Lethargy	25/21926 (0.1%) ^a
Gastrointestinal disorders	Diarrhead	758/4924 (15.4%) ^b
	Vomitingd	110/4924 (2.2%) ^b
	Nausea	274/21926 (1.2%) ^a
Skin and subcutaneous tissue	Hyperhidrosis	31/21926 (0.1%) ^a
disorders	Night sweats	17/21926 (0.1%) ^a
Musculoskeletal and connective tissue	Myalgia (muscle pain)	1980/4924 (40.2%) ^b
disorders	Arthralgia (joint pain)	1232/4924 (25.0%) ^b
	Pain in extremity (arm) ^d	185/21926 (0.8%) ^a
General disorders and administration	Injection site pain	4153/4924 (84.3%)°
site conditions	Fatigue	3185/4924 (64.7%) ^b
	Chills	1707/4924 (34.7%) ^b
	Pyrexia	749/4924 (15.2%) ^b
	Injection site swelling	546/4924 (11.1%)°
	Injection site redness	486/4924 (9.9%)°
	Malaise	130/21926 (0.6%) ^a
	Asthenia	76/21926 (0.3%) ^a

a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term – Blinded Placebo-Controlled Follow-up Period – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cutoff date: 13March2021)

b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cutoff date: 13March2021)

c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cutoff date: 13March2021)

d. These adverse reactions were identified in the post-authorization period.

Table A-2. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

Courtour Ocean Class	ADD T	Frequency
System Organ Class	ADR Term	n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	9/1131 (0.8%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
	Hypersensitivity reactions	
	Rash ^d	2/1131 (0.2%) ^a
	Urticaria ^d	2/1131 (0.2%) ^a
	Pruritus ^{d,e}	
	Angioedema ^{d,e}	
Metabolism and nutrition disorders	Decreased appetite ^e	
Nervous system disorders	Headache	854/1131 (75.5%) ^b
	Lethargy ^e	
Gastrointestinal disorders	Diarrhea ^d	141/1131 (12.5%) ^b
	Vomiting ^d	59/1131 (5.2%) ^b
	Nausea	5/1131 (0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e	
disorders	Night sweats ^e	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	477/1131 (42.2%) ^b
disorders	Arthralgia (joint pain) (new)	229/1131 (20.2%) ^b
	Pain in extremity (arm) ^d	1/1131 (0.1%) ^a
General disorders and administration	Injection site pain	1023/1131 (90.5%)°
site conditions	Fatigue	876/1131 (77.5%) ^b
	Chills	557/1131 (49.2%) ^b
	Pyrexia	275/1131 (24.3%) ^b
	Injection site swelling	104/1131 (9.2%)°
	Injection site redness	97/1131 (8.6%)°
	Malaise ^e	· · · · · · · · · · · · · · · · · · ·
	Astheniae	

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) –Safety Population (Cutoff date: 13March2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cutoff date: 13March2021).
- c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cutoff date: 13March2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in the 12 through 15 year old age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table A-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

Table A-3. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency within each System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	_	uency (%)
Blood and lymphatic system disorders	Lymphadenopathy	13/1518	$(0.9\%)^a$
Immune system disorders	Anaphylaxis ^d		
	Hypersensitivity reactions		
	Rash ^d	5/1518	(0.3%)a
	Urticaria ^d	3/1518	$(0.2\%)^a$
	Pruritus ^d	1/1518	$(0.1\%)^a$
	Angioedema ^{d,e}		
Metabolism and nutrition disorders	Decreased appetite	1/1518	(0.1%)a
Nervous system disorders	Headache	579/1517	(38.2%)b
	Lethargy ^e		
Gastrointestinal disorders	Diarrhea ^d	146/1517	(9.6%) ^b
	Vomiting ^d	60/1517	$(4.0\%)^{b}$
	Nausea	6/1518	$(0.4\%)^{a}$
Skin and subcutaneous tissue	Hyperhidrosis ^e		
disorders	Night sweats ^e		
Musculoskeletal and connective tissue	Myalgia (muscle pain)	266/1517	$(17.5\%)^{b}$
disorders	Arthralgia (joint pain) (new)	115/1517	(7.6%) ^b
	Pain in extremity (arm) ^d	3/1518	$(0.2\%)^{a}$
General disorders and administration	Injection site pain	1279/1517	(84.3%)°
site conditions	Fatigue	785/1517	(51.7%) ^b
	Injection site redness	401/1517	(26.4%)°
	Injection site swelling	309/1517	$(20.4\%)^{c}$
	Chills	188/1517	$(12.4\%)^b$
	Pyrexia	126/1517	$(8.3\%)^{b}$
	Malaise	2/1518	$(0.1\%)^a$
	Asthenia ^e		

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- c. Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in participants 5 to <12 years of age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Error! Reference source not found.): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-4. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	16/306 (5.2%) ^{a,b}
Immune system disorders	Anaphylaxise	
	Hypersensitivity reactions	
	Rash ^e	1/306 (0.3%) ^b
	Pruritus ^{e,f}	
	Urticaria ^{e,f}	
	Angioedema ^{e,f}	
Metabolism and nutrition disorders	Decreased appetite	1/306 (0.3%) ^b
Nervous system disorders	Headache	140/289 (48.4%)°
	Lethargy ^f	
Gastrointestinal disorders	Diarrheae	25/289 (8.7%)°
	Vomiting ^e	5/289 (1.7%)°
	Nausea	2/306 (0.7%) ^b
Skin and subcutaneous tissue	Hyperhidrosis ^f	
disorders	Night sweats ^f	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	113/289 (39.1%)°
disorders	Arthralgia (joint pain) (new)	73/289 (25.3%)°
	Pain in extremity (arm) ^e	1/306 (0.3%) ^b
General disorders and administration	Injection site pain	240/289 (83.0%) ^d
site conditions	Fatigue	184/289 (63.7%)°
	Chills	84/289 (29.1%)°
	Pyrexia	25/289 (8.7%) ^c
	Injection site swelling	23/289 (8.0%) ^d
	Injection site redness	17/289 (5.9%) ^d
	Malaisef	
	Astheniaf	

- * The booster dose of BNT162b2 30 μg was administered to participants 18 to 55 years of age.
- a. A higher frequency of lymphadenopathy (5.2% vs. 0.4%) was observed in participants receiving a booster dose compared to participants receiving 2 doses.
- b. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Booster Dose to 1 Month After Booster Dose, by System Organ Class and Preferred Term – Phase 3 – BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cutoff date: 17June2021).
- c. Source = Systemic Events, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cutoff date: 17June2021).
- d. Source = Local Reactions, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) -Booster Safety Population (Cutoff date: 17June2021).
- e. These adverse reactions were identified in the post-authorization period.
- f. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age following the 2-dose series (13 March 2021 Data Cut-off Date) Table A-1: angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-5. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects (≥16 Years of Age) Who Received 1 Booster Dose of BNT162b2 (30 μg) in Study C4591031 – Booster Safety Population (5 October 2021 Data Cut-off Date)^{64,80}

System Organ Class	ADR Term	Frequency n/N (%)		
Blood and lymphatic system disorders	Lymphadenopathya	135/5055	$(2.8\%)^{b}$	
Immune system disorders	Anaphylaxis ^c			
	Hypersensitivity reactions			
	Rash ^c	3/5055	$(0.1\%)^{b}$	
	Pruritus ^c	3/5055	(0.1%) ^b	
	Urticaria ^c	2/5055	(0.04%) ^b	
	Angioedema ^{c,d}			
Metabolism and nutrition disorders	Decreased appetite	9/5055	(0.2%) ^b	
Nervous system disorders	Headache ^e			
-	Lethargy	12/5055	(0.2%) ^b	
Gastrointestinal disorders	Diarrhea ^{c,e}			
	Vomiting ^{c,e}			
	Nausea	48/5055	(0.9%) ^b	
Skin and subcutaneous tissue disorders	Night sweats	5/5055	(0.1%)b	
	Hyperhidrosis	4/5055	(0.1%) ^b	
Musculoskeletal and connective tissue	Myalgia (muscle pain) ^e			
disorders	Arthralgia (joint pain) (new) ^e			
	Pain in extremity (arm) ^c	54/5055	(1.1%) ^b	
General disorders and administration	Injection site paine			
site conditions	Fatigue ^e			
	Chillse			
	Pyrexia ^{e,f}			
	Injection site swelling ^e			
	Injection site redness ^e			
	Malaise	35/5055	(0.7%) ^b	
	Asthenia	8/5055	(0.2%) ^b	

- A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose (in Study C4591031) compared to participants receiving 2 doses.
- Source: Number (%) of Participants Reporting at Least 1 Adverse Event From Booster Vaccination to 1 Month After Booster Vaccination, by System Organ Class and Preferred Term – Blinded Follow-up Period – Safety Population (Cut-off date: 05October2021).
- c. These adverse reactions were identified in the post-authorization period.
- d. The following event was not reported in the Study C4591031 but was reported in individuals ≥16 years of age 1 month after Dose 2 (Cut-off date: 13March2021): angioedema.
- e. Please see Table A-4 for the frequency of the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.
- f. The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

Appendix B: Adverse Drug Reactions (ADRs) by System Organ Class and Council for International Organizations of Medical Science (CIOMS) Frequency Category Listed in Order of Decreasing Medical Seriousness or Clinical Importance Within Each Frequency Category and SOC

Table B-1. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopath y			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}	Angioedema ^{a,}		Anaphylaxis ^a
Metabolism and Nutrition disorders			Decreased appetite			
Nervous system disorders	Headache		Lethargy			
Gastrointestinal disorders	Diarrheaa	Vomiting ^a ; Nausea				
Skin and subcutaneous Tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia; Injection site swelling	Injection site redness	Asthenia; Malaise			

t. CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, rash, and angioedema.

Table B-2. ADRs by SOC and CIOMS Frequency Category* Listed in order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	- /	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Rash ^{a,b}			Anaphylaxis ^a
Nervous system disorders	Headache					
Gastrointestinal disorders	Diarrheaa	Vomitinga	Nausea			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia	Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the 12 through 15 years of age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table B-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria and rash.

Table B-3. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

C1435. 11	IMITICUMIS 3	to -12 Italy	or Age (oo septe		zata Cut-t	ni vac,
	Very	Common ≥1/100 to <1/10	_ /	Rare ≥1/10,000 to <1/1,000	Very Rare	Frequency not known (cannot be
	Common	(≥1% to	<1/100	(≥0.01% to	<1/10,000	estimated from the
System Organ Class	≥1/10 (≥10%)	<10%)	(≥0.1% to <1%)	<0.1%)	(<0.01%)	available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Musculoskeletal and connective tissue disorders	Myalgia	Arthralgia	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Injection site swelling; Injection site redness	Pyrexia	Malaise			

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

Table B-4. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC:

BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)†,64

	ite)					
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		Lymphadenopathy		•		
Immune system disorders			Rasha			Anaphylaxisa
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Skin and subcutaneous tissue disorders						
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills	Pyrexia; Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

[†] The booster dose of BNT162b2 30 µg was administered to participants 18 to 55 years of age.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age 1 month after Dose 2 (Cutoff date: 13March2021) (see Table B-1): angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table B-5. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Study C4591031[†] (5 October 2021 Data Cut-off Date)⁶⁴

	21 Burr 010	ost stady & les rot	- (7
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		Lymphadenopathy		·		
Immune system disorders			Pruritus ^{a,b} ; Rash ^{a,b}	Urticaria ^{a,b}		Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders			Lethargy			
Gastrointestinal disorders			Nausea			
Skin and subcutaneous tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders		Pain in extremity (arm) ^a				
General disorders and administration site conditions			Asthenia; Malaise			

^{*} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

Please see Table B-4 for the CIOMS Frequency Categories for the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.

The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

- a. These adverse reactions were identified in the post-authorization period.
- b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

[†] Study C4591031 included individuals ≥16 years of age.

Appendix C. HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Frequency in the Safety Population Subset

Table C-1. Study 2 – Frequency and Percentages of Participants with Solicited Local Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,65

	TRADENAME Dose 1 N ^a =54 n ^b (%)	Placebo Dose 1 N ^a =56 n ^b (%)	TRADENAME Dose 2 N ^a =60 n ^b (%)	Placebo Dose 2 N ^a =62 n ^b (%)
Redness ^c				•
Any (>2.0 cm)	2 (3.7)	3 (5.4)	4 (6.7)	1 (1.6)
Mild	2 (3.7)	1 (1.8)	3 (5.0)	1 (1.6)
Moderate	0	0	1 (1.7)	0
Severe	0	2 (3.6)	0	0
Swelling ^c				
Any (>2.0 cm)	3 (5.6)	1 (1.8)	5 (8.3)	0
Mild	2 (3.7)	0	2 (3.3)	0
Moderate	1 (1.9)	0	3 (5.0)	0
Severe	0	1 (1.8)	0	0
Pain at the injection s	sited	, , ,		
Any	34 (63.0)	9 (16.1)	32 (53.3)	5 (8.1)
Mild	26 (48.1)	8 (14.3)	22 (36.7)	5 (8.1)
Moderate	8 (14.8)	1 (1.8)	9 (15.0)	0
Severe	0	0	1 (1.7)	0

Notes: Reactions were collected in the electronic diary (e-diary) from Day 1 to Day 7 after vaccination. No Grade 4 solicited local reactions were reported in HIV-Positive participants 16 years of age and older.

^{*} Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.

a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each reaction was the same, therefore, this information was included in the column header.

b. n = Number of participants with the specified reaction.

c. Mild: >2.0 to ≤ 5.0 cm; Moderate: >5.0 to ≤ 10.0 cm; Severe: >10.0 cm.

d. Mild: does not interfere with activity; Moderate: interferes with activity; Severe: prevents daily activity.

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and

Oluer – K	eactogenicity Subset	•		
	TRADENAME Placebo TRADENAME Placebo			
	Dose 1	Dose 1	Dose 2	Dose 2
	Na=54	Na=56	Na=60	Na=62
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
Fever				
≥38.0°C	1 (1.9)	4 (7.1)	9 (15.0)	5 (8.1)
≥38.0°C to 38.4°C	1 (1.9)	2 (3.6)	4 (6.7)	5 (8.1)
>38.4°C to 38.9°C	0	0	4 (6.7)	0
>38.9°C to 40.0°C	0	2 (3.6)	1 (1.7)	0
>40.0°C	0	0	0	0
Fatigue ^c				
Any	22 (40.7)	15 (26.8)	24 (40.0)	12 (19.4)
Mild	15 (27.8)	9 (16.1)	12 (20.0)	5 (8.1)
Moderate	7 (13.0)	5 (8.9)	9 (15.0)	7 (11.3)
Severe	0	1 (1.8)	3 (5.0)	0
Headache ^c				
Any	11 (20.4)	18 (32.1)	18 (30.0)	12 (19.4)
Mild	7 (13.0)	10 (17.9)	8 (13.3)	8 (12.9)
Moderate	4 (7.4)	7 (12.5)	8 (13.3)	4 (6.5)
Severe	0	1 (1.8)	2 (3.3)	0
Chills ^c				
Any	6 (11.1)	5 (8.9)	14 (23.3)	4 (6.5)
Mild	5 (9.3)	4 (7.1)	5 (8.3)	3 (4.8)
Moderate	1 (1.9)	1 (1.8)	8 (13.3)	1 (1.6)
Severe	0	0	1 (1.7)	0
Vomiting ^d				
Any	1 (1.9)	3 (5.4)	2 (3.3)	2 (3.2)
Mild	1 (1.9)	1 (1.8)	1 (1.7)	1 (1.6)
Moderate	0	0	1 (1.7)	1 (1.6)
Severe	0	2 (3.6)	0	0
Diarrhea ^e				
Any	5 (9.3)	8 (14.3)	4 (6.7)	9 (14.5)
Mild	5 (9.3)	6 (10.7)	1 (1.7)	6 (9.7)
Moderate	0	1 (1.8)	2 (3.3)	3 (4.8)
Severe	0	1 (1.8)	1 (1.7)	0
New or worsened muscle		\/	\/	· · · · · · · · · · · · · · · · · · ·
Any	9 (16.7)	10 (17.9)	10 (16.7)	5 (8.1)
Mild	7 (13.0)	7 (12.5)	5 (8.3)	1 (1.6)
Moderate	2 (3.7)	3 (5.4)	5 (8.3)	4 (6.5)
Severe	0	0	0	0
20,010	U	V		•

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,66

	TRADENAME Dose 1 N ^a =54 n ^b (%)	Placebo Dose 1 N ^a =56 n ^b (%)	TRADENAME Dose 2 N ^a =60 n ^b (%)	Placebo Dose 2 N ^a =62 n ^b (%)
New or worsened joint pain	· · · · · · · · · · · · · · · · · · ·		1 (70)	(70)
Any	5 (9.3)	7 (12.5)	10 (16.7)	5 (8.1)
Mild	5 (9.3)	4 (7.1)	4 (6.7)	1 (1.6)
Moderate	0	3 (5.4)	6 (10.0)	4 (6.5)
Severe	0	0	0	0
Use of antipyretic or pain				
medication ^f	7 (13.0)	8 (14.3)	16 (26.7)	7 (11.3)

Notes: Reactions and use of antipyretic or pain medication were collected in the electronic diary (e-diary) from Day 1 to Day 7 after each dose.

No Grade 4 solicited systemic reactions were reported in HIV-positive participants 16 years of age and older.

- * Randomized participants in the safety analysis population who received at least 1 dose of the study intervention
- a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each event or use of antipyretic or pain medication was the same, therefore, this information was included in the column header.
- b. n = Number of participants with the specified reaction.
- c. Mild: does not interfere with activity; Moderate: some interference with activity; Severe: prevents daily activity
- d. Mild: 1 to 2 times in 24 hours; Moderate: >2 times in 24 hours; Severe: requires intravenous hydration.
- e. Mild: 2 to 3 loose stools in 24 hours; Moderate: 4 to 5 loose stools in 24 hours; Severe: 6 or more loose stools in 24 hours
- f. Severity was not collected for use of antipyretic or pain medication.

PREPARED BY PFIZER INC

CDS EFFECTIVE DATE: 14-JAN-2022

Date of Superseded CDS: 21-Dec-2021

COVID-19 mRNA Vaccine

CORE DATA SHEET

VERSION 11

1. NAME OF THE MEDICINAL PRODUCT

COVID-19 mRNA Vaccine (nucleoside modified) and COMIRNATY are called TRADENAME.

2. QUALITATIVE AND QUANTITATIVE COMPOSITION^{1,2,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): This is a multidose vial and must be diluted before use. One vial (0.45 mL) contains 6 doses of 0.3 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): This is a multidose vial. One vial (2.25 mL) contains 6 doses of 0.3 mL (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): This is a multidose vial and must be diluted before use. One vial (1.3 mL) contains 10 doses of 0.2 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.2 mL) contains 10 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

3. PHARMACEUTICAL FORM^{2,3,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): Concentrate for dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): Dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): Concentrate for dispersion for injection.

The vaccine is a white to off-white frozen solution.

4. CLINICAL PARTICULARS

4.1. Therapeutic indications

The following is a representative indication. Locally approved indications may differ.

Active immunization to prevent coronavirus disease 2019 (COVID-19) caused by SARS-CoV-2 virus, in individuals 5 years of age and older.^{4,49,73}

4.2. Posology and method of administration

Posology

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

Or

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Individuals 12 years of age and older

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) are administered intramuscularly as a primary series of 2 doses (0.3 mL each) at greater than or equal to 21 days (preferably 3 weeks) apart.^{5,49}

Booster dose in individuals 16 years of age and older

A booster dose of TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) may be administered intramuscularly approximately 6 months after the second dose in individuals 16 years of age and older.⁷¹

Doses of TRADENAME (Dilute Before Use) concentrate for dispersion for injection (30 micrograms/dose) and TRADENAME (Do Not Dilute) dispersion for injection (30 micrograms/dose) vaccine are considered interchangeable.

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) intended for individuals ages 12 years and older cannot be used for individuals age 5 years to <12 years.

Interchangeability

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series or the booster dose has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series and for any additional doses.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

Individuals 5 through <12 years of age

TRADENAME (for age 5 years to <12 years) is administered intramuscularly after dilution as a primary series of 2 doses (0.2 mL) at greater than or equal to 21 days (preferably 3 weeks) apart.⁷³

TRADENAME (for age 5 years to <12 years) cannot be used in individuals 12 years of age and older.

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

Pediatric population

The safety and efficacy of TRADENAME in individuals under 5 years of age have not yet been established. The safety and effectiveness of a booster dose of TRADENAME in individuals 16 through 17 years of age is based on safety and effectiveness data in adults at least 18 through 55 years of age.⁷¹

Geriatric population

Clinical studies of TRADENAME include participants 65 years of age and older and their data contributes to the overall assessment of safety and efficacy. Of the total number of TRADENAME recipients in Study 2 (N = 22,026), 16.5% (n = 3627) were 65 through 74 years of age and 4.2% (n = 925) were 75 years of age and older (see Section 5.1).

The safety of a booster dose of TRADENAME in individuals 65 years of age and older is based on safety data in 12 booster dose recipients 65 through 85 years of age in Study 2, 306 booster dose recipients 18 through 55 years of age in Study 2, and 1,175 booster dose recipients 65 years of age and older in Study 4. The effectiveness of a booster dose of TRADENAME in individuals 65 years of age and older is based on effectiveness data in 306 booster dose recipients 18 through 55 years of age in Study 2, and an efficacy analysis from participants 16 years of age and older in 9,945 participants in Study 4.71,80

Method of administration

Administer TRADENAME intramuscularly in the deltoid muscle.

Do not inject the vaccine intravascularly, subcutaneously, or intradermally.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

After dilution, vials of TRADENAME (Dilute Before Use) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead -volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Vials of TRADENAME (Do Not Dilute) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead-volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

After dilution, vials of TRADENAME (for age 5 years to <12 years) contains 10 doses of 0.2 mL of vaccine.

Individuals 5 through <12 years of age

Low dead-volume syringes and/or needles can be used to extract 10 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract 10 doses from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and content.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

4.3. Contraindications

Hypersensitivity to the active substance or to any of the excipients listed in Section 6.1.

4.4. Special warnings and precautions for use

Traceability

In order to improve the traceability of biological medicinal products, the name and the batch number of the administered product should be clearly recorded.

General recommendations

As with all injectable vaccines, appropriate medical treatment and supervision must always be readily available in case of a rare anaphylactic event following the administration of the vaccine.⁹

Very rare cases of myocarditis and pericarditis have been reported following vaccination with TRADENAME. Typically, the cases have occurred more often in younger men and after the second dose of the vaccine and within 14 days after vaccination. These are generally mild cases and individuals tend to recover within a short time following standard treatment and rest. Healthcare professionals should be alert to the signs and symptoms of myocarditis and pericarditis in vaccine recipients.⁶⁹

The administration of TRADENAME should be postponed in individuals suffering from acute severe febrile illness.⁹

Individuals receiving anticoagulant therapy or those with a bleeding disorder that would contraindicate intramuscular injection, should not be given the vaccine unless the potential benefit clearly outweighs the risk of administration.⁹

Immunocompromised persons, including individuals receiving immunosuppressant therapy, may have a diminished immune response to the vaccine.

Some individuals may have stress-related responses associated with the process of vaccination itself. Stress-related responses are temporary and resolve on their own. They may include dizziness, fainting, palpitations, increases in heart rate, alterations in blood pressure, feeling short of breath, tingling sensations, sweating and/or anxiety. Individuals should be advised to bring symptoms to the attention of the vaccination provider for evaluation and precautions should be in place to avoid injury from fainting.⁶⁷

As with any vaccine, vaccination with TRADENAME may not protect all vaccine recipients.

4.5. Interaction with other medicinal products and other forms of interaction

No interaction studies have been performed.

Do not mix TRADENAME with other vaccines/products in the same syringe.

4.6. Fertility, pregnancy and lactation

Pregnancy

There are limited amount of data from the use of TRADENAME in pregnant women. Animal studies do not indicate direct or indirect harmful effects with respect to pregnancy, embryo/fetal development, parturition, or post-natal development (see Section 5.3). ^{10,11} Administration of TRADENAME in pregnancy should be considered when the potential benefits outweigh any potential risks for the mother and fetus.

Lactation

It is unknown whether TRADENAME is excreted in human milk.

Fertility

It is unknown whether TRADENAME has an impact on fertility. Animal studies do not indicate direct or indirect harmful effects with respect to female fertility or reproductive toxicity (see Section 5.3).^{10,11}

4.7. Effects on ability to drive and use machines

TRADENAME has no or negligible influence on the ability to drive and use machines. However, some of the effects mentioned under Section 4.8 "Undesirable effects" may temporarily affect the ability to drive or use machines.

4.8. Undesirable effects

Summary of safety profile

The safety of TRADENAME was evaluated in participants 5 years of age and older in 3 clinical studies conducted in the United States, Europe, Turkey, South Africa, and South America. 12,49

Study BNT162-01 (Study 1) enrolled 60 participants, 18 through 55 years of age and 36 participants, 56 through 85 years of age. 68 Study C4591001 (Study 2) enrolled approximately 46,000 participants, 41 12 years of age or older. 12 Study C4591007 (Study 3) enrolled approximately 2,300 participants 5 through less than 12 years of age. 73

Additionally, 306 existing Phase 3 participants at least 18 through 55 years of age received a booster dose of TRADENAME approximately 6 months after the second dose in the non-placebo-controlled booster dose portion of Study 2. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁷¹

In Study C4591031 (Study 4), a placebo-controlled booster study, 5,081 participants 16 years of age and older were recruited from Study 2 to receive a booster dose of TRADENAME at least 6 months after the second dose. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁸⁰

Participants 16 years of age and older – after 2 doses

In Study 2, a total of 22,026 participants 16 years of age or older received at least 1 dose of TRADENAME and a total of 22,021 participants 16 years of age or older received placebo.⁵⁰

The most frequent adverse reactions in participants 16 years of age and older that received 2 doses (in order from highest to lowest frequencies) were injection site pain (>80%), fatigue (>60%), headache (>50%), myalgia (>40%), chills (>30%), arthralgia (>20%), pyrexia and injection site swelling (>10%) and were usually mild or moderate in intensity and resolved within a few days after vaccination.⁶⁴ A lower frequency of reactogenicity events was associated with greater age.¹⁵

The safety profile in 545 participants receiving TRADENAME, that were seropositive for SARS-CoV-2 at baseline, was similar to that seen in the general population. 17,28,31

Study 2 also included 200 participants with confirmed stable human immunodeficiency virus (HIV) infection. The safety profile of the participants receiving TRADENAME (n = 100) in the individuals with stable HIV infection was similar to that seen in the general population.⁵¹

Adolescents 12 through 15 years of age – after 2 doses⁸¹

In an analysis of long-term safety follow-up in Study 2, 2,260 adolescents (1,131 TRADENAME; 1,129 placebo) were 12 through 15 years of age. Of these, 1,559 adolescents (786 TRADENAME and 773 placebo) have been followed for ≥4 months after the second dose. ^{41,42} The safety evaluation in Study 2 is ongoing.

The most frequent adverse reactions in adolescents 12 through 15 years of age that received 2 doses were injection site pain (>90%), fatigue and headache (>70%), myalgia and chills (>40%), arthralgia and pyrexia (>20%). 43,44,45

Children 5 through <12 years of age – after 2 doses⁷³

In an analysis of Study 3 Phase 2/3, 2,268 participants (1,518 TRADENAME 10 mcg; 750 placebo) were 5 through <12 years of age. Of these, 2,158 (95.1%) (1,444 TRADENAME 10 mcg and 714 placebo) participants have been followed for at least 2 months after the second dose. The safety evaluation in Study 3 is ongoing.

The most frequent adverse reactions in children 5 through <12 years of age that received 2 doses included injection site pain (>80%), fatigue (>50%), headache (>30%), injection site redness and swelling (>20%), myalgia and chills (>10%).

Participants 16 years of age and older – after booster dose⁷¹

A subset from Study 2 Phase 2/3 participants of 306 adults at least 18 through 55 years of age who completed the primary TRADENAME 2-dose course, received a booster dose of TRADENAME approximately 6 months (range of 4.8 to 8.0 months) after receiving Dose 2.

The most frequent adverse reactions in participants 18 through 55 years of age were injection site pain (>80%), fatigue (>60%), headache (>40%), myalgia (>30%), chills and arthralgia (>20%).

In Study 4, a placebo-controlled booster study, participants 16 years of age and older recruited from Study 2 received a booster dose of TRADENAME (5,081 participants), or placebo (5,044 participants) at least 6 months after the second dose of TRADENAME. Overall, participants who received a booster dose, had a median follow-up time of 2.5 months after the booster dose to the cut-off date (5 October 2021).⁸⁰

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
Blood and lymphatic system disorders	Lymphadenopathy ^a
Metabolism and nutrition disorders	Decreased appetite
Nervous system disorders	Headache Lethargy
Gastrointestinal disorders	Nausea
Skin and subcutaneous tissue disorders	Hyperhidrosis Night sweats
Musculoskeletal and connective tissue disorders	Arthralgia Myalgia

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
General disorders and	Pyrexia ^b
administration site conditions	Chills
	Asthenia
	Malaise
	Fatigue
	Injection site pain
	Injection site swelling
	Injection site redness

- a. A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.⁷¹
- b. A higher frequency of pyrexia was observed after the second dose compared to the first dose. The preferred term pyrexia is a cluster term covering also body temperature increased.

Adverse reactions from TRADENAME post-authorization experience

The following events have been identified as adverse reactions during the post-authorization use of TRADENAME.

Table 2. Adverse Drug Reactions^{38,64,80}

System Organ Class	Adverse Drug Reactions
Immune system disorders	Anaphylaxis Hypersensitivity reactions (e.g., rash, pruritus, urticaria, angioedema)
Gastrointestinal disorders	Diarrhea Vomiting
Musculoskeletal and connective tissue disorders	Pain in extremity (arm) ^a

a. A higher frequency of pain in extremity (1.1% vs. 0.8%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.

4.9. Overdose

Participants who received 58 micrograms of TRADENAME in clinical trials did not report an increase in reactogenicity or adverse events.¹⁸

In the event of overdose, monitoring of vital functions and possible symptomatic treatment is recommended.

5. PHARMACOLOGICAL PROPERTIES

5.1. Pharmacodynamic properties

Pharmacological class, therapeutic class

Vaccines

Refer to the current ATC code index for the appropriate code assignment for the pharmacologic and/or therapeutic class.

Mechanism of action

The nucleoside-modified messenger RNA in TRADENAME is formulated in lipid nanoparticles, which enable delivery of the RNA into host cells to allow expression of the SARS-CoV-2 S antigen. The vaccine elicits both neutralizing antibody and cellular immune responses to the spike (S) antigen, which may contribute to protection against COVID-19.^{19,20}

Efficacy

Study 2 is a multicenter, placebo-controlled efficacy study in participants 12 years of age and older. Randomization was stratified by age: 12 through 15 years of age, 16 through 55 years of age, or 56 years of age and older, with a minimum of 40% of participants in the ≥56-year stratum. The study excluded participants who were immunocompromised and those who had previous clinical or microbiological diagnosis of COVID-19. Participants with pre-existing stable disease, defined as disease not requiring significant change in therapy or hospitalization for worsening disease during the 6 weeks before enrollment, were included as were participants with known stable infection with HIV, hepatitis C virus (HCV), or hepatitis B virus (HBV).

Efficacy in participants 16 years of age and older – after 2 doses

In the Phase 2/3 portion of Study 2, based on data accrued through 14 November 2020, approximately 44,000 participants 12 years of age and older were randomized equally and received 2 doses of COVID-19 mRNA Vaccine or placebo. The efficacy analyses included participants that received their second vaccination within 19 to 42 days after their first vaccination. The majority (93.1%) of vaccine recipients received the second dose 19 days to 23 days after Dose 1.⁵² Participants are planned to be followed for up to 24 months, for assessments of safety and efficacy against COVID-19.^{12,27}

The population for the analysis of the primary efficacy endpoint included, 36,621 participants 12 years of age and older (18,242 in the COVID-19 mRNA Vaccine group and 18,379 in the placebo group) who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.²² Table 3 presents the specific demographic characteristics in the studied population.

Table 3. Demographics (Population for the Primary Efficacy Endpoint)^{a,22}

	TRADENAME	Placebo	
	(N=18,242)	(N=18,379)	
	n (%)	n (%)	
Sex			
Male	9318 (51.1)	9225 (50.2)	
Female	8924 (48.9)	9154 (49.8)	
Age (years)			
Mean (SD)	50.6 (15.70)	50.4 (15.81)	
Median	52.0	52.0	
Min, max	(12, 89)	(12, 91)	
Age group			
12 to 15 years	46 (0.3)	42 (0.2)	
16 to 17 years	66 (0.4)	68 (0.4)	
16 to 64 years	14,216 (77.9)	14,299 (77.8)	
65 to 74 years	3176 (17.4)	3226 (17.6)	
≥75 years	804 (4.4)	812 (4.4)	
Race			
White	15,110 (82.8)	15,301 (83.3)	
Black or African American	1617 (8.9)	1617 (8.8)	
American Indian or Alaska Native	118 (0.6)	106 (0.6)	
Asian	815 (4.5)	810 (4.4)	
Native Hawaiian or other Pacific			
Islander	48 (0.3)	29 (0.2)	
Other ^b	534 (2.9)	516 (2.8)	
Ethnicity			
Hispanic or Latino	4886 (26.8)	4857 (26.4)	
Not Hispanic or Latino	13,253 (72.7)	13,412 (73.0)	
Not reported	103 (0.6)	110 (0.6)	
Comorbidities ^c			
Yes	8432 (46.2)	8450 (46.0)	
No	9810 (53.8)	9929 (54.0)	
-			

- a. All eligible randomized participants who receive all vaccination(s) as randomized within the predefined window, have no other important protocol deviations as determined by the clinician, and have no evidence of SARS-CoV-2 infection prior to 7 days after Dose 2.
- b. Includes multiracial and not reported.
- c. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19.
 - Chronic lung disease (e.g., emphysema and chronic bronchitis, idiopathic pulmonary fibrosis, and cystic fibrosis) or moderate to severe asthma
 - Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension)
 - Obesity (body mass index ≥30 kg/m²)
 - Diabetes (Type 1, Type 2, or gestational)
 - Liver disease
 - Human Immunodeficiency Virus (HIV) infection (not included in the efficacy evaluation)

At the time of the primary efficacy analysis, participants had been followed for symptomatic COVID-19 for at least 2214 person-years for the COVID-19 mRNA Vaccine and at least 2222 person-years in the placebo group.³²

There were no meaningful clinical differences in overall vaccine efficacy in participants who were at risk of severe COVID-19 including those with 1 or more comorbidities that increase the risk of severe COVID-19 [e.g., asthma, body mass index (BMI) ≥30 kg/m², chronic pulmonary disease, diabetes mellitus, hypertension].^{23,24}

The vaccine efficacy information is presented in Table 4.

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

Efficacy	(7 Days) Population		
First COVID-19	occurrence from 7 days aft		without evidence of
		V-2 infection*,34	
	TRADENAME	Placebo	
	Na=18,198	N ^a =18,325	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	8	162	95.0
All participants ^e	2.214 (17,411)	2.222 (17,511)	$(90.3, 97.6)^{f}$
	7	143	95.1
16 to 64 years	1.706 (13,549)	1.710 (13,618)	$(89.6, 98.1)^g$
	1	19	94.7
≥65 years	0.508 (3848)	0.511 (3880)	$(66.7, 99.9)^g$
	1	14	92.9
65 to 74 years	0.406 (3074)	0.406 (3095)	$(53.1, 99.8)^g$
	0	5	100.0
≥75 years	0.102 (774)	0.106 (785)	$(-13.1, 100.0)^{g}$
First COVID-1	9 occurrence from 7 days a	fter Dose 2 in participants	with or without*
	evidence of prior SA	RS-CoV-2 infection ²⁸	
	TRADENAME	Placebo	
	N ^a =19,965	N ^a =20,172	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	9	169	94.6
All participants ^e	2.332 (18,559)	2.345 (18,708)	$(89.9, 97.3)^{f}$
	8	150	94.6
16 to 64 years	1.802 (14,501)	1.814 (14,627)	(89.1, 97.7) ^g
	1	19	94.7
≥65 years	0.530 (4044)	0.532 (4067)	$(66.8, 99.9)^g$

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

	1	14	92.9
65 to 74 years	0.424 (3239)	0.423 (3255)	$(53.2, 99.8)^g$
	0	5	100.0
≥75 years	0.106 (805)	0.109 (812)	$(-12.1, 100.0)^{g}$

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Abbreviations: NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. No confirmed cases were identified in adolescents 12 to 15 years of age.
- f. Two-sided credible interval for vaccine efficacy (VE) was calculated using a beta-binomial model with a beta (0.700102, 1) prior for $\theta=r(1-VE)/(1+r(1-VE))$, where r is the ratio of surveillance time in the active vaccine group over that in the placebo group.
- g. Two-sided confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted to the surveillance time.

The subgroup analyses of vaccine efficacy including demographic characteristics is presented in Table 5.

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

Subgroup	TRADENAME N ^a =18,198 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =18,325 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI)
Sex			
	5	81	93.7
Female	1.090 (8536)	1.114 (8749)	(84.7, 98.0)
	3	81	96.4
Male	1.124 (8875)	1.108 (8762)	(88.9, 99.3)
Ethnicity	,		
Hispanic or	3	53	94.4
Latino	0.605 (4764)	0.600 (4746)	(82.7, 98.9)

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

	tion Tilor to / Days inter	DOSC 2 BY MICHOLO BITTOME	· - · F ···
	TRADENAME N²=18,198	Placebo Na=18,325	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
Not			
Hispanic or	5	109	95.4
Latino	1.596 (12,548)	1.608 (12,661)	(88.9, 98.5)
Race			
Black or			
African	0	7	100.0
American	0.165 (1502)	0.164 (1486)	(31.2, 100.0)
	7	146	95.2
White	1.889 (14,504)	1.903 (14,670)	(89.8, 98.1)
	1	9	89.3
All others ^f	0.160 (1405)	0.155 (1355)	(22.6, 99.8)

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Updated efficacy analyses were performed with additional confirmed COVID-19 cases accrued during blinded placebo-controlled follow-up through 13 March 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.

The updated vaccine efficacy information is presented in Table 6.

Table 6. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by
Age Subgroup – Participants Without Evidence of Infection and Participants
With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable
Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period

First COVID-19 occurrence from 7 days after Dose 2 in participants without evidence of prior SARS-CoV-2 infection*,53 **TRADENAME** $N^a=20,998$ Placebo Na=21,096 Cases Cases n1^b n1^b Vaccine Efficacy % Subgroup Surveillance Time^c (n2^d) Surveillance Time^c (n2^d) (95% CIe) 77 850 91.3 All participants^f 6.247 (20,712) 6.003 (20,713) (89.0, 93.2)710 90.6 70 16 through 64 years 4.859 (15,519) 4.654 (15,515) (87.9, 92.7)124 94.5 65 years and older 1.233 (4192) 1.202 (4226) (88.3, 97.8)98 65 through 94.1 74 years 0.994 (3350) 0.966 (3379) (86.6, 97.9)75 years and 1 26 96.2 0.237 (847) (76.9, 99.9)older 0.239 (842)

First COVID-19 occurrence from 7 days after Dose 2 in participants with or without* evidence of prior SARS-CoV-2 infection⁵⁴

	TRADENAME N ^a =22,166	Placebo N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
	81	873	91.1
All participants ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
	74	727	90.2
16 through 64 years	5.073 (16,218)	4.879 (16,269)	(87.6, 92.4)
	7	128	94.7
65 years and older	1.267 (4315)	1.232 (4326)	(88.7, 97.9)
65 through	6	102	94.3
74 years	1.021 (3450)	0.992 (3468)	(87.1, 98.0)
75 years and	1	26	96.2
older	0.246 (865)	0.240 (858)	(77.2, 99.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group (both without and with or without evidence of prior SARS-CoV-2 infection); 16 and 18 in the placebo group (without and with or without evidence of prior SARS-CoV-2 infection, respectively).

The updated subgroup analyses of vaccine efficacy by demographic characteristics are presented in Table 7 and Table 8.

Table 7. Vaccine Efficacy– First COVID-19 Occurrence From 7 Days After
Dose 2 – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics – Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

the Timeeb	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	,	ŕ	
	Cases	Cases	X7
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^e (n2 ^u)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	42	399	90.1
Male	3.246 (10,637)	3.047 (10,433)	(86.4, 93.0)
	35	451	92.4
Female	3.001 (10075)	2.956 (10,280)	(89.2, 94.7)
Ethnicity			
·	29	241	88.5
Hispanic or Latino	1.786 (5161)	1.711 (5120)	(83.0, 92.4)
Not Hispanic or	47	609	92.6
Latino	4.429 (15,449)	4.259 (15,484)	(90.0, 94.6)
Race			
Black or African	4	48	91.9
American	0.545 (1737)	0.527 (1737)	(78.0, 97.9)
	67	747	91.3
White	5.208 (17,186)	5.026 (17,256)	(88.9, 93.4)
	6	55	90.0
All othersf	0.494 (1789)	0.451 (1720)	(76.9, 96.5)
Country			
	15	108	86.5
Argentina	1.012 (2600)	0.986 (2586)	(76.7, 92.7)
	12	80	86.2
Brazil	0.406 (1311)	0.374 (1293)	(74.5, 93.1)
	0	1	100.0
Germany	0.047 (236)	0.048 (242)	(-3874.2, 100.0)

Table 7. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics — Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

Subgroup	TRADENAME N ^a =20,998 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =21,096 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI) ^e
	0	9	100.0
South Africa	0.080 (291)	0.074 (276)	(53.5, 100.0)
	0	5	100.0
Turkey	0.027 (228)	0.025 (222)	(-0.1, 100.0)
	50	647	92.6
United States	4.674 (16,046)	4.497 (16,094)	(90.1, 94.5)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

Subgroup	TRADENAME N ^a =22,166 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ² =22,320 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI) ^e
Sex			
	44	411	89.9
Male	3.376 (11,103)	3.181 (10,920)	(86.2, 92.8)
	37	462	92.1
Female	3.133 (10,539)	3.093 (10,769)	(88.9, 94.5)

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

1 0 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	TRADENAME	Placebo	
	N ^a =22,166	N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	 _	(95% CI) ^e
Ethnicity			
	32	245	87.4
Hispanic or Latino	1.862 (5408)	1.794 (5391)	(81.8, 91.6)
Not Hispanic or	48	628	92.6
Latino	4.615 (16,128)	4.445 (16,186)	(90.1, 94.6)
Race			
Black or African	4	49	92.0
American	0.611 (1958)	0.601 (1985)	(78.1, 97.9)
	69	768	91.3
White	5.379 (17,801)	5.191 (17,880)	(88.9, 93.3)
	8	56	86.8
All others ^f	0.519 (1883)	0.481 (1824)	(72.1, 94.5)
Country			
	16	110	85.7
Argentina	1.033 (2655)	1.017 (2670)	(75.7, 92.1)
	14	82	84.2
Brazil	0.441 (1419)	0.408 (1401)	(71.9, 91.7)
	0	1	100.0
Germany	0.047 (237)	0.048 (243)	(-3868.6, 100.0)
	0	10	100.0
South Africa	0.099 (358)	0.096 (358)	(56.6, 100.0)
	0	6	100.0
Turkey	0.029 (238)	0.026 (232)	(22.2, 100.0)
	51	664	92.6
United States	4.861 (16,735)	4.678 (16,785)	(90.2, 94.6)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

	TRADENAME N ² =22,166	Placebo Na=22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e

- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

The subgroup analyses of vaccine efficacy by risk status in participants is presented in Table 9.

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

	TRADENAME Nº=18,198	Placebo Na=18,325	
Efficacy	Cases	Cases	
Endpoint	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
First COVID-19	occurrence from 7 days after	r Dose 2	
At risk ^f			
	4	86	95.3
Yes	1.025 (8030)	1.025 (8029)	(87.7, 98.8)
	4	76	94.7
No	1.189 (9381)	1.197 (9482)	(85.9, 98.6)
Age group (year	s) and at risk		
16 to 64 and	4	69	94.2
not at risk	0.962 (7671)	0.964 (7701)	(84.4, 98.5)
16 to 64 and	3	74	95.9
at risk	0.744 (5878)	0.746 (5917)	(87.6, 99.2)
≥65 and not	0	7	100.0
at risk	0.227 (1701)	0.233 (1771)	(29.0, 100.0)
≥65 and at	1	12	91.7
risk	0.281 (2147)	0.279 (2109)	(44.2, 99.8)
Obeseg			
	3	67	95.4
Yes	0.763 (6000)	0.782 (6103)	(86.0, 99.1)
	5	95	94.8
No	1.451 (11,406)	1.439 (11,404)	(87.4, 98.3)

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

Efficacy Endpoint Subgroup	TRADENAME Na=18,198 Cases n1b Surveillance Timec (n2d)	Placebo N ^a =18,325 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI°)
Age group (year	, ,	Survemance Time (n2)	(93 /0 C1)
	and obese	0.0	0.5.0
16 to 64 and	4	83	95.2
not obese	1.107 (8811)	1.101 (8825)	(87.3, 98.7)
16 to 64 and	3	60	94.9
obese	0.598 (4734)	0.609 (4789)	(84.4, 99.0)
≥65 and not	1	12	91.8
obese	0.343 (2582)	0.338 (2567)	(44.5, 99.8)
≥65 and	0	7	100.0
obese	0.165 (1265)	0.173 (1313)	(27.1, 100.0)

Abbreviations: BMI = body mass index; N-binding = SARS-CoV-2 nucleoprotein—binding; NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

* Subjects who had no serological or virological evidence (prior to 7 days after receipt of the last dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

- a. N = number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted for surveillance
- f. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m²).
- g. Obese is defined as BMI \geq 30 kg/m².

The updated subgroup analyses of vaccine efficacy by risk status in participants followed up to 6 months after Dose 2 (with a cut-off date of 13 March 2021) are presented in Table 10 and Table 11.

Table 10. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁵

ronow-uj) Period ⁵⁵		
	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
First COVID-19			
occurrence from	77	850	91.3
7 days after Dose 2 ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
At risk ^g			
	35	401	91.6
Yes	2.797 (9167)	2.681 (9136)	(88.2, 94.3)
	42	449	91.0
No	3.450 (11,545)	3.322 (11,577)	(87.6, 93.6)
Age group (years) as	nd risk status		
16 through 64 and	41	385	89.8
not at risk	2.776 (8887)	2.661 (8886)	(85.9, 92.8)
16 through 64 and	29	325	91.5
at risk	2.083 (6632)	1.993 (6629)	(87.5, 94.4)
65 and older and	1	53	98.1
not at risk	0.553 (1870)	0.546 (1922)	(89.2, 100.0)
65 and older and	6	71	91.8
at risk	0.680 (2322)	0.656 (2304)	(81.4, 97.1)
Obese ^h			
	27	314	91.6
Yes	2.103 (6796)	2.050 (6875)	(87.6, 94.6)
	50	536	91.1
No	4.143 (13,911)	3.952 (13,833)	(88.1, 93.5)
Age group (years) as			, , ,
16 through 64 and		444	90.1
not obese	3.178 (10,212)	3.028 (10,166)	(86.6, 92.9)
16 through 64 and	24	266	91.3
obese	1.680 (5303)	1.624 (5344)	(86.7, 94.5)
65 and older and	4	79	95.2
not obese	0.829 (2821)	0.793 (2800)	(87.1, 98.7)
65 and older and	3	45	93.2
obese	0.404 (1370)	0.410 (1426)	(78.9, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 Years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For 12 through 15 years age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME N°=22,166	Placebo N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)		(95% CI) ^e
First COVID-19	,		
occurrence from	81	873	91.1
7 days after Dose 2 ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
At risk ^g		, , ,	, ,
	36	410	91.6
Yes	2.925 (9601)	2.807 (9570)	(88.1, 94.2)
	45	463	90.6
No	3.584 (12,041)	3.466 (12,119)	(87.2, 93.2)
Age group (years) an	d risk status		
16 through 64 and	44	397	89.3
not at risk	2.887 (9254)	2.779 (9289)	(85.4, 92.4)
16 through 64 and	30	330	91.3
at risk	2.186 (6964)	2.100 (6980)	(87.3, 94.2)
65 and older and	1	55	98.2
not at risk	0.566 (1920)	0.559 (1966)	(89.6, 100.0)
65 and older and at	6	73	92.1
risk	0.701 (2395)	0.672 (2360)	(82.0, 97.2)

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME Na=22,166	Placebo Na=22,320		
	Cases n1 ^b	Cases n1 ^b	Vaccine Efficacy %	
Subgroup		Surveillance Time ^c (n2 ^d)	(95% CI) ^e	
Obese ^h	Sur remande rime (HZ)	Surveinment Time (HZ)	(2070-01)	
	28	319	91.4	
Yes	2.207 (7139)	2.158 (7235)	(87.4, 94.4)	
	53	554	90.8	
No	4.301 (14,497)	4.114 (14,448)	(87.9, 93.2)	
Age group (years) ar	nd obesity status			
16 through 64 and	49	458	89.8	
not obese	3.303 (10,629)	3.158 (10,614)	(86.2, 92.5)	
16 through 64 and	25	269	91.0	
obese	1.768 (5584)	1.719 (5649)	(86.4, 94.3)	
65 and older and	4	82	95.3	
not obese	0.850 (2899)	0.811 (2864)	(87.6, 98.8)	
65 and older and	3	46	93.4	
obese	0.417 (1415)	0.420 (1462)	(79.5, 98.7)	

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 to 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For the 12 through 15 years of age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Efficacy against severe COVID-19 - after 2 doses

Secondary efficacy analyses suggested benefit of the COVID-19 mRNA Vaccine in preventing severe COVID-19.

As of 14 November 2020, efficacy against severe COVID-19 (as defined by the study protocol) occurring after the first dose was 88.9% (95% CI: 20.1, 99.7) (1 case in COVID-19 mRNA Vaccine group and 9 cases in placebo group), with an estimated vaccine efficacy of 75.0% (95% CI: -152.6, 99.5) (1 case in COVID-19 mRNA Vaccine group and 4 cases in placebo group) against severe COVID-19 occurring at least 7 days after Dose 2.³⁶ Efficacy against severe COVID-19, defined by the Centers for Disease Control and Prevention as hospitalization, admission to the Intensive Care Unit, intubation or mechanical ventilation, or death occurring after the first dose, was 92.9% (95% CI: 53.2, 99.8) (1 case in COVID-19 mRNA Vaccine group and 14 cases in placebo group).³⁷

As of 13 March 2021, vaccine efficacy against severe COVID-19 is presented only for participants with or without prior SARS-CoV-2 infection (Table 12) as the COVID-19 case counts in participants without prior SARS-CoV-2 infection were the same as those in participants with or without prior SARS-CoV-2 infection in both the TRADENAME and placebo groups.

Table 12. Vaccine Efficacy – First Severe COVID-19 Occurrence in Participants With or Without* Prior SARS-CoV-2 Infection Based on FDA[†] or Centers for Disease Control and Prevention (CDC)[‡] Definition After Dose 1 or From 7 Days After Dose 2 in the Placebo-Controlled Follow-up

Dose 2 in the Flacebo-Controlled Follow-up						
Vaccine Efficacy	Vaccine Efficacy – First Severe COVID-19 Occurrence Based on FDA Definition ^{57,58}					
	TRADENAME	Placebo				
	Cases	Cases				
	n1 ^a	n1ª	Vaccine Efficacy %			
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI°)			
	1	30	96.7			
After Dose 1 ^d	8.439 ^e (22,505)	8.288° (22,435)	(80.3, 99.9)			
	1	21	95.3			
7 days after Dose 2 ^f	6.522 ^g (21,649)	6.404 ^g (21,730)	(70.9, 99.9)			
Vaccine Efficacy	- First Severe COVID-19	Occurrence Based on C	DC Definition ^{59,60}			
_	TRADENAME	Placebo				
	Cases	Cases				
	n1 ^a	n1ª	Vaccine Efficacy %			
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI°)			
	1	45	97.8			
After Dose 1 ^d	8.427 ^e (22,473)	8.269 ^e (22,394)	(87.2, 99.9)			
	0	32	100			
7 days after Dose 2 ^f	6.514 ^g (21,620)	$6.391^{g}(21,693)$	(88.0, 100.0)			

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- [†] Severe illness from COVID-19 as defined by FDA is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Clinical signs at rest indicative of severe systemic illness (respiratory rate ≥30 breaths per minute, heart rate ≥125 beats per minute, saturation of oxygen ≤93% on room air at sea level, or ratio of arterial oxygen partial pressure to fractional inspired oxygen <300 mm Hg);

- Respiratory failure [defined as needing high-flow oxygen, noninvasive ventilation, mechanical ventilation or extracorporeal membrane oxygenation (ECMO)];
- Evidence of shock (systolic blood pressure <90 mm Hg, diastolic blood pressure <60 mm Hg, or requiring vasopressors);
- Significant acute renal, hepatic, or neurologic dysfunction;
- Admission to an Intensive Care Unit;
- Death.
- [‡] Severe illness from COVID-19 as defined by CDC is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Hospitalization;
 - Admission to the Intensive Care Unit;
 - Intubation or mechanical ventilation;
 - Death.
- a. n1 = Number of participants meeting the endpoint definition.
- b. n2 = Number of participants at risk for the endpoint.
- c. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- d. Efficacy assessed based on the Dose 1 all-available efficacy (modified intention-to-treat) population that included all randomized participants who received at least 1 dose of study intervention.⁶²
- e. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from Dose 1 to the end of the surveillance period.
- f. Efficacy assessed based on the evaluable efficacy (7 Days) population that included all eligible randomized participants who receive all dose(s) of study intervention as randomized within the predefined window, have no other important protocol deviations as determined by the clinician.⁶²
- g. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

Efficacy and immunogenicity in adolescents 12 to 15 years of age - after 2 doses

An analysis of Study 2 has been performed in adolescents 12 to 15 years of age up to a data cut-off date of 13 March 2021.

The vaccine efficacy information in adolescents 12 to 15 years of age is presented in Table 13.

Table 13. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 to 15 Years of Age Evaluable Efficacy (7 Days) Population

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age without evidence of prior SARS-CoV-2 infection*,46					
	TRADENAME N ^a =1005 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =978 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI ^e)		
Adolescents 12 to			,		
15 Years of Age	0 0.154 (1001)	16 0.147 (972)	100.0 (75.3, 100.0)		

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age with or without* evidence of prior SARS-CoV-2 infection ⁴⁷						
	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$					
Adolescents 12 to						
15 Years of Age	0 0.170 (1109)	18 0.163 (1094)	100.0 (78.1, 100.0)			

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

In Study 2 an analysis of SARS-CoV-2 neutralizing titers in a randomly selected subset of participants was performed to demonstrate non-inferior immune responses (within 1.5-fold) comparing adolescents 12 to 15 years of age to participants 16 to 25 years of age who had no serological or virological evidence of past SARS-CoV-2 infection. The immune response to TRADENAME in adolescents 12 to 15 years of age (n=190) was non-inferior to the immune response in participants 16 to 25 years of age (n=170), based on results for SARS-CoV-2 neutralizing titers at 1 month after Dose 2. The geometric mean titers (GMT) ratio of the adolescents 12 to 15 years of age group to the participants 16 to 25 years of age group was 1.76, with a 2-sided 95% CI of 1.47 to 2.10, meeting the 1.5-fold non-inferiority criterion (the lower bound of the 2-sided 95% CI for the geometric mean ratio [GMR] >0.67) which indicates a statistically greater response in the adolescents 12 to 15 years of age than that of participants 16 to 25 years of age.⁴⁸

An updated efficacy analysis of Study 2 has been performed in approximately 2,260 adolescents 12 through 15 years of age evaluating confirmed COVID-19 cases accrued up to a data cut-off date of September 2, 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.⁸¹

The updated vaccine efficacy information in adolescents 12 through 15 years of age is presented in Table 14.

Table 14: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 Through 15 Years of Age Evaluable Efficacy (7 Days) Population⁸¹

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years								
of	fage without evidence of j	prior SARS-CoV-2 infe	ction*					
	TRADENAME Placebo							
	N ^a =1057	Na=1030						
	Cases Cases n1 ^b n1 ^b							
	Surveillance Time ^c	Vaccine Efficacy %						
	(n2d)							
Adolescents	Adolescents							
12 through 15 years	s 0 28 100.0							
of age	0.343 (1043)	0.322 (1019)	(86.8, 100.0)					

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years of age with or without evidence of prior SARS-CoV-2 infection

	TRADENAME	Placebo	
	Na=1119	Na=1109	
	Cases	Cases	
	n1 ^b	n1 ^b	
	Surveillance Time ^c	Surveillance Time ^c	Vaccine Efficacy %
	$(n2^d)$	(n2 ^d)	(95% CI ^e)
Adolescents			
12 through 15 years	0	30	100.0
of age	0.362 (1098)	0.345 (1088)	(87.5, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

Efficacy in children 5 through <12 years of age – after 2 doses

A descriptive efficacy analysis of Study 3 has been performed in 1,968 children 5 through 11 years of age without evidence of infection prior to 7 days after Dose 2. This analysis evaluated confirmed symptomatic COVID-19 cases accrued up to a data cut-off date of October 8, 2021.⁸²

Table 15 presents the specific demographic characteristics in participants who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.

Table 15: Demographics Characteristics – Participants Without Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – 5 Through 11 Years of Age – Evaluable Efficacy Population⁸²

Efficacy Topulation		1
	TRADENAME* 10 mcg/dose (Na=1305)	Placebo (N ^a =663)
Sex	n ^b (%)	n ^b (%)
	(50 (50 0)	2.42 (7.1.5)
Male	679 (52.0)	343 (51.7)
Female	626 (48.0)	320 (48.3)
Age at Vaccination		
Mean (SD)	8.2 (1.93)	8.1 (1.98)
Median	8.0	8.0
Min, max	(5, 11)	(5, 11)
Race		
White	1018 (78.0)	514 (77.5)
Black or African American	76 (5.8)	48 (7.2)
American Indian or Alaska Native	<1.0%	<1.0%
Asian	86 (6.6)	46 (6.9)
Native Hawaiian or other Pacific	<1.0%	<1.0%
Islander		
Other ^c	110 (8.4)	52 (7.8)
Ethnicity		
Hispanic or Latino	243 (18.6)	130 (19.6)
Not Hispanic or Latino	1059 (81.1)	533 (80.4)
Not reported	<1.0%	<1.0%
Comorbidities ^d		
Yes	262 (20.1)	133 (20.1)
No	1043 (79.9)	530 (79.9)

- * Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = number of participants in the specified group from the evaluable efficacy population with no evidence of SARS CoV-2 infection prior to 7 days after Dose 2. This value is the denominator for the percentage calculations. Evaluable efficacy population included all eligible randomized participants who received all vaccination(s) as randomized within the predefined window, had no other important protocol deviations as determined by the clinician.
- b. n = Number of participants with the specified characteristic.
- c. Includes multiracial and not reported.
- d. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19 disease: defined as participants who had at least 1 of the prespecified comorbidities based on MMWR 69(32);1081-1088 and/or obesity (BMI ≥95th percentile).

The descriptive vaccine efficacy results in children 5 through 11 years of age without evidence of prior SARS-CoV-2 infection are presented in Table 16. None of the cases accrued met criteria for severe COVID-19 or multisystem inflammatory syndrome in children (MIS-C). No cases of

COVID-19 were observed in either the vaccine group or the placebo group in participants with evidence of prior SARS-CoV-2 infection.⁸²

Table 16: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – Children 5 Through 11 Years of Age Evaluable Efficacy Population⁸²

First COVID-19 occurrence from 7 days after Dose 2 in children 5 through 11 years of							
aş	ge without evidence of pi	rior SARS-CoV-2 infecti	ion*				
	TRADENAME [±]						
	10 mcg/dose	Placebo					
	Na=1305 Na=663						
	Cases Cases						
	n1 ^b n1 ^b						
	Surveillance Time ^c Surveillance Time ^c Vaccine Efficacy						
	$(n2^d)$ $(n2^d)$ $(95\% CI)$						
Children 5 through	3 16 90.7						
11 years of age	0.322 (1273)	0.159 (637)	(67.7, 98.3)				

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- ± Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.

Immunogenicity in children 5 through < 12 years of age – after 2 doses⁷³

Study 3 is a Phase 1/2/3 study comprised of an open-label vaccine dose-finding portion (Phase 1) and a multicenter, multinational, randomized, saline placebo-controlled, observer-blind efficacy portion (Phase 2/3) that has enrolled participants 5 through <12 years of age.

In Study 3, an analysis of SARS-CoV-2 50% neutralizing titers (NT50) 1 month after Dose 2 in a randomly selected subset of participants demonstrated effectiveness by immunobridging of immune responses comparing children 5 through less <12 years of age in the Phase 2/3 part of Study 3 to participants 16 through 25 years of age in the Phase 2/3 part of Study 2 who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after Dose 2, meeting the prespecified immunobridging criteria for both the GMR and the seroresponse difference with seroresponse defined as achieving at least 4-fold rise in SARS-CoV-2 NT50 from baseline (before Dose 1).

The ratio of the SARS-CoV-2 NT50 in children 5 through <12 years of age to that of young adults 16 to 25 years of age was 1.04 (2-sided 95% CI: 0.93, 1.18), as presented in Table 17.

Table 17: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of Children 5 Through Less Than 12 Years of Age (Study 3) to Participants 16
Through 25 Years of Age (Study 2) – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Dose 2 Evaluable Immunogenicity Population⁷³

		TRADENAME			
		10 mcg/Dose 5 Through <12 Years n ^a =264	30 mcg/Dose 16 Through 25 Years n ^a =253		gh <12 Years/ ough 25 Years
Assay	Time Point ^b	GMT° (95% CI°)	GMT ^c (95% CI ^c)	GMR ^d (95% CI ^d)	Met Immunobridging Objective ^e (Y/N)
SARS-CoV-2 neutralization					
assay - NT50	1 month after	1197.6	1146.5	1.04	
(titer) ^f	Dose 2	(1106.1, 1296.6)	(1045.5, 1257.2)	(0.93, 1.18)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. n = Number of participants with valid and determinate assay results for the specified assay at the given dose/sampling time point.
- b. Protocol-specified timing for blood sample collection.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- d. GMRs and 2-sided 95% CIs were calculated by exponentiating the mean difference of the logarithms of the titers (Group 1[5 through <12 years of age] Group 2 [16 through 25 years of age]) and the corresponding CI (based on the Student t distribution).
- e. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the GMR is greater than 0.67 and the point estimate of the GMR is \geq 0.8.
- f. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Among participants without prior evidence of SARS-CoV-2 infection up to 1 month after Dose 2, 99.2% of children 5 through less than 12 years of age and 99.2% of participants 16 through 25 years of age had a seroresponse from before vaccination to 1 month after Dose 2. The difference in proportions of participants who had seroresponse between the 2 age groups (children – young adult) was 0.0% (2-sided 95% CI: -2.0%, 2.2%), as presented in Table 18.

Table 18: Difference in Percentages of Participants With Seroresponse – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Immunobridging Subset – Phase 2/3 – Comparison of 5 Through <12 Years of Age to Study 2 Phase 2/3 16 Through 25 Years of Age – Evaluable Immunogenicity Population⁷³

			ech COVID-19		
		Vac	cine		
		Study 3	Study 2		
		10 mcg/Dose	30 mcg/Dose		
		5 Through	16 Through		
		<12 Years	25 Years	5 Through	1 <12 Years /
		$N^a=264$	N ^a =253	16 Throu	gh 25 Years
					Met
					Immunobridging
		n° (%)	n° (%)	Difference %e	Objective ^g
Assay	Time Pointb	(95% CI ^d)	(95% CI ^d)	(95% CI ^f)	(Y/N)
SARS-CoV-2					
neutralization					
assay - NT50	1 month	262 (99.2)	251 (99.2)	0.0	
(titer) ^h	after Dose 2	(97.3, 99.9)	(97.2, 99.9)	(-2.0, 2.2)	Y

Abbreviations: LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer 50; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. N = number of participants with valid and determinate assay results both before vaccination and at 1 month after Dose 2. These values are the denominators for the percentage calculations.
- b. Protocol-specified timing for blood sample collection.
- c. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- d. Exact 2-sided CI based on the Clopper and Pearson method.
- e. Difference in proportions, expressed as a percentage (Group 1 [5 through <12 years of age] Group 2 [16 through 25 years of age]).
- f. 2-Sided CI, based on the Miettinen and Nurminen method for the difference in proportions, expressed as a percentage.
- g. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the difference in proportions is greater than -10.0%.
- h. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Immunogenicity in participants 18 years of age and older – after booster dose⁷¹

Effectiveness of a booster dose of TRADENAME was demonstrated by evaluating noninferiority immune responses of SARS-CoV-2 NT50 1 month after a booster dose. In Study 2, an analysis of SARS-CoV-2 NT50 demonstrated non-inferior immune responses 1 month after a booster dose

compared to 1 month after Dose 2 in participants at least 18 through 55 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose, based on prespecified noninferiority criteria for both GMR and difference in seroresponse rates. Seroresponse for a participant was defined as achieving a ≥4-fold rise from baseline (before Dose 1) in NT50 (Table 19 and Table 20).

The SARS-CoV-2 NT50 GMR of 1 month after the booster dose to 1 month after Dose 2 was 3.29 (2-sided 97.5% CI: 2.76, 3.91), which met the noninferiority criteria for GMR (lower bound of the 2-sided 97.5% CI >0.67 and point estimate of the GMR \geq 0.8).

A high proportion of participants (99.5%) had seroresponse 1 month after Dose 3 compared with 98.0% 1 month after Dose 2. The difference in proportions of participants with a seroresponse 1 month after the booster (Dose 3) and 1 month after Dose 2 (Dose 3 minus Dose 2) was 1.5% (2-sided 97.5% CI: -0.7%, 3.7%), which met the 10% noninferiority criterion (i.e., lower bound of the 2-sided 97.5% CI >-10%).

Table 19: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

Assay	n ^a			1 Month After Booster Dose - 1 Month After Dose 2 GMR ^c (97.5% CI ^c)	Met Noninferiority Objective ^d (Y/N)
SARS-CoV-2 neutralization assay - reference strain -		2476.4	753.7	3.29	
NT50 (titer) ^e	210	(2210.1, 2774.9)	(658.2, 863.1)	(2.76, 3.91)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of a booster dose of COMIRNATY) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after the booster dose were included in the analysis.
- a. n = Number of participants with valid and determinate assay results at both sampling time points within specified window.
- b. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- c. GMRs and 2-sided 97.5% CIs were calculated by exponentiating the mean differences in the logarithms of the assay and the corresponding CIs (based on the Student t distribution).
- d. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the GMR is >0.67 and the point estimate of the GMR is ≥ 0.80 .
- e. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on

Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Table 20: Percentage Difference of Participants Achieving Seroresponse – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Phase 3 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

		TRADENAME Sampling Time Point		Difference (1 Month After	
Assay	$\mathbf{N}^{\mathbf{a}}$	1 Month After Booster Dose nb % (95% CIc)	1 Month After Dose 2 n ^b % (95% CI ^c)	Booster Dose - 1 Month After Dose 2) %d (97.5% CIe)	Met Noninferiority Objective ^f (Y/N)
SARS-CoV-2 neutralization assay - reference strain -	,	197	194	1.5	(===,y
NT50 (titer) ^g	198	99.5 (97.2, 100.0)	98.0 (94.9, 99.4)	(-0.7, 3.7)	Y

Abbreviations: CI = confidence interval; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of booster dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after booster dose were included in the analysis.
- a. N = number of participants with valid and determinate assay results for the specified assay at baseline, 1 month after Dose 2 and 1 month after the booster dose within specified window. These values are the denominators for the percentage calculations.
- b. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- c. Exact 2-sided CI based on the Clopper and Pearson method.
- d. Difference in proportions, expressed as a percentage (1 month after booster dose 1 month after Dose 2).
- e. Adjusted Wald 2-sided CI for the difference in proportions, expressed as a percentage.
- f. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the percentage difference is >-10%.
- g. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Relative vaccine efficacy in participants 16 years of age and older – after booster dose⁸⁰

An interim efficacy analysis of Study 4, a placebo-controlled booster study, was performed in approximately 10,000 participants 16 years of age and older who were recruited from Study 2, evaluated confirmed COVID-19 cases accrued from at least 7 days after booster vaccination up to a data cut-off date of 5 October 2021, which represents a median of 2.5 months post-booster follow-up. Vaccine efficacy of the TRADENAME booster dose after the primary series relative to the placebo booster group who only received the primary series dose was assessed. The relative vaccine efficacy information for participants 16 years of age and older is presented in Table 21.

Table 21: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Booster Vaccination – Participants 16 Years of Age and Older Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Booster Vaccination – Evaluable Efficacy Population⁸⁰

First COVID-19 occu	rrence from 7 days after l	booster dose in participant	s without evidence of
	prior SARS-C	oV-2 infection*	
	Comirnaty	Placebo	
	N ^a =4695	N ^a =4671	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	6	123	95.3
vaccination	0.823 (4659)	0.792 (4614)	(89.5, 98.3)
First COVID-19 occ	currence from 7 days afte	r booster dose in participa	nts with or without
	evidence of prior SA	ARS-CoV-2 infection	
	Comirnaty	Placebo	
	N ^a =4993	N ^a =4952	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19	,	,	,
occurrence from 7 days			
after booster	7	124	94.6
vaccination	0.871 (4934)	0.835 (4863)	(88.5, 97.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; yomiting)

- * Participants who had no serological or virological evidence (prior to 7 days after receipt of the booster vaccination) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visit 1, and had a negative NAAT [nasal swab] at any unscheduled visit prior to 7 days after booster vaccination) were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after the booster vaccination to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Relative vaccine efficacy of the Comirnaty booster group relative to the placebo group (non-booster).
- f. Two-sided confidence interval (CI) for relative vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

5.2. Pharmacokinetic properties

Not applicable.

5.3. Preclinical safety data

Non-clinical data reveal no special hazard for humans based on conventional studies of repeat dose toxicity and reproduction and developmental toxicity.^{10,11}

6. PHARMACEUTICAL PARTICULARS

6.1. List of excipients^{2,3,74}

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose.**]

TRADENAME (Dilute Before Use)

(4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Potassium chloride

Potassium dihydrogen phosphate

Sodium chloride

Disodium hydrogen phosphate dihydrate

Sucrose

Water for injections

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose.]**

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, 10 micrograms/dose.]

TRADENAME (for age 5 years to <12 years)

((4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC-0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Tromethamine

Tromethamine hydrochloride

Sucrose

Water for injections

6.2. Incompatibilities

This medicinal product must not be mixed with other medicinal products except those mentioned in Sections 6.3 and 6.6.

6.3. Shelf life

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Dilute Before Use)

Unopened vial

9 months at -90 °C to -60 °C.^{63,70}

Alternatively, unopened vials may be stored and transported at -25 °C to -15 °C for a total of 2 weeks and can be returned to -90 °C to -60 °C. ³⁹

Once removed from the freezer, the unopened vial can be stored for up to 1 month at 2 °C to 8 °C. Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.^{29,63} Prior to use, the unopened vial can be stored for up to 2 hours at temperatures up to 30 °C.

Once thawed, the vaccine should not be re-frozen.

Transfers of frozen vials stored at ultra-low temperature (<-60 °C)

- <u>Closed-lid vial trays</u> containing 195 vials removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to <u>5 minutes</u>.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in frozen storage for at least 2 hours before they can be removed again.

Transfers of frozen vials stored at -25 °C to -15 °C⁴⁰

- <u>Closed-lid vial trays</u> containing 195 vials removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 1 minute.

Once a vial is removed from the vial tray, it should be thawed for use.

Diluted medicinal product

Chemical and physical in-use stability, including during transportation,³⁰ has been demonstrated for 6 hours at 2 °C to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for

injection. From a microbiological point of view, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)⁷⁵

Unopened vial

9 months when stored at -90 °C to -60 °C.⁷⁹

TRADENAME (Do Not Dilute) will be received frozen at -90 °C to -60 °C. ⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks within the 9month shelf life.⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following first puncture.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Opened vial

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately after the first puncture. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)⁷⁵

Unopened vial

9 months when stored at -90 °C to -60 °C.⁷⁹

TRADENAME (for age 5 years to <12 years) will be received frozen at -90 °C to -60 °C.⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks within the 9-month shelf life.⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following dilution.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Diluted medicinal product

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C, after dilution with sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

6.4. Special precautions for storage^{2,25,75}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use)

Store in a freezer at -90 °C to -60 °C.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

For storage conditions after thawing and dilution of the medicinal product, see Section 6.3.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

TRADENAME (Do Not Dilute) and TRADENAME (for age 5 years to <12 years) can be stored in a refrigerator at 2 °C to 8 °C for a single period of up to 10 weeks, not exceeding the original expiry date (EXP). Alternatively, the vaccine may be stored in a freezer at -90 °C to -60 °C. The expiry date for storage at -90 °C to -60 °C is printed on the vial and outer carton after "EXP".

The vaccine will be received frozen at -90 °C to -60 °C. Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt. Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date has been updated to reflect the refrigerated EXP date and that the original expiry date has been crossed out.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at room temperature (up to 30 °C).

Once thawed, the vaccine cannot be re-frozen.

Thawed vials can be handled in room light conditions.

6.5. Nature and contents of container

Information to be provided by local subsidiary.

$\textbf{6.6. Special precautions for disposal and other handling}^{2,3,26,29,30,35,63,75,77,78}$

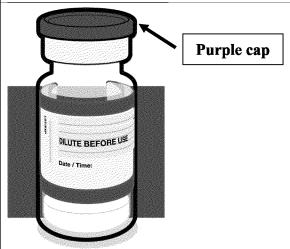
Handling instructions

TRADENAME should be prepared by a healthcare professional using aseptic technique to ensure the sterility of the prepared dispersion.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

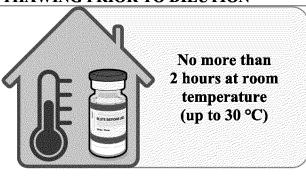
TRADENAME (Dilute Before Use)

DOSE VERIFICATION



Verify that the vial has a purple plastic cap. If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

THAWING PRIOR TO DILUTION



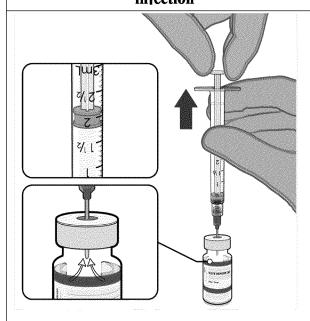
- The multidose vial is stored frozen and must be thawed prior to dilution. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 195 vial pack may take 3 hours to thaw. Alternatively, frozen vials may also be thawed for 30 minutes at temperatures up to 30 °C for immediate use.
- The unopened vial can be stored for up to 1 month at 2 °C to 8 °C. Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.
- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to offwhite opaque amorphous particles.

TRADENAME (Dilute Before Use)

DILUTION

1.8 mL of 0.9% sodium chloride injection

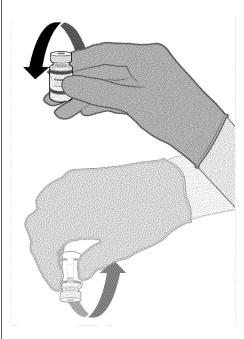
 The thawed vaccine must be diluted in its original vial with 1.8 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.



Pull back plunger to 1.8 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.8 mL air into the empty diluent syringe.

TRADENAME (Dilute Before Use)



Gently × 10

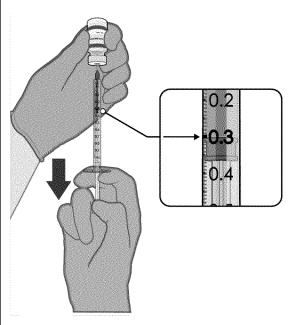
- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as an off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.



Record appropriate date and time. Use within 6 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 6 hours, including any transportation time.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

TRADENAME (Dilute Before Use) PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL diluted vaccine

- After dilution, the vial contains 2.25 mL from which 6 doses of 0.3 mL can be extracted.
- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead-volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 6 hours after dilution.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

DOSE VERIFICATION Grey cap DO NOT DILUTE Date / Time:

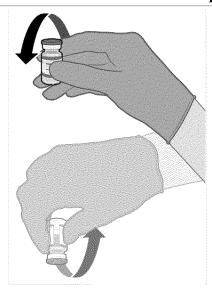
• Verify that the vial has a grey plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 6 hours to thaw. Ensure vials are completely thawed prior to use.
- Update the expiry date on the carton.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C.
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

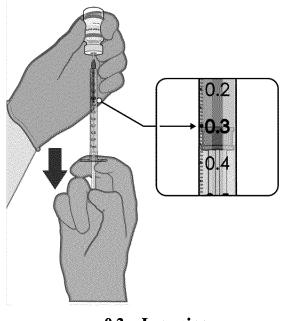
TRADENAME (Do Not Dilute)



- Gently mix by inverting vials 10 times prior to use. Do not shake.
- Prior to mixing, the thawed dispersion may contain white to off-white opaque amorphous particles.
- After mixing, the vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the vaccine if particulates or discoloration are present.

Gently × 10

PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine 12 hours after first puncture. Record the appropriate date/time on the vial.

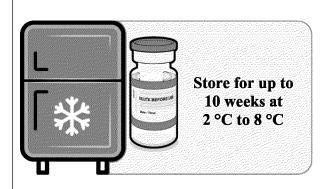
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

Orange cap 10 mcg Date / Time:

• Verify that the vial has an orange plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute).

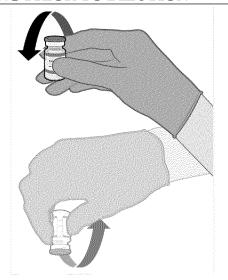
HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 4 hours to thaw. Ensure vials are completely thawed prior to use.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C.
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

TRADENAME (for age 5 years to <12 years)

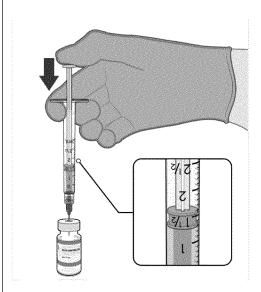
MIXING PRIOR TO DILUTION



Gently × 10

- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to off-white opaque amorphous particles.

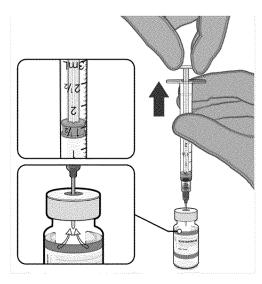
DILUTION



1.3 mL of 0.9% sodium chloride

• The thawed vaccine must be diluted in its original vial with 1.3 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.

TRADENAME (for age 5 years to <12 years)



Pull back plunger to 1.3 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.3 mL air into the empty diluent syringe.



- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.

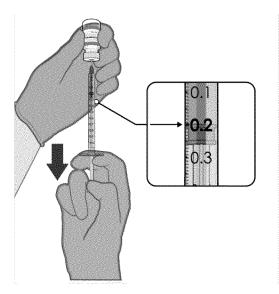
TRADENAME (for age 5 years to <12 years)



Record appropriate date and time. Use within 12 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 12 hours.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

PREPARATION OF INDIVIDUAL 0.2 mL DOSES OF TRADENAME



0.2 mL diluted vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.2 mL of TRADENAME for children age 5 to 11 years.

Low dead-volume syringes and/or needles should be used in order to extract 10 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract ten doses from a single vial.

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 12 hours after dilution.

Disposal

Any unused medicinal product or waste material should be disposed of in accordance with local requirements.

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- 7. Global Emergency Use Authorization Application, Section 7.3.1 Geriatric use
- 8. Module 5.3.5.1 Table 5: Demographic Characteristics Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population Reference no longer applicable; removed in CDS version 4
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- 11. Module 4.2.3.5 Study 20256434 (RN9391R58) Summary for BNT162b2 DART
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- 14. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 15. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.5 Overall Conclusions
- 16. Module 2.5 Clinical Overview, COVID-19 Vaccine, 2021, CO for CDS (anaphylaxis & hypersensitivity)
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- 20. Global Emergency Use Authorization, Section 6.2.2.1.1.3 Study BNT162-01 Immunogenicity Conclusions in Phase 1
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- 23. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 24. Baseline Charlson Comorbidities ~38,000 Subjects for Phase 2/3 Analysis Safety Population
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- 26. BB-IND19736, Section 3.2.P.5.2
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- 28. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 29. BB-IND19736, Section 3.2.P.3.5
- 30. BB-IND19736, Section 3.2.P.2.6
- 31. Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by Baseline SARS-CoV-2 Status -- ~38000 Subjects for Phase 2/3 Analysis Safety Population
- 32. Global Emergency Use Application, Table 35 Vaccine Efficacy First COVID-19
 Occurrence From 7 Days After Dose 2 Subjects Without Evidence of Infection Prior to
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- 35. Module 3.2.P Dosage and Administration Instructions for BNT162 (PF-07302048) Vaccine, 0.5 mg/mL
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- 37. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Dose 1 All-Available Efficacy Population
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- 39. Module 3.2.P.8.1 Stability Summary and Conclusion
- 40. IND Section 3.2.P.2.3 Storage Shipping and Distribution

- 41. Module 2.7.4 Summary of Clinical Safety, MAA Type II Variation (12-15 Years) Table 6: Demographic Characteristics Subjects 12 Through 15 and 16 Through 25 Years of Age Safety Population
- 42. Table: Follow-up Time After Dose 2 Subjects 12 Through 15 Years of Age Safety Population
- 43. Table: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 44. Table: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 45. Table: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
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- Individuals (04 November 2020), Section 10.3.3 Phase 2/3, Table 8 Vaccine Administration Timing ~38000 Subjects for Phase 2/3 Analysis All Randomized Subjects
- 53. Interim Report 6 Month Update (13 March 2021), Table 19. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 54. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.59. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period–Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 55. Interim Report 6 Month Update (13 March 2021), Table 20. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
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- 57. Interim Report 6 Month Update (13 March 2021), Table 25. Vaccine Efficacy First Severe COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 58. Interim Report 6 Month Update (13 March 2021), Table 26. Vaccine Efficacy First Severe COVID-19 Occurrence After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
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Appendix A: Adverse Drug Reactions (ADRs) and Numeric Frequencies Listed in Order of Decreasing Frequency Within Each System Organ Class (SOC)

Table A-1. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

Frequency				
System Organ Class	ADR Term	n/N (%)		
Blood and lymphatic system disorders	Lymphadenopathy	83/21926 (0.4%) ^a		
Immune system disorders	Anaphylaxis ^d	Not known		
-	Hypersensitivity reactions			
	Rash ^d	54/21926 (0.2%) ^a		
	Pruritus ^d	23/21926 (0.1%) ^a		
	Urticaria ^d	15/21926 (0.1%) ^a		
	Angioedema ^d	3/21926 (0.01%) ^a		
Metabolism and nutrition disorders	Decreased appetite	39/21926 (0.2%) ^a		
Nervous system disorders	Headache	2814/4924 (57.1%) ^b		
-	Lethargy	25/21926 (0.1%) ^a		
Gastrointestinal disorders	Diarrhead	758/4924 (15.4%) ^b		
	Vomitingd	110/4924 (2.2%) ^b		
	Nausea	274/21926 (1.2%) ^a		
Skin and subcutaneous tissue	Hyperhidrosis	31/21926 (0.1%) ^a		
disorders	Night sweats	17/21926 (0.1%) ^a		
Musculoskeletal and connective tissue	Myalgia (muscle pain)	1980/4924 (40.2%) ^b		
disorders	Arthralgia (joint pain)	1232/4924 (25.0%) ^b		
	Pain in extremity (arm) ^d	185/21926 (0.8%) ^a		
General disorders and administration	Injection site pain	4153/4924 (84.3%)°		
site conditions	Fatigue	3185/4924 (64.7%) ^b		
	Chills	1707/4924 (34.7%) ^b		
	Pyrexia	749/4924 (15.2%) ^b		
	Injection site swelling	546/4924 (11.1%)°		
	Injection site redness	486/4924 (9.9%)°		
	Malaise	130/21926 (0.6%) ^a		
	Asthenia	76/21926 (0.3%) ^a		

a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term – Blinded Placebo-Controlled Follow-up Period – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cut-off date: 13March2021)

b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cut-off date: 13March2021)

c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cut-off date: 13March2021)

d. These adverse reactions were identified in the post-authorization period.

Table A-2. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

Switzen Ougan Class	A DD Tours	Frequency
System Organ Class	ADR Term	n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	9/1131 (0.8%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
	Hypersensitivity reactions	
	Rash ^d	2/1131 (0.2%) ^a
	Urticaria ^d	2/1131 (0.2%) ^a
	Pruritus ^{d,e}	
	Angioedema ^{d,e}	
Metabolism and nutrition disorders	Decreased appetite ^e	
Nervous system disorders	Headache	854/1131 (75.5%) ^b
	Lethargye	
Gastrointestinal disorders	Diarrhea ^d	141/1131 (12.5%) ^b
	Vomiting ^d	59/1131 (5.2%) ^b
	Nausea	5/1131 (0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e	
disorders	Night sweats ^e	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	477/1131 (42.2%) ^b
disorders	Arthralgia (joint pain) (new)	229/1131 (20.2%) ^b
	Pain in extremity (arm) ^d	1/1131 (0.1%) ^a
General disorders and administration	Injection site pain	1023/1131 (90.5%)°
site conditions	Fatigue	876/1131 (77.5%) ^b
	Chills	557/1131 (49.2%) ^b
	Pyrexia	275/1131 (24.3%) ^b
	Injection site swelling	104/1131 (9.2%) ^c
	Injection site redness	97/1131 (8.6%)°
	Malaise ^e	, , ,
	Astheniae	

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) –Safety Population (Cut-off date: 13March2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cut-off date: 13March2021).
- c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cut-off date: 13March2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in the 12 through 15 year old age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table A-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

Table A-3. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency within each System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	_	uency (%)
Blood and lymphatic system disorders	Lymphadenopathy	13/1518	$(0.9\%)^a$
Immune system disorders	Anaphylaxis ^d		
-	Hypersensitivity reactions		
	Rash ^d	5/1518	(0.3%)a
	Urticaria ^d	3/1518	$(0.2\%)^{a}$
	Pruritus ^d	1/1518	(0.1%)a
	Angioedema ^{d,e}		•
Metabolism and nutrition disorders	Decreased appetite	1/1518	(0.1%)a
Nervous system disorders	Headache	579/1517	(38.2%)b
	Lethargy ^e		
Gastrointestinal disorders	Diarrhea ^d	146/1517	(9.6%) ^b
	Vomiting ^d	60/1517	(4.0%)b
	Nausea	6/1518	(0.4%)a
Skin and subcutaneous tissue	Hyperhidrosis ^e		
disorders	Night sweats ^e		
Musculoskeletal and connective tissue	Myalgia (muscle pain)	266/1517	(17.5%)b
disorders	Arthralgia (joint pain) (new)	115/1517	$(7.6\%)^{b}$
	Pain in extremity (arm) ^d	3/1518	(0.2%)a
General disorders and administration	Injection site pain	1279/1517	(84.3%)°
site conditions	Fatigue	785/1517	(51.7%)b
	Injection site redness	401/1517	(26.4%)°
	Injection site swelling	309/1517	(20.4%)°
	Chills	188/1517	(12.4%)b
	Pyrexia	126/1517	(8.3%)b
	Malaise	2/1518	(0.1%)a
	Astheniae		

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- c. Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in participants 5 to <12 years of age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Error! Reference source not found.): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-4. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	16/306 (5.2%) ^{a,b}
Immune system disorders	Anaphylaxise	
	Hypersensitivity reactions	
	Rash ^e	1/306 (0.3%) ^b
	Pruritus ^{e,f}	
	Urticaria ^{e,f}	
	Angioedema ^{e,f}	
Metabolism and nutrition disorders	Decreased appetite	1/306 (0.3%) ^b
Nervous system disorders	Headache	140/289 (48.4%) ^c
	Lethargy ^f	
Gastrointestinal disorders	Diarrheae	25/289 (8.7%)°
	Vomiting ^e	5/289 (1.7%)°
	Nausea	2/306 (0.7%) ^b
Skin and subcutaneous tissue	Hyperhidrosis ^f	
disorders	Night sweats ^f	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	113/289 (39.1%)°
disorders	Arthralgia (joint pain) (new)	73/289 (25.3%)°
	Pain in extremity (arm) ^e	1/306 (0.3%) ^b
General disorders and administration	Injection site pain	240/289 (83.0%) ^d
site conditions	Fatigue	184/289 (63.7%) ^c
	Chills	84/289 (29.1%) ^c
	Pyrexia	25/289 (8.7%)°
	Injection site swelling	23/289 (8.0%) ^d
	Injection site redness	17/289 (5.9%) ^d
	Malaisef	
	Astheniaf	

- * The booster dose of BNT162b2 30 μg was administered to participants 18 to 55 years of age.
- a. A higher frequency of lymphadenopathy (5.2% vs. 0.4%) was observed in participants receiving a booster dose compared to participants receiving 2 doses.
- b. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Booster Dose to 1 Month After Booster Dose, by System Organ Class and Preferred Term – Phase 3 – BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cut-off date: 17June2021).
- c. Source = Systemic Events, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cut-off date: 17June2021).
- d. Source = Local Reactions, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) -Booster Safety Population (Cut-off date: 17June2021).
- e. These adverse reactions were identified in the post-authorization period.
- f. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age following the 2-dose series (13 March 2021 Data Cut-off Date) Table A-1: angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-5. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects (≥16 Years of Age) Who Received 1 Booster Dose of BNT162b2 (30 μg) in Study C4591031 – Booster Safety Population (5 October 2021 Data Cut-off Date)^{64,80}

System Organ Class	ADR Term	Freque	•
		n/N (%	
Blood and lymphatic system disorders	Lymphadenopathya	135/5055	$(2.8\%)^{b}$
Immune system disorders	Anaphylaxis ^c		
	Hypersensitivity reactions		
	Rash ^c	3/5055	$(0.1\%)^{b}$
	Pruritus ^c	3/5055	(0.1%) ^b
	Urticaria ^c	2/5055	(0.04%)b
	Angioedema ^{c,d}		
Metabolism and nutrition disorders	Decreased appetite	9/5055	(0.2%) ^b
Nervous system disorders	Headache ^e		,
·	Lethargy	12/5055	(0.2%)b
Gastrointestinal disorders	Diarrhea ^{c,e}		
	Vomiting ^{c,e}		
	Nausea	48/5055	(0.9%) ^b
Skin and subcutaneous tissue disorders	Night sweats	5/5055	(0.1%) ^b
	Hyperhidrosis	4/5055	(0.1%) ^b
Musculoskeletal and connective tissue	Myalgia (muscle pain) ^e		
disorders	Arthralgia (joint pain) (new) ^e		
	Pain in extremity (arm) ^c	54/5055	(1.1%) ^b
General disorders and administration	Injection site paine		
site conditions	Fatigue ^e		
	Chills ^e		
	Pyrexia ^{e,f}		
	Injection site swelling ^e		
	Injection site redness ^e		
	Malaise	35/5055	(0.7%)b
	Asthenia	8/5055	(0.2%) ^b

- A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose (in Study C4591031) compared to participants receiving 2 doses.
- Source: Number (%) of Participants Reporting at Least 1 Adverse Event From Booster Vaccination to 1 Month After Booster Vaccination, by System Organ Class and Preferred Term – Blinded Follow-up Period – Safety Population (Cut-off date: 05October2021).
- c. These adverse reactions were identified in the post-authorization period.
- d. The following event was not reported in the Study C4591031 but was reported in individuals ≥16 years of age 1 month after Dose 2 (Cut-off date: 13March2021): angioedema.
- e. Please see Table A-4 for the frequency of the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.
- f. The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

Appendix B: Adverse Drug Reactions (ADRs) by System Organ Class and Council for International Organizations of Medical Science (CIOMS) Frequency Category Listed in Order of Decreasing Medical Seriousness or Clinical Importance Within Each Frequency Category and SOC

Table B-1. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopath v			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}	Angioedema ^{a,}		Anaphylaxisa
Metabolism and Nutrition disorders			Decreased appetite			
Nervous system disorders	Headache		Lethargy			
Gastrointestinal disorders	Diarrheaa	Vomiting ^a ; Nausea				
Skin and subcutaneous Tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia; Injection site swelling	Injection site redness	Asthenia; Malaise			

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, rash, and angioedema.

Table B-2. ADRs by SOC and CIOMS Frequency Category* Listed in order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

				Rare ≥1/10,000 to		Frequency not known (cannot
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	- /	<1/1,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	be estimated from the available data)
Blood and lymphatic system disorders	(_1070)	(Lymphadenopathy	31273)	(3332,0)	
Immune system disorders			Urticaria ^{a,b} ; Rash ^{a,b}			Anaphylaxis ^a
Nervous system disorders	Headache					
Gastrointestinal disorders	Diarrheaa	Vomitinga	Nausea			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia	_	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia	Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the 12 through 15 years of age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table B-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria and rash.

Table B-3. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

	Very Common	Common ≥1/100 to <1/10 (≥1% to	Uncommon ≥1/1,000 to <1/100	Rare ≥1/10,000 to <1/1,000 (≥0.01% to	Very Rare <1/10,000	Frequency not known (cannot be estimated from the
System Organ Class	≥1/10 (≥10%)	· ·	(≥0.1% to <1%)	<0.1%)	(<0.01%)	available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Musculoskeletal and connective tissue disorders	Myalgia	Arthralgia	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Injection site swelling; Injection site redness	Ругехіа	Malaise			

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

Table B-4. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC:

BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)†,64

System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		Lymphadenopathy				
Immune system disorders			Rasha			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Skin and subcutaneous tissue disorders						
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	pain; Fatigue; Chills	Pyrexia; Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

[†] The booster dose of BNT162b2 30 µg was administered to participants 18 to 55 years of age.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age 1 month after Dose 2 (Cut-off date: 13March2021) (see Table B-1): angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table B-5. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Study C4591031[†] (5 October 2021 Data Cut-off Date)⁶⁴

	Burr Ora	33. Study C-137102	(0 0 0 0 0 0 0 1 1			7
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		Lymphadenopathy				
Immune system disorders			Pruritus ^{a,b} ; Rash ^{a,b}	Urticaria ^{a,b}		Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders			Lethargy			
Gastrointestinal disorders			Nausea			
Skin and subcutaneous tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders		Pain in extremity (arm) ^a				
General disorders and administration site conditions			Asthenia; Malaise			

^{*} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

Please see Table B-4 for the CIOMS Frequency Categories for the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.

The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

- a. These adverse reactions were identified in the post-authorization period.
- b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

[†] Study C4591031 included individuals ≥16 years of age.

Appendix C. HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Frequency in the Safety Population Subset

Table C-1. Study 2 – Frequency and Percentages of Participants with Solicited Local Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,65

Oluci	Reactogementy Subset of the Safety I opulation				
	TRADENAME	Placebo	TRADENAME	Placebo	
	Dose 1	Dose 1	Dose 2	Dose 2	
	$N^a=54$	N ^a =56	N ^a =60	$N^a=62$	
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)	
Redness ^c					
Any (>2.0 cm)	2 (3.7)	3 (5.4)	4 (6.7)	1 (1.6)	
Mild	2 (3.7)	1 (1.8)	3 (5.0)	1 (1.6)	
Moderate	0	0	1 (1.7)	0	
Severe	0	2 (3.6)	0	0	
Swelling ^c					
Any (>2.0 cm)	3 (5.6)	1 (1.8)	5 (8.3)	0	
Mild	2 (3.7)	0	2 (3.3)	0	
Moderate	1 (1.9)	0	3 (5.0)	0	
Severe	0	1 (1.8)	0	0	
Pain at the injection si	te ^d				
Any	34 (63.0)	9 (16.1)	32 (53.3)	5 (8.1)	
Mild	26 (48.1)	8 (14.3)	22 (36.7)	5 (8.1)	
Moderate	8 (14.8)	1 (1.8)	9 (15.0)	0	
Severe	0	0	1 (1.7)	0	

Notes: Reactions were collected in the electronic diary (e-diary) from Day 1 to Day 7 after vaccination. No Grade 4 solicited local reactions were reported in HIV-Positive participants 16 years of age and older.

^{*} Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.

a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each reaction was the same, therefore, this information was included in the column header.

b. n = Number of participants with the specified reaction.

c. Mild: >2.0 to ≤ 5.0 cm; Moderate: >5.0 to ≤ 10.0 cm; Severe: >10.0 cm.

d. Mild: does not interfere with activity; Moderate: interferes with activity; Severe: prevents daily activity.

Moderate

Severe

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and

Older – Reactogenicity Subset of the Safety Population*,66 **TRADENAME** Placebo **TRADENAME** Placebo Dose 1 Dose 1 Dose 2 Dose 2 $N^a=54$ Na=56 Na=60 $N^a=62$ n^b (%) n^b (%) n^b (%) n^b (%) Fever ≥38.0°C 1(1.9)4 (7.1) 9 (15.0) 5 (8.1) ≥38.0°C to 38.4°C 1 (1.9) 2 (3.6) 4 (6.7) 5 (8.1) >38.4°C to 38.9°C 4 (6.7) 0 0 0 >38.9°C to 40.0°C 0 2 (3.6) 0 1 (1.7) >40.0°C 0 0 0 0 Fatigue^c Any 22 (40.7) 15 (26.8) 24 (40.0) 12 (19.4) Mild 15 (27.8) 9 (16.1) 12 (20.0) 5 (8.1) Moderate 7 (13.0) 5 (8.9) 9 (15.0) 7 (11.3) Severe 0 1 (1.8) 3(5.0)0 Headache^c Any 11 (20.4) 18 (32.1) 18 (30.0) 12 (19.4) Mild 7 (13.0) 8 (13.3) 8 (12.9) 10 (17.9) Moderate 4 (7.4) 7 (12.5) 8 (13.3) 4 (6.5) Severe 0 1 (1.8) 2(3.3)0 Chillsc 6(11.1)5 (8.9) 14 (23.3) 4 (6.5) Any Mild 5 (9.3) 4 (7.1) 5 (8.3) 3 (4.8) Moderate 1 (1.9) 1 (1.8) 8(13.3)1 (1.6) Severe 0 0 1(1.7)0 Vomiting^d Any 1(1.9)3 (5.4) 2(3.3)2(3.2)Mild 1(1.9)1(1.8)1(1.7)1 (1.6) Moderate 0 0 1(1.7)1 (1.6) 0 Severe 2(3.6)0 0 Diarrhea^e 5 (9.3) 8 (14.3) 4 (6.7) 9 (14.5) Any Mild 5 (9.3) 6 (10.7) 1 (1.7) 6 (9.7) Moderate 0 1 (1.8) 2(3.3)3 (4.8) 0 Severe 1(1.8)1(1.7)0 New or worsened muscle pain^c 9 (16.7) 10 (17.9) 10 (16.7) 5 (8.1) Any Mild 7 (13.0) 7 (12.5) 5 (8.3) 1 (1.6)

3 (5.4)

0

2(3.7)

0

5 (8.3)

0

4 (6.5)

0

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,66

	TRADENAME	Placebo	TRADENAME	Placebo
	Dose 1 N ^a =54	Dose 1 N^a=56	Dose 2 Na=60	Dose 2 N ^a =62
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
New or worsened joint pain	c			
Any	5 (9.3)	7 (12.5)	10 (16.7)	5 (8.1)
Mild	5 (9.3)	4 (7.1)	4 (6.7)	1 (1.6)
Moderate	0	3 (5.4)	6 (10.0)	4 (6.5)
Severe	0	0	0	0
Use of antipyretic or pain				
medication ^f	7 (13.0)	8 (14.3)	16 (26.7)	7 (11.3)

Notes: Reactions and use of antipyretic or pain medication were collected in the electronic diary (e-diary) from Day 1 to Day 7 after each dose.

No Grade 4 solicited systemic reactions were reported in HIV-positive participants 16 years of age and older.

- * Randomized participants in the safety analysis population who received at least 1 dose of the study intervention
- a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each event or use of antipyretic or pain medication was the same, therefore, this information was included in the column header.
- b. n = Number of participants with the specified reaction.
- c. Mild: does not interfere with activity; Moderate: some interference with activity; Severe: prevents daily activity
- d. Mild: 1 to 2 times in 24 hours; Moderate: >2 times in 24 hours; Severe: requires intravenous hydration.
- e. Mild: 2 to 3 loose stools in 24 hours; Moderate: 4 to 5 loose stools in 24 hours; Severe: 6 or more loose stools in 24 hours
- f. Severity was not collected for use of antipyretic or pain medication.

PREPARED BY PFIZER INC

CDS EFFECTIVE DATE: 23-MAR-2022

Date of Superseded CDS: 14-Jan-2022

COVID-19 mRNA Vaccine

CORE DATA SHEET

VERSION 12

1. NAME OF THE MEDICINAL PRODUCT

COVID-19 mRNA Vaccine (nucleoside modified) and COMIRNATY are called TRADENAME.

2. QUALITATIVE AND QUANTITATIVE COMPOSITION^{1,2,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): This is a multidose vial and must be diluted before use. One vial (0.45 mL) contains 6 doses of 0.3 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): This is a multidose vial. One vial (2.25 mL) contains 6 doses of 0.3 mL (see Sections 4.2 and 6.6).

One dose (0.3 mL) contains 30 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): This is a multidose vial and must be diluted before use. One vial (1.3 mL) contains 10 doses of 0.2 mL after dilution (see Sections 4.2 and 6.6).

One dose (0.2 mL) contains 10 micrograms of COVID-19 mRNA Vaccine (embedded in lipid nanoparticles).

TRADENAME is highly purified single-stranded, 5'-capped messenger RNA (mRNA) produced using a cell-free *in vitro* transcription from the corresponding DNA templates, encoding the viral spike (S) protein of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

For the full list of excipients, see Section 6.1.

3. PHARMACEUTICAL FORM^{2,3,72}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use): Concentrate for dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute): Dispersion for injection.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years): Concentrate for dispersion for injection.

The vaccine is a white to off-white frozen solution.

4. CLINICAL PARTICULARS

4.1. Therapeutic indications

The following is a representative indication. Locally approved indications may differ.

Active immunization to prevent coronavirus disease 2019 (COVID-19) caused by SARS-CoV-2 virus, in individuals 5 years of age and older.^{4,49,73}

4.2. Posology and method of administration

Posology

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

Or

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Individuals 12 years of age and older

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) are administered intramuscularly as a primary series of 2 doses (0.3 mL each) at greater than or equal to 21 days (preferably 3 weeks) apart.^{5,49}

Booster dose in individuals 16 years of age and older

A booster dose of TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) may be administered intramuscularly approximately 6 months after the second dose in individuals 16 years of age and older.⁷¹

Doses of TRADENAME (Dilute Before Use) concentrate for dispersion for injection (30 micrograms/dose) and TRADENAME (Do Not Dilute) dispersion for injection (30 micrograms/dose) vaccine are considered interchangeable.

TRADENAME (Dilute Before Use) and TRADENAME (Do Not Dilute) intended for individuals ages 12 years and older cannot be used for individuals age 5 years to <12 years.

Interchangeability

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series or the booster dose has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series and for any additional doses.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

Individuals 5 through <12 years of age

TRADENAME (for age 5 years to <12 years) is administered intramuscularly after dilution as a primary series of 2 doses (0.2 mL) at greater than or equal to 21 days (preferably 3 weeks) apart.⁷³

TRADENAME (for age 5 years to <12 years) cannot be used in individuals 12 years of age and older.

The interchangeability of TRADENAME with other COVID-19 vaccines to complete the primary vaccination series has not been established. Individuals who have received 1 dose of TRADENAME should receive a second dose of TRADENAME to complete the primary vaccination series.

Individuals may not be protected until at least 7 days after their second dose of the vaccine.⁶

For further information on efficacy, see Section 5.1.

Pediatric population

The safety and efficacy of TRADENAME in individuals under 5 years of age have not yet been established. The safety and effectiveness of a booster dose of TRADENAME in individuals 16 through 17 years of age is based on safety and effectiveness data in adults at least 18 through 55 years of age.⁷¹

Geriatric population

Clinical studies of TRADENAME include participants 65 years of age and older and their data contributes to the overall assessment of safety and efficacy. Of the total number of TRADENAME recipients in Study 2 (N = 22,026), 16.5% (n = 3627) were 65 through 74 years of age and 4.2% (n = 925) were 75 years of age and older (see Section 5.1).

The safety of a booster dose of TRADENAME in individuals 65 years of age and older is based on safety data in 12 booster dose recipients 65 through 85 years of age in Study 2, 306 booster dose recipients 18 through 55 years of age in Study 2, and 1,175 booster dose recipients 65 years of age and older in Study 4. The effectiveness of a booster dose of TRADENAME in individuals 65 years of age and older is based on effectiveness data in 306 booster dose recipients 18 through 55 years of age in Study 2, and an efficacy analysis from participants 16 years of age and older in 9,945 participants in Study 4.71,80

Method of administration

Administer TRADENAME intramuscularly in the deltoid muscle.

Do not inject the vaccine intravascularly, subcutaneously, or intradermally.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

After dilution, vials of TRADENAME (Dilute Before Use) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead -volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

Vials of TRADENAME (Do Not Dilute) contain 6 doses of 0.3 mL of vaccine.

Individuals 12 years of age and older

Low dead-volume syringes and/or needles can be used to extract 6 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

After dilution, vials of TRADENAME (for age 5 years to <12 years) contains 10 doses of 0.2 mL of vaccine.

Individuals 5 through <12 years of age

Low dead-volume syringes and/or needles can be used to extract 10 doses from a single vial. If standard syringes and needles are used, there may not be sufficient volume to extract 10 doses from a single vial. Irrespective of the type of syringe and needle:

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and content.
- Do not pool excess vaccine from multiple vials.

For instructions on the handling, dilution, and dose preparation of the vaccine before administration, see Section 6.6.

4.3. Contraindications

Hypersensitivity to the active substance or to any of the excipients listed in Section 6.1.

4.4. Special warnings and precautions for use

Traceability

In order to improve the traceability of biological medicinal products, the name and the batch number of the administered product should be clearly recorded.

General recommendations

As with all injectable vaccines, appropriate medical treatment and supervision must always be readily available in case of a rare anaphylactic event following the administration of the vaccine.⁹

Very rare cases of myocarditis and pericarditis have been reported following vaccination with TRADENAME. Typically, the cases have occurred more often in younger men and after the second dose of the vaccine and within 14 days after vaccination. These are generally mild cases and individuals tend to recover within a short time following standard treatment and rest. Healthcare professionals should be alert to the signs and symptoms of myocarditis and pericarditis in vaccine recipients.⁶⁹

The administration of TRADENAME should be postponed in individuals suffering from acute severe febrile illness.⁹

Individuals receiving anticoagulant therapy or those with a bleeding disorder that would contraindicate intramuscular injection, should not be given the vaccine unless the potential benefit clearly outweighs the risk of administration.⁹

Immunocompromised persons, including individuals receiving immunosuppressant therapy, may have a diminished immune response to the vaccine.

Some individuals may have stress-related responses associated with the process of vaccination itself. Stress-related responses are temporary and resolve on their own. They may include dizziness, fainting, palpitations, increases in heart rate, alterations in blood pressure, feeling short of breath, tingling sensations, sweating and/or anxiety. Individuals should be advised to bring symptoms to the attention of the vaccination provider for evaluation and precautions should be in place to avoid injury from fainting.⁶⁷

As with any vaccine, vaccination with TRADENAME may not protect all vaccine recipients.

4.5. Interaction with other medicinal products and other forms of interaction

No interaction studies have been performed.

Do not mix TRADENAME with other vaccines/products in the same syringe.

4.6. Fertility, pregnancy and lactation

Pregnancy

There are limited amount of data from the use of TRADENAME in pregnant women. Animal studies do not indicate direct or indirect harmful effects with respect to pregnancy, embryo/fetal development, parturition, or post-natal development (see Section 5.3). ^{10,11} Administration of TRADENAME in pregnancy should be considered when the potential benefits outweigh any potential risks for the mother and fetus.

Lactation

It is unknown whether TRADENAME is excreted in human milk.

Fertility

It is unknown whether TRADENAME has an impact on fertility. Animal studies do not indicate direct or indirect harmful effects with respect to female fertility or reproductive toxicity (see Section 5.3).^{10,11}

4.7. Effects on ability to drive and use machines

TRADENAME has no or negligible influence on the ability to drive and use machines. However, some of the effects mentioned under Section 4.8 "Undesirable effects" may temporarily affect the ability to drive or use machines.

4.8. Undesirable effects

Summary of safety profile

The safety of TRADENAME was evaluated in participants 5 years of age and older in 3 clinical studies conducted in the United States, Europe, Turkey, South Africa, and South America. 12,49

Study BNT162-01 (Study 1) enrolled 60 participants, 18 through 55 years of age and 36 participants, 56 through 85 years of age. 68 Study C4591001 (Study 2) enrolled approximately 46,000 participants, 41 12 years of age or older. 12 Study C4591007 (Study 3) enrolled approximately 2,300 participants 5 through less than 12 years of age. 73

Additionally, 306 existing Phase 3 participants at least 18 through 55 years of age received a booster dose of TRADENAME approximately 6 months after the second dose in the non-placebo-controlled booster dose portion of Study 2. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁷¹

In Study C4591031 (Study 4), a placebo-controlled booster study, 5,081 participants 16 years of age and older were recruited from Study 2 to receive a booster dose of TRADENAME at least 6 months after the second dose. The overall safety profile for the booster dose was similar to that seen after 2 doses.⁸⁰

Participants 16 years of age and older – after 2 doses

In Study 2, a total of 22,026 participants 16 years of age or older received at least 1 dose of TRADENAME and a total of 22,021 participants 16 years of age or older received placebo.⁵⁰

The most frequent adverse reactions in participants 16 years of age and older that received 2 doses (in order from highest to lowest frequencies) were injection site pain (>80%), fatigue (>60%), headache (>50%), myalgia (>40%), chills (>30%), arthralgia (>20%), pyrexia and injection site swelling (>10%) and were usually mild or moderate in intensity and resolved within a few days after vaccination.⁶⁴ A lower frequency of reactogenicity events was associated with greater age.¹⁵

The safety profile in 545 participants receiving TRADENAME, that were seropositive for SARS-CoV-2 at baseline, was similar to that seen in the general population. 17,28,31

Study 2 also included 200 participants with confirmed stable human immunodeficiency virus (HIV) infection. The safety profile of the participants receiving TRADENAME (n = 100) in the individuals with stable HIV infection was similar to that seen in the general population.⁵¹

<u>Adolescents 12 through 15 years of age – after 2 doses⁸¹</u>

In an analysis of long-term safety follow-up in Study 2, 2,260 adolescents (1,131 TRADENAME; 1,129 placebo) were 12 through 15 years of age. Of these, 1,559 adolescents (786 TRADENAME and 773 placebo) have been followed for ≥4 months after the second dose. ^{41,42} The safety evaluation in Study 2 is ongoing.

The most frequent adverse reactions in adolescents 12 through 15 years of age that received 2 doses were injection site pain (>90%), fatigue and headache (>70%), myalgia and chills (>40%), arthralgia and pyrexia (>20%). 43,44,45

Children 5 through <12 years of age – after 2 doses⁷³

In an analysis of Study 3 Phase 2/3, 2,268 participants (1,518 TRADENAME 10 mcg; 750 placebo) were 5 through <12 years of age. Of these, 2,158 (95.1%) (1,444 TRADENAME 10 mcg and 714 placebo) participants have been followed for at least 2 months after the second dose. The safety evaluation in Study 3 is ongoing.

The most frequent adverse reactions in children 5 through <12 years of age that received 2 doses included injection site pain (>80%), fatigue (>50%), headache (>30%), injection site redness and swelling (>20%), myalgia and chills (>10%).

Participants 16 years of age and older – after booster dose⁷¹

A subset from Study 2 Phase 2/3 participants of 306 adults at least 18 through 55 years of age who completed the primary TRADENAME 2-dose course, received a booster dose of TRADENAME approximately 6 months (range of 4.8 to 8.0 months) after receiving Dose 2.

The most frequent adverse reactions in participants 18 through 55 years of age were injection site pain (>80%), fatigue (>60%), headache (>40%), myalgia (>30%), chills and arthralgia (>20%).

In Study 4, a placebo-controlled booster study, participants 16 years of age and older recruited from Study 2 received a booster dose of TRADENAME (5,081 participants), or placebo (5,044 participants) at least 6 months after the second dose of TRADENAME. Overall, participants who received a booster dose, had a median follow-up time of 2.5 months after the booster dose to the cut-off date (5 October 2021).⁸⁰

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
Blood and lymphatic system disorders	Lymphadenopathy ^a
Metabolism and nutrition disorders	Decreased appetite
Nervous system disorders	Headache Lethargy
Gastrointestinal disorders	Nausea
Skin and subcutaneous tissue disorders	Hyperhidrosis Night sweats
Musculoskeletal and connective tissue disorders	Arthralgia Myalgia

Table 1. Adverse Drug Reactions 13,14,16,64,80

System Organ Class	Adverse Drug Reactions
General disorders and	Pyrexia ^b
administration site conditions	Chills
	Asthenia
	Malaise
	Fatigue
	Injection site pain
	Injection site swelling
	Injection site redness

- a. A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.⁷¹
- b. A higher frequency of pyrexia was observed after the second dose compared to the first dose. The preferred term pyrexia is a cluster term covering also body temperature increased.

Adverse reactions from TRADENAME post-authorization experience

The following events have been identified as adverse reactions during the post-authorization use of TRADENAME.

Table 2. Adverse Drug Reactions^{38,64,80}

System Organ Class	Adverse Drug Reactions
Immune system disorders	Anaphylaxis Hypersensitivity reactions (e.g., rash, pruritus, urticaria, angioedema)
Gastrointestinal disorders	Diarrhea Vomiting
Musculoskeletal and connective tissue disorders	Pain in extremity (arm) ^a

a. A higher frequency of pain in extremity (1.1% vs. 0.8%) was observed in participants receiving a booster dose in Study 4 compared to participants receiving 2 doses.

4.9. Overdose

Participants who received 58 micrograms of TRADENAME in clinical trials did not report an increase in reactogenicity or adverse events.¹⁸

In the event of overdose, monitoring of vital functions and possible symptomatic treatment is recommended.

5. PHARMACOLOGICAL PROPERTIES

5.1. Pharmacodynamic properties

Pharmacological class, therapeutic class

Vaccines

Refer to the current ATC code index for the appropriate code assignment for the pharmacologic and/or therapeutic class.

Mechanism of action

The nucleoside-modified messenger RNA in TRADENAME is formulated in lipid nanoparticles, which enable delivery of the RNA into host cells to allow expression of the SARS-CoV-2 S antigen. The vaccine elicits both neutralizing antibody and cellular immune responses to the spike (S) antigen, which may contribute to protection against COVID-19.^{19,20}

Efficacy

Study 2 is a multicenter, placebo-controlled efficacy study in participants 12 years of age and older. Randomization was stratified by age: 12 through 15 years of age, 16 through 55 years of age, or 56 years of age and older, with a minimum of 40% of participants in the ≥56-year stratum. The study excluded participants who were immunocompromised and those who had previous clinical or microbiological diagnosis of COVID-19. Participants with pre-existing stable disease, defined as disease not requiring significant change in therapy or hospitalization for worsening disease during the 6 weeks before enrollment, were included as were participants with known stable infection with HIV, hepatitis C virus (HCV), or hepatitis B virus (HBV).

Efficacy in participants 16 years of age and older – after 2 doses

In the Phase 2/3 portion of Study 2, based on data accrued through 14 November 2020, approximately 44,000 participants 12 years of age and older were randomized equally and received 2 doses of COVID-19 mRNA Vaccine or placebo. The efficacy analyses included participants that received their second vaccination within 19 to 42 days after their first vaccination. The majority (93.1%) of vaccine recipients received the second dose 19 days to 23 days after Dose 1.⁵² Participants are planned to be followed for up to 24 months, for assessments of safety and efficacy against COVID-19.^{12,27}

The population for the analysis of the primary efficacy endpoint included, 36,621 participants 12 years of age and older (18,242 in the COVID-19 mRNA Vaccine group and 18,379 in the placebo group) who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.²² Table 3 presents the specific demographic characteristics in the studied population.

Table 3. Demographics (Population for the Primary Efficacy Endpoint)^{a,22}

	TRADENAME (N=18,242)	Placebo (N=18,379) n (%)
	n (%)	
Sex	, ,	
Male	9318 (51.1)	9225 (50.2)
Female	8924 (48.9)	9154 (49.8)
Age (years)		
Mean (SD)	50.6 (15.70)	50.4 (15.81)
Median	52.0	52.0
Min, max	(12, 89)	(12, 91)
Age group		
12 to 15 years	46 (0.3)	42 (0.2)
16 to 17 years	66 (0.4)	68 (0.4)
16 to 64 years	14,216 (77.9)	14,299 (77.8)
65 to 74 years	3176 (17.4)	3226 (17.6)
≥75 years	804 (4.4)	812 (4.4)
Race		
White	15,110 (82.8)	15,301 (83.3)
Black or African American	1617 (8.9)	1617 (8.8)
American Indian or Alaska Native	118 (0.6)	106 (0.6)
Asian	815 (4.5)	810 (4.4)
Native Hawaiian or other Pacific		
Islander	48 (0.3)	29 (0.2)
Other ^b	534 (2.9)	516 (2.8)
Ethnicity		
Hispanic or Latino	4886 (26.8)	4857 (26.4)
Not Hispanic or Latino	13,253 (72.7)	13,412 (73.0)
Not reported	103 (0.6)	110 (0.6)
Comorbidities ^c		
Yes	8432 (46.2)	8450 (46.0)
No	9810 (53.8)	9929 (54.0)

- a. All eligible randomized participants who receive all vaccination(s) as randomized within the predefined window, have no other important protocol deviations as determined by the clinician, and have no evidence of SARS-CoV-2 infection prior to 7 days after Dose 2.
- b. Includes multiracial and not reported.
- c. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19.
 - Chronic lung disease (e.g., emphysema and chronic bronchitis, idiopathic pulmonary fibrosis, and cystic fibrosis) or moderate to severe asthma
 - Significant cardiac disease (e.g., heart failure, coronary artery disease, congenital heart disease, cardiomyopathies, and pulmonary hypertension)
 - Obesity (body mass index ≥30 kg/m²)
 - Diabetes (Type 1, Type 2, or gestational)
 - Liver disease
 - Human Immunodeficiency Virus (HIV) infection (not included in the efficacy evaluation)

At the time of the primary efficacy analysis, participants had been followed for symptomatic COVID-19 for at least 2214 person-years for the COVID-19 mRNA Vaccine and at least 2222 person-years in the placebo group.³²

There were no meaningful clinical differences in overall vaccine efficacy in participants who were at risk of severe COVID-19 including those with 1 or more comorbidities that increase the risk of severe COVID-19 [e.g., asthma, body mass index (BMI) ≥30 kg/m², chronic pulmonary disease, diabetes mellitus, hypertension].^{23,24}

The vaccine efficacy information is presented in Table 4.

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

Efficacy	(7 Days) Population		
First COVID-19	occurrence from 7 days aft		without evidence of
	1 2	V-2 infection*,34	
	TRADENAME	Placebo	
	N ^a =18,198	N ^a =18,325	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	8	162	95.0
All participants ^e	2.214 (17,411)	2.222 (17,511)	$(90.3, 97.6)^{f}$
	7	143	95.1
16 to 64 years	1.706 (13,549)	1.710 (13,618)	$(89.6, 98.1)^g$
_	1	19	94.7
≥65 years	0.508 (3848)	0.511 (3880)	$(66.7, 99.9)^{g}$
•	1	14	92.9
65 to 74 years	0.406 (3074)	0.406 (3095)	$(53.1, 99.8)^g$
-	0	5	100.0
≥75 years	0.102 (774)	0.106 (785)	$(-13.1, 100.0)^{g}$
First COVID-19	occurrence from 7 days a	fter Dose 2 in participants	with or without*
	evidence of prior SA	RS-CoV-2 infection ²⁸	
	TRADENAME	Placebo	
	Na=19,965	N ^a =20,172	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI)
	9	169	94.6
All participants ^e	2.332 (18,559)	2.345 (18,708)	$(89.9, 97.3)^{f}$
	8	150	94.6
16 to 64 years	1.802 (14,501)	1.814 (14,627)	$(89.1, 97.7)^g$
	1	19	94.7
≥65 years	0.530 (4044)	0.532 (4067)	$(66.8, 99.9)^g$

Table 4. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population

	- a, s, r op a a a c a a	zmonoj (, zwjo) r opumerom			
_	1	14	92.9		
65 to 74 years	0.424 (3239)	0.423 (3255)	$(53.2, 99.8)^g$		
-	0	5	100.0		
≥75 years	0.106 (805)	0.109 (812)	$(-12.1, 100.0)^{g}$		

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Abbreviations: NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. No confirmed cases were identified in adolescents 12 to 15 years of age.
- f. Two-sided credible interval for vaccine efficacy (VE) was calculated using a beta-binomial model with a beta (0.700102, 1) prior for $\theta=r(1-VE)/(1+r(1-VE))$, where r is the ratio of surveillance time in the active vaccine group over that in the placebo group.
- g. Two-sided confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted to the surveillance time.

The subgroup analyses of vaccine efficacy including demographic characteristics is presented in Table 5.

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

Subgroup	TRADENAME N ^a =18,198 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =18,325 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI)
Sex			
	5	81	93.7
Female	1.090 (8536)	1.114 (8749)	(84.7, 98.0)
	3	81	96.4
Male	1.124 (8875)	1.108 (8762)	(88.9, 99.3)
Ethnicity			
Hispanic or	3	53	94.4
Latino	0.605 (4764)	0.600 (4746)	(82.7, 98.9)

Table 5. Subgroup Analyses of Vaccine Efficacy - Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 - Evaluable Efficacy Population³³

Subgroup	TRADENAME N°=18,198 Cases n1b Surveillance Timec (n2d)	Placebo N ^a =18,325 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI)
Not			
Hispanic or	5	109	95.4
Latino	1.596 (12,548)	1.608 (12,661)	(88.9, 98.5)
Race			
Black or			
African	0	7	100.0
American	0.165 (1502)	0.164 (1486)	(31.2, 100.0)
	7	146	95.2
White	1.889 (14,504)	1.903 (14,670)	(89.8, 98.1)
	1	9	89.3
All others ^f	0.160 (1405)	0.155 (1355)	(22.6, 99.8)

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Updated efficacy analyses were performed with additional confirmed COVID-19 cases accrued during blinded placebo-controlled follow-up through 13 March 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.

The updated vaccine efficacy information is presented in Table 6.

Table 6. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup – Participants Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period

First COVID-19 occurrence from 7 days after Dose 2 in participants without evidence of prior SARS-CoV-2 infection*,53 **TRADENAME** $N^a=20,998$ Placebo Na=21,096 Cases Cases n1^b n1^b Vaccine Efficacy % Subgroup Surveillance Time^c (n2^d) Surveillance Time^c (n2^d) (95% CIe) 77 850 91.3 All participants^f 6.247 (20,712) 6.003 (20,713) (89.0, 93.2)710 90.6 70 16 through 64 years 4.859 (15,519) 4.654 (15,515) (87.9, 92.7)124 94.5 65 years and older 1.233 (4192) 1.202 (4226) (88.3, 97.8)98 65 through 94.1 74 years 0.994 (3350) 0.966 (3379) (86.6, 97.9)75 years and 1 26 96.2 0.239 (842) 0.237 (847) (76.9, 99.9)older

First COVID-19 occurrence from 7 days after Dose 2 in participants with or without* evidence of prior SARS-CoV-2 infection⁵⁴

	TRADENAME N²=22,166	Placebo N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
	81	873	91.1
All participants ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
	74	727	90.2
16 through 64 years	5.073 (16,218)	4.879 (16,269)	(87.6, 92.4)
	7	128	94.7
65 years and older	1.267 (4315)	1.232 (4326)	(88.7, 97.9)
65 through	6	102	94.3
74 years	1.021 (3450)	0.992 (3468)	(87.1, 98.0)
75 years and	1	26	96.2
older	0.246 (865)	0.240 (858)	(77.2, 99.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

^{*} Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

a. N = Number of participants in the specified group.

b. n1 = Number of participants meeting the endpoint definition.

- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group (both without and with or without evidence of prior SARS-CoV-2 infection); 16 and 18 in the placebo group (without and with or without evidence of prior SARS-CoV-2 infection, respectively).

The updated subgroup analyses of vaccine efficacy by demographic characteristics are presented in Table 7 and Table 8.

Table 7. Vaccine Efficacy– First COVID-19 Occurrence From 7 Days After
Dose 2 – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics – Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

the Timeeb	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	,	ŕ	
	Cases	Cases	X7
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^e (n2 ^u)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Sex			
	42	399	90.1
Male	3.246 (10,637)	3.047 (10,433)	(86.4, 93.0)
	35	451	92.4
Female	3.001 (10075)	2.956 (10,280)	(89.2, 94.7)
Ethnicity			
·	29	241	88.5
Hispanic or Latino	1.786 (5161)	1.711 (5120)	(83.0, 92.4)
Not Hispanic or	47	609	92.6
Latino	4.429 (15,449)	4.259 (15,484)	(90.0, 94.6)
Race			
Black or African	4	48	91.9
American	0.545 (1737)	0.527 (1737)	(78.0, 97.9)
	67	747	91.3
White	5.208 (17,186)	5.026 (17,256)	(88.9, 93.4)
	6	55	90.0
All othersf	0.494 (1789)	0.451 (1720)	(76.9, 96.5)
Country			
	15	108	86.5
Argentina	1.012 (2600)	0.986 (2586)	(76.7, 92.7)
	12	80	86.2
Brazil	0.406 (1311)	0.374 (1293)	(74.5, 93.1)
	0	1	100.0
Germany	0.047 (236)	0.048 (242)	(-3874.2, 100.0)

Table 7. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants Without Evidence of Infection* Prior to 7 Days After Dose 2
by Demographic Characteristics — Evaluable Efficacy (7 Days) Population During
the Placebo-Controlled Follow-up Period⁵³

Subgroup	TRADENAME N ^a =20,998 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =21,096 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI) ^e
	0	9	100.0
South Africa	0.080 (291)	0.074 (276)	(53.5, 100.0)
	0	5	100.0
Turkey	0.027 (228)	0.025 (222)	(-0.1, 100.0)
	50	647	92.6
United States	4.674 (16,046)	4.497 (16,094)	(90.1, 94.5)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

Subgroup	TRADENAME N ^a =22,166 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Placebo N ^a =22,320 Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI) ^e
Sex			
	44	411	89.9
Male	3.376 (11,103)	3.181 (10,920)	(86.2, 92.8)
	37	462	92.1
Female	3.133 (10,539)	3.093 (10,769)	(88.9, 94.5)

Table 8. Vaccine Efficacy—First COVID-19 Occurrence From 7 Days After
Dose 2 — Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics — Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

•	TRADENAME	Placebo	
	Na=22,166	N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Ethnicity		•	, ,
	32	245	87.4
Hispanic or Latino	1.862 (5408)	1.794 (5391)	(81.8, 91.6)
Not Hispanic or	48	628	92.6
Latino	4.615 (16,128)	4.445 (16,186)	(90.1, 94.6)
Race			
Black or African	4	49	92.0
American	0.611 (1958)	0.601 (1985)	(78.1, 97.9)
	69	768	91.3
White	5.379 (17,801)	5.191 (17,880)	(88.9, 93.3)
	8	56	86.8
All others ^f	0.519 (1883)	0.481 (1824)	(72.1, 94.5)
Country			
	16	110	85.7
Argentina	1.033 (2655)	1.017 (2670)	(75.7, 92.1)
	14	82	84.2
Brazil	0.441 (1419)	0.408 (1401)	(71.9, 91.7)
	0	1	100.0
Germany	0.047 (237)	0.048 (243)	(-3868.6, 100.0)
	0	10	100.0
South Africa	0.099 (358)	0.096 (358)	(56.6, 100.0)
	0	6	100.0
Turkey	0.029 (238)	0.026 (232)	(22.2, 100.0)
	51	664	92.6
United States	4.861 (16,735)	4.678 (16,785)	(90.2, 94.6)

Notes: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting). Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.

Table 8. Vaccine Efficacy– First COVID-19 Occurrence From 7 Days After
Dose 2 – Participants With or Without* Evidence of Infection Prior to 7 Days
After Dose 2 by Demographic Characteristics – Evaluable Efficacy (7 Days)
Population During the Placebo-Controlled Follow-up Period⁵⁴

	TRADENAME N ^a =22,166	Placebo N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e

- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- f. All others = American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, multiracial, and not reported race categories.

The subgroup analyses of vaccine efficacy by risk status in participants is presented in Table 9.

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

	TRADENAME	Placebo		
	N ^a =18,198	Na=18,325		
Effica ev	,	_		
Efficacy	Cases n1 ^b	Cases n1 ^b	N7	
Endpoint			Vaccine Efficacy %	
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI°)	
	occurrence from 7 days after	r Dose 2		
At risk ^f				
	4	86	95.3	
Yes	1.025 (8030)	1.025 (8029)	(87.7, 98.8)	
	4	76	94.7	
No	1.189 (9381)	1.197 (9482)	(85.9, 98.6)	
Age group (year	s) and at risk			
16 to 64 and	4	69	94.2	
not at risk	0.962 (7671)	0.964 (7701)	(84.4, 98.5)	
16 to 64 and	3	74	95.9	
at risk	0.744 (5878)	0.746 (5917)	(87.6, 99.2)	
≥65 and not	0	7	100.0	
at risk	0.227 (1701)	0.233 (1771)	(29.0, 100.0)	
≥65 and at	1	12	91.7	
risk	0.281 (2147)	0.279 (2109)	(44.2, 99.8)	
Obeseg				
	3	67	95.4	
Yes	0.763 (6000)	0.782 (6103)	(86.0, 99.1)	
	5	95	94.8	
No	1.451 (11,406)	1.439 (11,404)	(87.4, 98.3)	

Table 9. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population²³

Efficacy Endpoint	TRADENAME Na=18,198 Cases n1b Surveillance Times (n2d)	Placebo Na=18,325 Cases n1b Surveillence Times (n2d)	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)
Age group (year	s) and obese		
16 to 64 and	4	83	95.2
not obese	1.107 (8811)	1.101 (8825)	(87.3, 98.7)
16 to 64 and	3	60	94.9
obese	0.598 (4734)	0.609 (4789)	(84.4, 99.0)
≥65 and not	1	12	91.8
obese	0.343 (2582)	0.338 (2567)	(44.5, 99.8)
≥65 and	0	7	100.0
obese	0.165 (1265)	0.173 (1313)	(27.1, 100.0)

Abbreviations: BMI = body mass index; N-binding = SARS-CoV-2 nucleoprotein—binding; NAAT = nucleic acid amplification test; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; VE = vaccine efficacy.

* Subjects who had no serological or virological evidence (prior to 7 days after receipt of the last dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.

- a. N = number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for VE is derived based on the Clopper and Pearson method adjusted for surveillance
- f. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m²).
- g. Obese is defined as BMI ≥30 kg/m².

The updated subgroup analyses of vaccine efficacy by risk status in participants followed up to 6 months after Dose 2 (with a cut-off date of 13 March 2021) are presented in Table 10 and Table 11.

Table 10. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants Without Evidence of Infection* Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁵

ronow-uj) Period ⁵⁵		
	TRADENAME	Placebo	
	Na=20,998	Na=21,096	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
First COVID-19			
occurrence from	77	850	91.3
7 days after Dose 2 ^f	6.247 (20,712)	6.003 (20,713)	(89.0, 93.2)
At risk ^g			
	35	401	91.6
Yes	2.797 (9167)	2.681 (9136)	(88.2, 94.3)
	42	449	91.0
No	3.450 (11,545)	3.322 (11,577)	(87.6, 93.6)
Age group (years) as	nd risk status		
16 through 64 and	41	385	89.8
not at risk	2.776 (8887)	2.661 (8886)	(85.9, 92.8)
16 through 64 and	29	325	91.5
at risk	2.083 (6632)	1.993 (6629)	(87.5, 94.4)
65 and older and	1	53	98.1
not at risk	0.553 (1870)	0.546 (1922)	(89.2, 100.0)
65 and older and	6	71	91.8
at risk	0.680 (2322)	0.656 (2304)	(81.4, 97.1)
Obese ^h			
	27	314	91.6
Yes	2.103 (6796)	2.050 (6875)	(87.6, 94.6)
	50	536	91.1
No	4.143 (13,911)	3.952 (13,833)	(88.1, 93.5)
Age group (years) as			, , ,
16 through 64 and		444	90.1
not obese	3.178 (10,212)	3.028 (10,166)	(86.6, 92.9)
16 through 64 and	24	266	91.3
obese	1.680 (5303)	1.624 (5344)	(86.7, 94.5)
65 and older and	4	79	95.2
not obese	0.829 (2821)	0.793 (2800)	(87.1, 98.7)
65 and older and	3	45	93.2
obese	0.404 (1370)	0.410 (1426)	(78.9, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 through 15 years of age: 0 in the TRADENAME group; 16 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 Years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For 12 through 15 years age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

	TRADENAME N°=22,166	Placebo N ^a =22,320	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)		(95% CI) ^e
First COVID-19			
occurrence from	81	873	91.1
7 days after Dose 2 ^f	6.509 (21,642)	6.274 (21,689)	(88.8, 93.0)
At risk ^g		, , , , , , , , , , , , , , , , , , , ,	, .
	36	410	91.6
Yes	2.925 (9601)	2.807 (9570)	(88.1, 94.2)
	45	463	90.6
No	3.584 (12,041)	3.466 (12,119)	(87.2, 93.2)
Age group (years) an	d risk status		
16 through 64 and	44	397	89.3
not at risk	2.887 (9254)	2.779 (9289)	(85.4, 92.4)
16 through 64 and	30	330	91.3
at risk	2.186 (6964)	2.100 (6980)	(87.3, 94.2)
65 and older and	1	55	98.2
not at risk	0.566 (1920)	0.559 (1966)	(89.6, 100.0)
65 and older and at	6	73	92.1
risk	0.701 (2395)	0.672 (2360)	(82.0, 97.2)

Table 11. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status – Participants With or Without* Evidence of Infection Prior to 7 Days After Dose 2 – Evaluable Efficacy (7 Days) Population During the Placebo-Controlled Follow-up Period⁵⁶

Controlle	Tonow-up I criou		
	TRADENAME	Placebo	
	Na=22,166	$N^a=22,320$	
	Cases	Cases	
	n1 ^b	n1 ^b	Vaccine Efficacy %
Subgroup	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI) ^e
Obese ^h			,
	28	319	91.4
Yes	2.207 (7139)	2.158 (7235)	(87.4, 94.4)
	53	554	90.8
No	4.301 (14,497)	4.114 (14,448)	(87.9, 93.2)
Age group (years) ar	nd obesity status		
16 through 64 and	49	458	89.8
not obese	3.303 (10,629)	3.158 (10,614)	(86.2, 92.5)
16 through 64 and	25	269	91.0
obese	1.768 (5584)	1.719 (5649)	(86.4, 94.3)
65 and older and	4	82	95.3
not obese	0.850 (2899)	0.811 (2864)	(87.6, 98.8)
65 and older and	3	46	93.4
obese	0.417 (1415)	0.420 (1462)	(79.5, 98.7)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.
- f. Included confirmed cases in participants 12 to 15 years of age: 0 in the TRADENAME group; 18 in the placebo group.
- g. At risk is defined as having at least 1 of the Charlson Comorbidity Index (CMI) category or obesity (BMI ≥30 kg/m² or BMI ≥95th percentile [12 through 15 years of age]).
- h. Obese is defined as BMI ≥30 kg/m². For the 12 through 15 years of age group, obesity is defined as a BMI at or above the 95th percentile. Refer to the CDC growth charts at https://www.cdc.gov/growthcharts/html charts/bmiagerev.htm.

Efficacy against severe COVID-19 - after 2 doses

Secondary efficacy analyses suggested benefit of the COVID-19 mRNA Vaccine in preventing severe COVID-19.

As of 14 November 2020, efficacy against severe COVID-19 (as defined by the study protocol) occurring after the first dose was 88.9% (95% CI: 20.1, 99.7) (1 case in COVID-19 mRNA Vaccine group and 9 cases in placebo group), with an estimated vaccine efficacy of 75.0% (95% CI: -152.6, 99.5) (1 case in COVID-19 mRNA Vaccine group and 4 cases in placebo group) against severe COVID-19 occurring at least 7 days after Dose 2.³⁶ Efficacy against severe COVID-19, defined by the Centers for Disease Control and Prevention as hospitalization, admission to the Intensive Care Unit, intubation or mechanical ventilation, or death occurring after the first dose, was 92.9% (95% CI: 53.2, 99.8) (1 case in COVID-19 mRNA Vaccine group and 14 cases in placebo group).³⁷

As of 13 March 2021, vaccine efficacy against severe COVID-19 is presented only for participants with or without prior SARS-CoV-2 infection (Table 12) as the COVID-19 case counts in participants without prior SARS-CoV-2 infection were the same as those in participants with or without prior SARS-CoV-2 infection in both the TRADENAME and placebo groups.

Table 12. Vaccine Efficacy – First Severe COVID-19 Occurrence in Participants With or Without* Prior SARS-CoV-2 Infection Based on FDA[†] or Centers for Disease Control and Prevention (CDC)[‡] Definition After Dose 1 or From 7 Days After Dose 2 in the Placebo-Controlled Follow-up

Dose 2 in the Flacebo-Controlled Follow-up					
Vaccine Efficacy	 First Severe COVID-19 	Occurrence Based on F	DA Definition ^{57,58}		
_	TRADENAME	Placebo			
	Cases	Cases			
	n1 ^a	n1ª	Vaccine Efficacy %		
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI ^c)		
	1	30	96.7		
After Dose 1 ^d	8.439° (22,505)	8.288° (22,435)	(80.3, 99.9)		
	1	21	95.3		
7 days after Dose 2 ^f	6.522 ^g (21,649)	6.404^{g} (21,730)	(70.9, 99.9)		
Vaccine Efficacy	- First Severe COVID-19	Occurrence Based on C	DC Definition ^{59,60}		
_	TRADENAME	Placebo			
	Cases	Cases			
	n1 ^a	n1ª	Vaccine Efficacy %		
	Surveillance Time (n2b)	Surveillance Time (n2b)	(95% CI°)		
	1	45	97.8		
After Dose 1 ^d	8.427 ^e (22,473)	8.269 ^e (22,394)	(87.2, 99.9)		
	0	32	100		
7 days after Dose 2 ^f	6.514 ^g (21,620)	$6.391^{g}(21,693)$	(88.0, 100.0)		

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- [†] Severe illness from COVID-19 as defined by FDA is confirmed COVID-19 and presence of at least 1 of the following:⁶¹
 - Clinical signs at rest indicative of severe systemic illness (respiratory rate ≥30 breaths per minute, heart rate ≥125 beats per minute, saturation of oxygen ≤93% on room air at sea level, or ratio of arterial oxygen partial pressure to fractional inspired oxygen <300 mm Hg);

- Respiratory failure [defined as needing high-flow oxygen, noninvasive ventilation, mechanical ventilation or extracorporeal membrane oxygenation (ECMO)];
- Evidence of shock (systolic blood pressure <90 mm Hg, diastolic blood pressure <60 mm Hg, or requiring vasopressors);
- Significant acute renal, hepatic, or neurologic dysfunction;
- Admission to an Intensive Care Unit;
- Death.
- \$\frac{1}{2}\$ Severe illness from COVID-19 as defined by CDC is confirmed COVID-19 and presence of at least 1 of the following:\(^{61}\)
 - Hospitalization;
 - Admission to the Intensive Care Unit;
 - Intubation or mechanical ventilation;
 - Death.
- a. n1 = Number of participants meeting the endpoint definition.
- b. n2 = Number of participants at risk for the endpoint.
- c. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted to the surveillance time.
- d. Efficacy assessed based on the Dose 1 all-available efficacy (modified intention-to-treat) population that included all randomized participants who received at least 1 dose of study intervention.⁶²
- e. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from Dose 1 to the end of the surveillance period.
- f. Efficacy assessed based on the evaluable efficacy (7 Days) population that included all eligible randomized participants who receive all dose(s) of study intervention as randomized within the predefined window, have no other important protocol deviations as determined by the clinician.⁶²
- g. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.

Efficacy and immunogenicity in adolescents 12 to 15 years of age - after 2 doses

An analysis of Study 2 has been performed in adolescents 12 to 15 years of age up to a data cut-off date of 13 March 2021.

The vaccine efficacy information in adolescents 12 to 15 years of age is presented in Table 13.

Table 13. Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2, Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 to 15 Years of Age Evaluable Efficacy (7 Days) Population

First COV	First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age						
	without evidence of p	orior SARS-CoV-2 infection	*,46				
	TRADENAME	Placebo					
	N ^a =1005	N ^a =978					
	Cases	Cases					
	n1 ^b	n1 ^b	Vaccine Efficacy %				
	Surveillance Time ^c (n2 ^d)	Surveillance Time ^c (n2 ^d)	(95% CI ^e)				
Adolescents							
12 to							
15 Years of	0	16	100.0				
Age	0.154 (1001)	0.147 (972)	(75.3, 100.0)				

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 to 15 years of age with or without* evidence of prior SARS-CoV-2 infection ⁴⁷					
	TRADENAME N ^a =1119 Cases n1 ^b Surveillance Time ^c (n2 ^d)	$\begin{array}{c} Placebo \\ N^a = 1110 \\ Cases \\ n1^b \\ Surveillance Time^c (n2^d) \end{array}$	Vaccine Efficacy % (95% CI ^e)		
Adolescents 12 to					
15 Years of Age	0 0.170 (1109)	18 0.163 (1094)	100.0 (78.1, 100.0)		

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

In Study 2 an analysis of SARS-CoV-2 neutralizing titers in a randomly selected subset of participants was performed to demonstrate non-inferior immune responses (within 1.5-fold) comparing adolescents 12 to 15 years of age to participants 16 to 25 years of age who had no serological or virological evidence of past SARS-CoV-2 infection. The immune response to TRADENAME in adolescents 12 to 15 years of age (n=190) was non-inferior to the immune response in participants 16 to 25 years of age (n=170), based on results for SARS-CoV-2 neutralizing titers at 1 month after Dose 2. The geometric mean titers (GMT) ratio of the adolescents 12 to 15 years of age group to the participants 16 to 25 years of age group was 1.76, with a 2-sided 95% CI of 1.47 to 2.10, meeting the 1.5-fold non-inferiority criterion (the lower bound of the 2-sided 95% CI for the geometric mean ratio [GMR] >0.67) which indicates a statistically greater response in the adolescents 12 to 15 years of age than that of participants 16 to 25 years of age.

An updated efficacy analysis of Study 2 has been performed in approximately 2,260 adolescents 12 through 15 years of age evaluating confirmed COVID-19 cases accrued up to a data cut-off date of September 2, 2021, representing up to 6 months of follow-up after Dose 2 for participants in the efficacy population.⁸¹

The updated vaccine efficacy information in adolescents 12 through 15 years of age is presented in Table 14.

Table 14: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without Evidence of Infection and With or Without Evidence of Infection Prior to 7 Days After Dose 2 – Blinded Placebo-Controlled Follow-up Period, Adolescents 12 Through 15 Years of Age Evaluable Efficacy (7 Days) Population⁸¹

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years							
of	fage without evidence of j	prior SARS-CoV-2 infe	ction*				
	TRADENAME Placebo						
	N ^a =1057	N ^a =1030					
	Cases	Cases					
	n1 ^b	n1 ^b					
	Surveillance Time ^c	Surveillance Time ^c	Vaccine Efficacy %				
	(n2 ^d)	(95% CI ^e)					
Adolescents							
12 through 15 years	0 28 100.0						
of age	0.343 (1043)	0.322 (1019)	(86.8, 100.0)				

First COVID-19 occurrence from 7 days after Dose 2 in adolescents 12 through 15 years of age with or without evidence of prior SARS-CoV-2 infection

	TRADENAME N ^a =1119	Placebo Na=1109	
	Cases n1 ^b Surveillance Time ^c (n2 ^d)	Cases n1 ^b Surveillance Time ^c (n2 ^d)	Vaccine Efficacy % (95% CI ^e)
Adolescents	• •	, ,	•
12 through 15 years	0	30	100.0
of age	0.362 (1098)	0.345 (1088)	(87.5, 100.0)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Two-sided confidence interval (CI) for vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

Efficacy in children 5 through <12 years of age – after 2 doses

A descriptive efficacy analysis of Study 3 has been performed in 1,968 children 5 through 11 years of age without evidence of infection prior to 7 days after Dose 2. This analysis evaluated confirmed symptomatic COVID-19 cases accrued up to a data cut-off date of October 8, 2021.⁸²

Table 15 presents the specific demographic characteristics in participants who did not have evidence of prior infection with SARS-CoV-2 through 7 days after the second dose.

Table 15: Demographics Characteristics – Participants Without Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – 5 Through 11 Years of Age – Evaluable Efficacy Population⁸²

	TRADENAME*	
	10 mcg/dose (N ^a =1305) n ^b (%)	Placebo (N ^a =663) n ^b (%)
Sex		
Male	679 (52.0)	343 (51.7)
Female	626 (48.0)	320 (48.3)
Age at Vaccination		
Mean (SD)	8.2 (1.93)	8.1 (1.98)
Median	8.0	8.0
Min, max	(5, 11)	(5, 11)
Race		
White	1018 (78.0)	514 (77.5)
Black or African American	76 (5.8)	48 (7.2)
American Indian or Alaska Native	<1.0%	<1.0%
Asian	86 (6.6)	46 (6.9)
Native Hawaiian or other Pacific	<1.0%	<1.0%
Islander		
Other ^c	110 (8.4)	52 (7.8)
Ethnicity		
Hispanic or Latino	243 (18.6)	130 (19.6)
Not Hispanic or Latino	1059 (81.1)	533 (80.4)
Not reported	<1.0%	<1.0%
Comorbidities ^d		
Yes	262 (20.1)	133 (20.1)
No	1043 (79.9)	530 (79.9)

- Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = number of participants in the specified group from the evaluable efficacy population with no evidence of SARS CoV-2 infection prior to 7 days after Dose 2. This value is the denominator for the percentage calculations. Evaluable efficacy population included all eligible randomized participants who received all vaccination(s) as randomized within the predefined window, had no other important protocol deviations as determined by the clinician.
- b. n = Number of participants with the specified characteristic.
- c. Includes multiracial and not reported.
- d. Number of participants who have 1 or more comorbidities that increase the risk of severe COVID-19 disease: defined as participants who had at least 1 of the prespecified comorbidities based on MMWR 69(32);1081-1088 and/or obesity (BMI ≥95th percentile).

The descriptive vaccine efficacy results in children 5 through 11 years of age without evidence of prior SARS-CoV-2 infection are presented in Table 16. None of the cases accrued met criteria for severe COVID-19 or multisystem inflammatory syndrome in children (MIS-C). No cases of

COVID-19 were observed in either the vaccine group or the placebo group in participants with evidence of prior SARS-CoV-2 infection.⁸²

Table 16: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Dose 2: Without Evidence of Infection Prior to 7 Days After Dose 2 – Phase 2/3 – Children 5 Through 11 Years of Age Evaluable Efficacy Population⁸²

First COVID-19 occurrence from 7 days after Dose 2 in children 5 through 11 years of						
ag	ge without evidence of pr	ior SARS-CoV-2 infecti	on*			
TRADENAME [±]						
	10 mcg/dose	Placebo				
	N ^a =1305	$N^a=663$				
	Cases	Cases				
	n1 ^b n1 ^b					
	Surveillance Time ^c Surveillance Time ^c					
	(n2d)					
Children 5 through	1 3 16 90.7					
11 years of age	0.322 (1273)	0.159 (637)	(67.7, 98.3)			

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; vomiting).

- * Participants who had no evidence of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2), and had negative NAAT (nasal swab) at any unscheduled visit prior to 7 days after Dose 2 were included in the analysis.
- ± Pfizer-BioNTech COVID-19 Vaccine (10 mcg modRNA).
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after Dose 2 to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.

Immunogenicity in children 5 through <12 years of age - after 2 doses⁷³

Study 3 is a Phase 1/2/3 study comprised of an open-label vaccine dose-finding portion (Phase 1) and a multicenter, multinational, randomized, saline placebo-controlled, observer-blind efficacy portion (Phase 2/3) that has enrolled participants 5 through <12 years of age.

In Study 3, an analysis of SARS-CoV-2 50% neutralizing titers (NT50) 1 month after Dose 2 in a randomly selected subset of participants demonstrated effectiveness by immunobridging of immune responses comparing children 5 through less <12 years of age in the Phase 2/3 part of Study 3 to participants 16 through 25 years of age in the Phase 2/3 part of Study 2 who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after Dose 2, meeting the prespecified immunobridging criteria for both the GMR and the seroresponse difference with seroresponse defined as achieving at least 4-fold rise in SARS-CoV-2 NT50 from baseline (before Dose 1).

The ratio of the SARS-CoV-2 NT50 in children 5 through <12 years of age to that of young adults 16 to 25 years of age was 1.04 (2-sided 95% CI: 0.93, 1.18), as presented in Table 17.

Table 17: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of Children 5 Through Less Than 12 Years of Age (Study 3) to Participants 16
Through 25 Years of Age (Study 2) – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Dose 2 Evaluable Immunogenicity Population⁷³

•		TRADI	ENAME		
		10 mcg/Dose 5 Through <12 Years n ^a =264	30 mcg/Dose 16 Through 25 Years n ^a =253	5 Through <12 Years/ 16 Through 25 Years	
Assay	Time Point ^b	GMT° (95% CI°)	GMT ^c (95% CI ^c)	GMR ^d (95% CI ^d)	Met Immunobridging Objective ^e (Y/N)
SARS-CoV-2 neutralization					
assay - NT50	1 month after	1197.6	1146.5	1.04	
(titer) ^f	Dose 2	(1106.1, 1296.6)	(1045.5, 1257.2)	(0.93, 1.18)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. n = Number of participants with valid and determinate assay results for the specified assay at the given dose/sampling time point.
- b. Protocol-specified timing for blood sample collection.
- c. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- d. GMRs and 2-sided 95% CIs were calculated by exponentiating the mean difference of the logarithms of the titers (Group 1[5 through <12 years of age] Group 2 [16 through 25 years of age]) and the corresponding CI (based on the Student t distribution).
- e. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the GMR is greater than 0.67 and the point estimate of the GMR is ≥0.8.
- f. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Among participants without prior evidence of SARS-CoV-2 infection up to 1 month after Dose 2, 99.2% of children 5 through less than 12 years of age and 99.2% of participants 16 through 25 years of age had a seroresponse from before vaccination to 1 month after Dose 2. The difference in proportions of participants who had seroresponse between the 2 age groups (children – young adult) was 0.0% (2-sided 95% CI: -2.0%, 2.2%), as presented in Table 18.

Table 18: Difference in Percentages of Participants With Seroresponse – Participants Without* Evidence of Infection up to 1 Month After Dose 2 – Immunobridging Subset – Phase 2/3 – Comparison of 5 Through <12 Years of Age to Study 2 Phase 2/3 16 Through 25 Years of Age – Evaluable Immunogenicity Population⁷³

			ech COVID-19		
		Vac	cine		
		Study 3	Study 2		
		10 mcg/Dose	30 mcg/Dose		
		5 Through	16 Through		
		<12 Years	25 Years	5 Through	1 <12 Years /
		$N^a=264$	Na=253	16 Throu	gh 25 Years
					Met
					Immunobridging
		n° (%)	n° (%)	Difference %e	Objective ^g
Assay	Time Pointb	(95% CI ^d)	(95% CI ^d)	(95% CI ^f)	(Y/N)
SARS-CoV-2					
neutralization					
assay - NT50	1 month	262 (99.2)	251 (99.2)	0.0	
(titer) ^h	after Dose 2	(97.3, 99.9)	(97.2, 99.9)	(-2.0, 2.2)	Y

Abbreviations: LLOQ = lower limit of quantitation; NAAT = nucleic acid amplification test; N-binding = SARS-CoV-2 nucleoprotein-binding; NT50 = 50% neutralizing titer 50; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse

- * Participants who had no serological or virological evidence (up to 1 month post-Dose 2 blood sample collection) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and 1 month after Dose 2, SARS-CoV-2 not detected by NAAT [nasal swab] at Visits 1 and 2, and negative NAAT (nasal swab) at any unscheduled visit up to 1 month after Dose 2 blood collection) and had no medical history of COVID-19 were included in the analysis.
- a. N = number of participants with valid and determinate assay results both before vaccination and at 1 month after Dose 2. These values are the denominators for the percentage calculations.
- b. Protocol-specified timing for blood sample collection.
- c. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- d. Exact 2-sided CI based on the Clopper and Pearson method.
- e. Difference in proportions, expressed as a percentage (Group 1 [5 through <12 years of age] Group 2 [16 through 25 years of age]).
- f. 2-Sided CI, based on the Miettinen and Nurminen method for the difference in proportions, expressed as a percentage.
- g. Immunobridging is declared if the lower bound of the 2-sided 95% CI for the difference in proportions is greater than -10.0%.
- h. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Immunogenicity in participants 18 years of age and older – after booster dose⁷¹

Effectiveness of a booster dose of TRADENAME was demonstrated by evaluating noninferiority immune responses of SARS-CoV-2 NT50 1 month after a booster dose. In Study 2, an analysis of SARS-CoV-2 NT50 demonstrated non-inferior immune responses 1 month after a booster dose

compared to 1 month after Dose 2 in participants at least 18 through 55 years of age who had no serological or virological evidence of past SARS-CoV-2 infection up to 1 month after the booster dose, based on prespecified noninferiority criteria for both GMR and difference in seroresponse rates. Seroresponse for a participant was defined as achieving a ≥4-fold rise from baseline (before Dose 1) in NT50 (Table 19 and Table 20).

The SARS-CoV-2 NT50 GMR of 1 month after the booster dose to 1 month after Dose 2 was 3.29 (2-sided 97.5% CI: 2.76, 3.91), which met the noninferiority criteria for GMR (lower bound of the 2-sided 97.5% CI >0.67 and point estimate of the GMR \geq 0.8).

A high proportion of participants (99.5%) had seroresponse 1 month after Dose 3 compared with 98.0% 1 month after Dose 2. The difference in proportions of participants with a seroresponse 1 month after the booster (Dose 3) and 1 month after Dose 2 (Dose 3 minus Dose 2) was 1.5% (2-sided 97.5% CI: -0.7%, 3.7%), which met the 10% noninferiority criterion (i.e., lower bound of the 2-sided 97.5% CI >-10%).

Table 19: Summary of Geometric Mean Ratio for 50% Neutralizing Titer – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

		TRADENAME Sampling Time Point			
Assay	n ^a	1 Month After Booster Dose GMT ^b (95% CI ^b)	1 Month After Dose 2 GMT ^b (95% CI ^b)	1 Month After Booster Dose - 1 Month After Dose 2 GMR ^c (97.5% CI ^c)	Met Noninferiority Objective ^d (Y/N)
SARS-CoV-2 neutralization assay - reference strain -		2476.4	753.7	3.29	
NT50 (titer) ^e	210	(2210.1, 2774.9)	(658.2, 863.1)	(2.76, 3.91)	Y

Abbreviations: CI = confidence interval; GMR = geometric mean ratio; GMT = geometric mean titer; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of a booster dose of COMIRNATY) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after the booster dose were included in the analysis.
- a. n = Number of participants with valid and determinate assay results at both sampling time points within specified window.
- b. GMTs and 2-sided 95% CIs were calculated by exponentiating the mean logarithm of the titers and the corresponding CIs (based on the Student t distribution). Assay results below the LLOQ were set to 0.5 × LLOQ.
- c. GMRs and 2-sided 97.5% CIs were calculated by exponentiating the mean differences in the logarithms of the assay and the corresponding CIs (based on the Student t distribution).
- d. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the GMR is >0.67 and the point estimate of the GMR is \geq 0.80.
- e. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on

Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Table 20: Percentage Difference of Participants Achieving Seroresponse – Comparison of 1 Month After Booster Dose to 1 Month After Dose 2 – Phase 3 – Participants Without Evidence of Infection up to 1 Month After Booster Dose* – Booster Dose Evaluable Immunogenicity Population⁷¹

		TRADENAME Sampling Time Point		Difference (1 Month After	
Assay	$\mathbf{N}^{\mathbf{a}}$	1 Month After Booster Dose nb % (95% CIc)	1 Month After Dose 2 n ^b % (95% CI ^c)	Booster Dose - 1 Month After Dose 2) %d (97.5% CIe)	Met Noninferiority Objective ^f (Y/N)
SARS-CoV-2 neutralization assay - reference strain -	14	197	194	1.5	(1/1)
NT50 (titer) ^g	198	99.5 (97.2, 100.0)	98.0 (94.9, 99.4)	(-0.7, 3.7)	Y

Abbreviations: CI = confidence interval; LLOQ = lower limit of quantitation; N-binding = SARS-CoV-2 nucleoprotein-binding; NAAT = nucleic acid amplification test; NT50 = 50% neutralizing titer; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Y/N = yes/no.

Note: Seroresponse is defined as achieving a \geq 4-fold rise from baseline (before Dose 1). If the baseline measurement is below the LLOQ, a postvaccination assay result \geq 4 × LLOQ is considered a seroresponse.

- * Participants who had no serological or virological evidence (up to 1 month after receipt of booster dose) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative and SARS-CoV-2 not detected by NAAT [nasal swab]) and had a negative NAAT (nasal swab) at any unscheduled visit up to 1 month after booster dose were included in the analysis.
- a. N = number of participants with valid and determinate assay results for the specified assay at baseline, 1 month after Dose 2 and 1 month after the booster dose within specified window. These values are the denominators for the percentage calculations.
- b. n = Number of participants with seroresponse for the given assay at the given dose/sampling time point.
- c. Exact 2-sided CI based on the Clopper and Pearson method.
- d. Difference in proportions, expressed as a percentage (1 month after booster dose 1 month after Dose 2).
- e. Adjusted Wald 2-sided CI for the difference in proportions, expressed as a percentage.
- f. Noninferiority is declared if the lower bound of the 2-sided 97.5% CI for the percentage difference is >-10%.
- g. SARS-CoV-2 NT50 were determined using the SARS-CoV-2 mNeonGreen Virus Microneutralization Assay. The assay uses a fluorescent reporter virus derived from the USA_WA1/2020 strain and virus neutralization is read on Vero cell monolayers. The sample NT50 is defined as the reciprocal serum dilution at which 50% of the virus is neutralized.

Relative vaccine efficacy in participants 16 years of age and older – after booster dose⁸⁰

An interim efficacy analysis of Study 4, a placebo-controlled booster study, was performed in approximately 10,000 participants 16 years of age and older who were recruited from Study 2, evaluated confirmed COVID-19 cases accrued from at least 7 days after booster vaccination up to a data cut-off date of 5 October 2021, which represents a median of 2.5 months post-booster follow-up. Vaccine efficacy of the TRADENAME booster dose after the primary series relative to the placebo booster group who only received the primary series dose was assessed. The relative vaccine efficacy information for participants 16 years of age and older is presented in Table 21.

Table 21: Vaccine Efficacy – First COVID-19 Occurrence From 7 Days After Booster Vaccination – Participants 16 Years of Age and Older Without Evidence of Infection and Participants With or Without Evidence of Infection Prior to 7 Days After Booster Vaccination – Evaluable Efficacy Population⁸⁰

		booster dose in participant	s without evidence of
111000112 17 000		oV-2 infection*	, , , , , , , , , , , , , , , , , , ,
	Comirnaty	Placebo	
	$N^a=4695$	N ^a =4671	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacye %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	6	123	95.3
vaccination	0.823 (4659)	0.792 (4614)	(89.5, 98.3)
First COVID-19 oc	currence from 7 days afte	r booster dose in participa	nts with or without
	evidence of prior SA	ARS-CoV-2 infection	
	Comirnaty	Placebo	
	N ^a =4993	N ^a =4952	
	Cases	Cases	
	n1 ^b	n1 ^b	Relative Vaccine
	Surveillance Time ^c	Surveillance Time ^c	Efficacy ^e %
	(n2 ^d)	(n2 ^d)	(95% CI ^f)
First COVID-19			
occurrence from 7 days			
after booster	7	124	94.6
vaccination	0.871 (4934)	0.835 (4863)	(88.5, 97.9)

Note: Confirmed cases were determined by Reverse Transcription-Polymerase Chain Reaction (RT-PCR) and at least 1 symptom consistent with COVID-19 (symptoms included: fever; new or increased cough; new or increased shortness of breath; chills; new or increased muscle pain; new loss of taste or smell; sore throat; diarrhea; yomiting)

- * Participants who had no serological or virological evidence (prior to 7 days after receipt of the booster vaccination) of past SARS-CoV-2 infection (i.e., N-binding antibody [serum] negative at Visit 1 and SARS-CoV-2 not detected by NAAT [nasal swab] at Visit 1, and had a negative NAAT [nasal swab] at any unscheduled visit prior to 7 days after booster vaccination) were included in the analysis.
- a. N = Number of participants in the specified group.
- b. n1 = Number of participants meeting the endpoint definition.
- c. Total surveillance time in 1000 person-years for the given endpoint across all participants within each group at risk for the endpoint. Time period for COVID-19 case accrual is from 7 days after the booster vaccination to the end of the surveillance period.
- d. n2 = Number of participants at risk for the endpoint.
- e. Relative vaccine efficacy of the Comirnaty booster group relative to the placebo group (non-booster).
- f. Two-sided confidence interval (CI) for relative vaccine efficacy is derived based on the Clopper and Pearson method adjusted for surveillance time.

5.2. Pharmacokinetic properties

Not applicable.

5.3. Preclinical safety data

Non-clinical data reveal no special hazard for humans based on conventional studies of repeat dose toxicity and reproduction and developmental toxicity.^{10,11}

6. PHARMACEUTICAL PARTICULARS

6.1. List of excipients^{2,3,74}

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose.**]

TRADENAME (Dilute Before Use)

(4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Potassium chloride

Potassium dihydrogen phosphate

Sodium chloride

Disodium hydrogen phosphate dihydrate

Sucrose

Water for injections

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose.]**

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, 10 micrograms/dose.]

TRADENAME (for age 5 years to <12 years)

((4-hydroxybutyl)azanediyl)bis(hexane-6,1-diyl)bis(2-hexyldecanoate) (ALC-0315)

2-[(polyethylene glycol)-2000]-N,N-ditetradecylacetamide (ALC-0159)

1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC)

Cholesterol

Tromethamine

Tromethamine hydrochloride

Sucrose

Water for injections

6.2. Incompatibilities

This medicinal product must not be mixed with other medicinal products except those mentioned in Sections 6.3 and 6.6.

6.3. Shelf life

[Editorial Guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Dilute Before Use)

Unopened vial

12 months at -90 °C to -60 °C.63,70,83

Alternatively, unopened vials may be stored and transported at -25 °C to -15 °C for a total of 2 weeks and can be returned to -90 °C to -60 °C; not exceeding the printed expiry date (EXP).³⁹

Once removed from the freezer, the unopened vial can be stored for up to 1 month at 2 °C to 8 °C; not exceeding the printed expiry date (EXP). Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation. ^{29,63} Prior to use, the unopened vial can be stored for up to 2 hours at temperatures up to 30 °C.

Once thawed, the vaccine should not be re-frozen.

Transfers of frozen vials stored at ultra-low temperature (<-60 °C)

- <u>Closed-lid vial trays</u> containing 195 vials removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to <u>5 minutes</u>.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage (<-60 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in frozen storage for at least 2 hours before they can be removed again.

Transfers of frozen vials stored at -25 °C to -15 °C⁴⁰

- <u>Closed-lid vial trays</u> containing 195 vials removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 3 minutes.
- Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25 °C to -15 °C) may be at temperatures up to 25 °C for up to 1 minute.

Once a vial is removed from the vial tray, it should be thawed for use.

Diluted medicinal product

Chemical and physical in-use stability, including during transportation,³⁰ has been demonstrated for 6 hours at 2 °C to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)⁷⁵

Unopened vial

12 months when stored at -90 °C to -60 °C.^{79,83}

TRADENAME (Do Not Dilute) will be received frozen at -90 °C to -60 °C. ⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks; not exceeding the printed expiry date (EXP).⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following first puncture.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Opened vial

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately after the first puncture. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)⁷⁵

Unopened vial

12 months when stored at -90 °C to -60 °C.^{79,83}

TRADENAME (for age 5 years to <12 years) will be received frozen at -90 °C to -60 °C.⁷⁶ Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt.

Once removed from frozen storage, the unopened vial may be stored refrigerated at 2 °C to 8 °C for a single period of up to 10 weeks; not exceeding the printed expiry date (EXP).⁷⁹

Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date on the outer carton has been updated to reflect the refrigerated expiry date and that the original expiry date has been crossed out.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at temperatures up to 30 °C.

Vaccine may be stored at temperatures between 8 °C to 30 °C for up to 24 hours, including any time at these temperatures following dilution.⁷⁷

Thawed vials can be handled in room light conditions.

Once thawed, the vaccine should not be re-frozen.

Diluted medicinal product

Chemical and physical in-use stability has been demonstrated for 12 hours at 2 °C to 30 °C, after dilution with sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, unless the method of opening precludes the risks of microbial contamination, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.⁷⁷

6.4. Special precautions for storage^{2,25,75}

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, 30 micrograms/dose.]

TRADENAME (Dilute Before Use)

Store in a freezer at -90 °C to -60 °C.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

For storage conditions after thawing and dilution of the medicinal product, see Section 6.3.

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)

Or

[Editorial Guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

TRADENAME (Do Not Dilute) and TRADENAME (for age 5 years to <12 years) can be stored in a refrigerator at 2 °C to 8 °C for a single period of up to 10 weeks, not exceeding the original expiry date (EXP). Alternatively, the vaccine may be stored in a freezer at -90 °C to -60 °C. The expiry date for storage at -90 °C to -60 °C is printed on the vial and outer carton after "EXP".

The vaccine will be received frozen at -90 °C to -60 °C. Frozen vaccine can be stored either at -90 °C to -60 °C or 2 °C to 8 °C upon receipt. Upon moving the product to 2 °C to 8 °C storage, the updated expiry date must be written on the outer carton and the vaccine should be used or discarded by the updated expiry date. The original expiry date should be crossed out.

If the vaccine is received at 2 °C to 8 °C it should be stored at 2 °C to 8 °C. Check that the expiry date has been updated to reflect the refrigerated EXP date and that the original expiry date has been crossed out.

Store in the original package in order to protect from light.

During storage, minimize exposure to room light, and avoid exposure to direct sunlight and ultraviolet light.

When stored frozen at -90 °C to -60 °C, the vaccine can be thawed at either 2 °C to 8 °C or at room temperature (up to 30 °C).

Once thawed, the vaccine cannot be re-frozen.

Thawed vials can be handled in room light conditions.

6.5. Nature and contents of container

Information to be provided by local subsidiary.

$\textbf{6.6. Special precautions for disposal and other handling}^{2,3,26,29,30,35,63,75,77,78}$

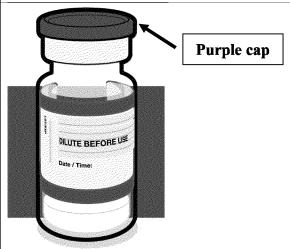
Handling instructions

TRADENAME should be prepared by a healthcare professional using aseptic technique to ensure the sterility of the prepared dispersion.

[Editorial guidance for countries: Select this text for the **PBS/Sucrose presentation**, **30 micrograms/dose**.]

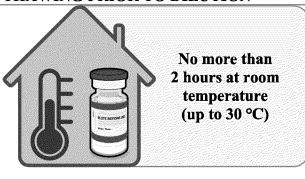
TRADENAME (Dilute Before Use)

VIAL VERIFICATION



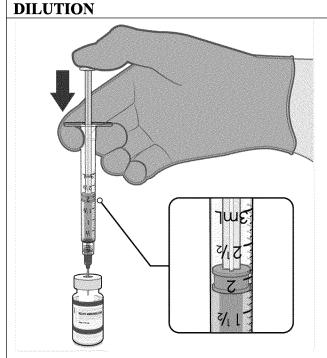
Verify that the vial has a purple plastic cap. If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

THAWING PRIOR TO DILUTION



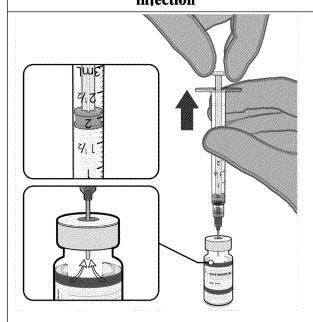
- The multidose vial is stored frozen and must be thawed prior to dilution. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 195 vial pack may take 3 hours to thaw. Alternatively, frozen vials may also be thawed for 30 minutes at temperatures up to 30 °C for immediate use.
- The unopened vial can be stored for up to 1 month at 2 °C to 8 °C; not exceeding the printed expiry date (EXP). Within the 1-month shelf life at 2 °C to 8 °C, up to 12 hours may be used for transportation.
- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to offwhite opaque amorphous particles.

TRADENAME (Dilute Before Use)



1.8 mL of 0.9% sodium chloride injection

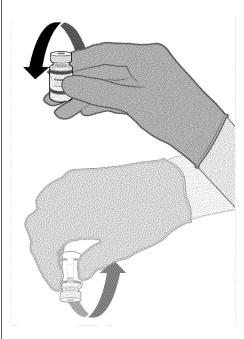
• The thawed vaccine must be diluted in its original vial with 1.8 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.



Pull back plunger to 1.8 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.8 mL air into the empty diluent syringe.

TRADENAME (Dilute Before Use)



Gently × 10

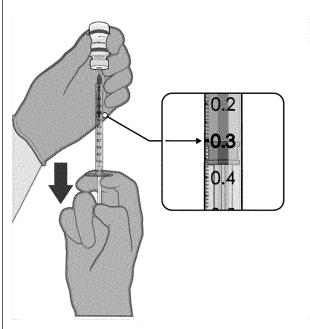
- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as an off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.



Record appropriate date and time. Use within 6 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 6 hours, including any transportation time.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

TRADENAME (Dilute Before Use) PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL diluted vaccine

- After dilution, the vial contains 2.25 mL from which 6 doses of 0.3 mL can be extracted.
- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

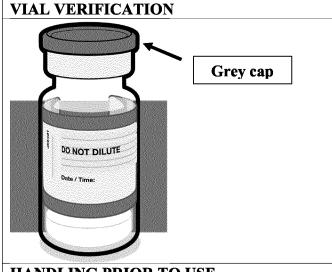
Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead-volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 6 hours after dilution.

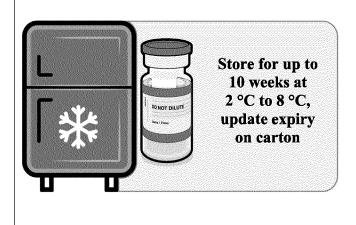
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **30 micrograms/dose**.]

TRADENAME (Do Not Dilute)



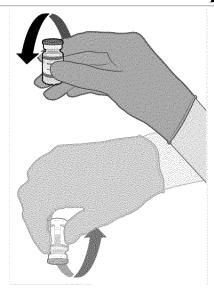
• Verify that the vial has a grey plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has an orange plastic cap, refer to the handling instructions for TRADENAME (for ages 5 years to <12 years).

HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 6 hours to thaw. Ensure vials are completely thawed prior to use.
- Update the expiry date on the carton.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C; not exceeding the printed expiry date (EXP).
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

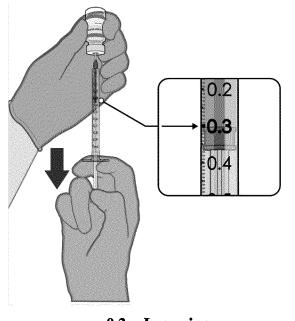
TRADENAME (Do Not Dilute)



- Gently mix by inverting vials
 10 times prior to use. Do not shake.
- Prior to mixing, the thawed dispersion may contain white to off-white opaque amorphous particles.
- After mixing, the vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the vaccine if particulates or discoloration are present.

Gently × 10

PREPARATION OF INDIVIDUAL 0.3 mL DOSES OF TRADENAME



0.3 mL vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.3 mL of TRADENAME.

Low dead-volume syringes and/or needles should be used in order to extract 6 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract a sixth dose from a single vial.

- Each dose must contain 0.3 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.3 mL, discard the vial and any excess volume.
- Discard any unused vaccine 12 hours after first puncture. Record the appropriate date/time on the vial.

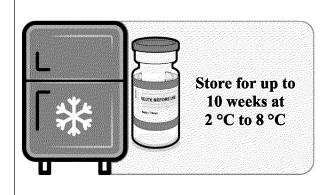
[Editorial guidance for countries: Select this text for the **Tris/Sucrose presentation**, **10 micrograms/dose**.]

TRADENAME (for age 5 years to <12 years)

Orange cap 10 mcg Date / Time:

Verify that the vial has an orange plastic cap. If the vial has a purple plastic cap, refer to the handling instructions for TRADENAME (Dilute Before Use). If the vial has a grey plastic cap, refer to the handling instructions for TRADENAME (Do Not Dilute).

HANDLING PRIOR TO USE



- If the multidose vial is stored frozen it must be thawed prior to use. Frozen vials should be transferred to an environment of 2 °C to 8 °C to thaw; a 10 vial pack may take 4 hours to thaw. Ensure vials are completely thawed prior to use.
- Unopened vials can be stored for up to 10 weeks at 2 °C to 8 °C; not exceeding the printed expiry date (EXP).
- Alternatively, individual frozen vials may be thawed for 30 minutes at temperatures up to 30 °C for immediate use.

TRADENAME (for age 5 years to <12 years)

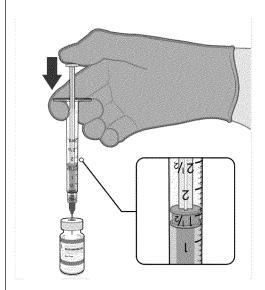
MIXING PRIOR TO DILUTION



Gently × 10

- Allow the thawed vial to come to room temperature and gently invert it 10 times prior to dilution. Do not shake.
- Prior to dilution, the thawed dispersion may contain white to off-white opaque amorphous particles.

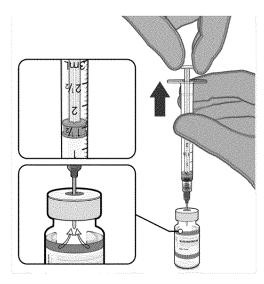
DILUTION



1.3 mL of 0.9% sodium chloride

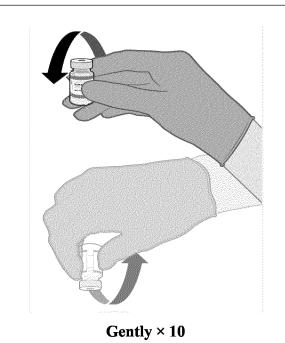
• The thawed vaccine must be diluted in its original vial with 1.3 mL sodium chloride 9 mg/mL (0.9%) solution for injection, using a 21 gauge or narrower needle and aseptic techniques.

TRADENAME (for age 5 years to <12 years)



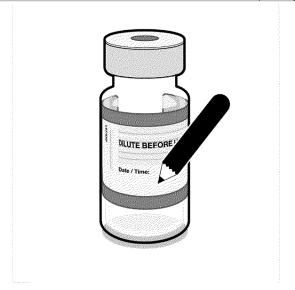
Pull back plunger to 1.3 mL to remove air from vial.

• Equalize vial pressure before removing the needle from the vial stopper by withdrawing 1.3 mL air into the empty diluent syringe.



- Gently invert the diluted dispersion 10 times. Do not shake.
- The diluted vaccine should present as a white to off-white dispersion with no particulates visible. Do not use the diluted vaccine if particulates or discoloration are present.

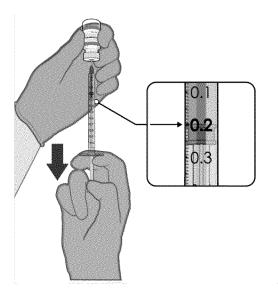
TRADENAME (for age 5 years to <12 years)



Record appropriate date and time. Use within 12 hours after dilution.

- The diluted vials should be marked with the appropriate date and time.
- After dilution, store at 2 °C to 30 °C and use within 12 hours.
- Do not freeze or shake the diluted dispersion. If refrigerated, allow the diluted dispersion to come to room temperature prior to use.

PREPARATION OF INDIVIDUAL 0.2 mL DOSES OF TRADENAME



0.2 mL diluted vaccine

- Using aseptic technique, cleanse the vial stopper with a single-use antiseptic swab.
- Withdraw 0.2 mL of TRADENAME for children age 5 to 11 years.

Low dead-volume syringes and/or needles should be used in order to extract 10 doses from a single vial. The low dead-volume syringe and needle combination should have a dead volume of no more than 35 microliters.

If standard syringes and needles are used, there may not be sufficient volume to extract ten doses from a single vial.

- Each dose must contain 0.2 mL of vaccine.
- If the amount of vaccine remaining in the vial cannot provide a full dose of 0.2 mL, discard the vial and any excess volume.
- Discard any unused vaccine within 12 hours after dilution.

Disposal

Any unused medicinal product or waste material should be disposed of in accordance with local requirements.

7. REFERENCES

- 1. BB-IND19736 Section 3.2.S.1.1
- 2. BB-IND19736 Section 3.2.P.2
- 3. BB-IND19736 Section 3.2.P.1
- 4. Global Emergency Use Authorization Application, Section 1.3 Intended Use
- 5. Global Emergency Use Authorization Application, Section 1.2 Description of Product
- 6. Vaccine Efficacy First COVID-19 Occurrence ≥7 Days After Dose 2 Subjects Without Evidence of Infection Before Vaccination, by Subgroup Evaluable Efficacy (7 Days) Population
- 7. Global Emergency Use Authorization Application, Section 7.3.1 Geriatric use
- 8. Module 5.3.5.1 Table 5: Demographic Characteristics Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population Reference no longer applicable; removed in CDS version 4
- 9. Ezeanolue E, Harriman K, Hunter P, Kroger A, Pellegrini C. General Best Practice Guidelines for Immunization. Best Practices Guidance of the Advisory Committee on Immunization Practices (ACIP)
- Module 4.2.3 Study 20256434 (RN9391R58), Section 4.2.3.5 Final Report A Combined Fertility and Developmental Study of BNT162b1, BNT162b2 and BNT162b3 by the Intramuscular Route in the Wistar Rat
- 11. Module 4.2.3.5 Study 20256434 (RN9391R58) Summary for BNT162b2 DART
- 12. Global Emergency Use Authorization Application, Section 6.2.1.2
- 13. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 14. Module 5.3.5.1 Study 20256434 (RN9391R58), Table Title: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Reactogenicity Subset for Phase 2/3 Analysis Safety Population
- 15. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.5 Overall Conclusions
- 16. Module 2.5 Clinical Overview, COVID-19 Vaccine, 2021, CO for CDS (anaphylaxis & hypersensitivity)
- 17. Global Emergency Use Authorization, Section 6.2.4.1.1.3.1 Overview of Adverse Events
- 18. Module 2.7.4 Summary of Clinical Safety, Section 2.7.4.4.5
- 19. Global Emergency Use Authorization Application, Section 1.2.2 RNA-Lipid Nanoparticle Formulation
- 20. Global Emergency Use Authorization, Section 6.2.2.1.1.3 Study BNT162-01 Immunogenicity Conclusions in Phase 1
- 21. Global Emergency Use Authorization, Section 6.2.1.1 Phase 1 First-in-Human BNT162-01

- 22. Module 5.3.5.1 Study C4591001, Table Title: Demographic Characteristics Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 23. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 24. Baseline Charlson Comorbidities ~38,000 Subjects for Phase 2/3 Analysis Safety Population
- 25. BB-IND19736, Section 3.2.P.8
- 26. BB-IND19736, Section 3.2.P.5.2
- 27. Global Emergency Use Authorization, Table 5: Demographic Characteristics Phase 2 Dose 2 Evaluable Immunogenicity Population
- 28. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 29. BB-IND19736, Section 3.2.P.3.5
- 30. BB-IND19736, Section 3.2.P.2.6
- 31. Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by Baseline SARS-CoV-2 Status -- ~38000 Subjects for Phase 2/3 Analysis Safety Population
- 32. Global Emergency Use Application, Table 35 Vaccine Efficacy First COVID-19
 Occurrence From 7 Days After Dose 2 Subjects Without Evidence of Infection Prior to
 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 33. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Age Subgroup Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 34. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 All-Available Efficacy
- 35. Module 3.2.P Dosage and Administration Instructions for BNT162 (PF-07302048) Vaccine, 0.5 mg/mL
- 36. Module 5.3.5.1 C4591001 Clinical Study Report, Section 11.1.2.3.2.1.3 Table 40
- 37. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Dose 1 All-Available Efficacy Population
- 38. Module 2.5, Clinical Overview to Support Inclusion of Pain in Extremity, Diarrhea, and Vomiting as Adverse Drug Reactions in Section 4.8 of the Core Data Sheet, February 2021
- 39. Module 3.2.P.8.1 Stability Summary and Conclusion
- 40. IND Section 3.2.P.2.3 Storage Shipping and Distribution

- 41. Module 2.7.4 Summary of Clinical Safety, MAA Type II Variation (12-15 Years) Table 6: Demographic Characteristics Subjects 12 Through 15 and 16 Through 25 Years of Age Safety Population
- 42. Table: Follow-up Time After Dose 2 Subjects 12 Through 15 Years of Age Safety Population
- 43. Table: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 44. Table: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 45. Table: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population
- 46. Table: Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects 12 Through 15 Years of Age and Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 47. Table: Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects 12 Through 15 Years of Age and With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 48. Table: Summary of Geometric Mean Ratio NT50 Comparison of Subjects 12 Through 15 Years of Age to Subjects 16 Through 25 Years of Age (Immunogenicity Subset) Subjects Without Evidence of Infection up to 1 Month After Dose 2 Dose 2 Evaluable Immunogenicity Population
- 49. Module 2.7.4 Summary of Clinical Safety, COVID-19 Vaccine MAA Type II Variation (12-15 Years) April 2021
- 50. Interim Report 6 Month Update: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-COV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals [hereafter Interim Report 6 Month Update] (13 March 2021), Supplemental Table 14.198 Demographic Characteristics, by Age Groups Phase 2/3 Subjects ≥16 Years of Age Safety Population
- 51. Interim Report 6 Month Update (13 March 2021), Supplemental table 14.84 Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2 Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV Positive Subjects ≥16 Years of Age Safety Population
- 52. Final Analysis Interim Report: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-COV-2 RNA Vaccine Candidates Against COVID-19 in Healthy

- Individuals (04 November 2020), Section 10.3.3 Phase 2/3, Table 8 Vaccine Administration Timing ~38000 Subjects for Phase 2/3 Analysis All Randomized Subjects
- 53. Interim Report 6 Month Update (13 March 2021), Table 19. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 54. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.59. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Subgroup Blinded Placebo-Controlled Follow-up Period–Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 55. Interim Report 6 Month Update (13 March 2021), Table 20. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 56. Interim Report 6 Month Update (13 March 2021), Table 21. Vaccine Efficacy First COVID-19 Occurrence From 7 Days After Dose 2, by Risk Status Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 57. Interim Report 6 Month Update (13 March 2021), Table 25. Vaccine Efficacy First Severe COVID-19 Occurrence From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 58. Interim Report 6 Month Update (13 March 2021), Table 26. Vaccine Efficacy First Severe COVID-19 Occurrence After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
- 59. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.61. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition After Dose 1 Blinded Placebo-Controlled Follow-up Period Dose 1 All-Available Efficacy Population
- 60. Interim Report 6 Month Update (13 March 2021), Table 28. Vaccine Efficacy First Severe COVID-19 Occurrence Based on CDC-Definition From 7 Days After Dose 2 Blinded Placebo-Controlled Follow-up Period Subjects With or Without Evidence of Infection Prior to 7 Days After Dose 2 Evaluable Efficacy (7 Days) Population
- 61. EUA Amendment for Pfizer-BioNTech COVID-19 Vaccine, 6-Month Follow-Up Data from Participants 16 Years of Age and Older (13 March 2021), Section 6.2.1.2.1.2 Efficacy
- 62. Interim Report 6 Month Update (13 March 2021), Table 4. Analysis Populations
- 63. Module 3.2.P.8.1 Stability Summary and Conclusion, August 2021
- 64. Adverse Drug Reaction Frequency Justification Document, COVID-19 Vaccine (BNT162B2), December 2021
- 65. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.72 Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset)

- Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV-Positive Subjects ≥16 Years of Age Safety Population
- 66. Interim Report 6 Month Update (13 March 2021), Supplemental Table 14.79 Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) Blinded Placebo-Controlled Follow-up Period Phase 2/3 HIV-Positive Subjects ≥16 Years of Age Safety Population
- 67. 2.5 Clinical Overview to Support Inclusion of Vaccine Stress-Related Reactions in Section 4.4 of the Core Data Sheet, May 2021
- 68. EUA Amendment for Pfizer-BioNTech COVID-19 Vaccine, 6-Month Follow-Up Data from Participants 16 Years of Age and Older (13 March 2021), Section 6.2.2.1.1 Study Populations BNT162-01 Phase 1 Participants
- 69. 2.5 Clinical Overview to Support Inclusion of Myocarditis & Pericarditis in Section 4.4 (Special Warnings and Precautions for use) of the Core Data Sheet, July 2021
- 70. Module 3.2.P.8.3 Stability Data, August 2021
- 71. Interim Report BNT162b2 Booster (Dose 3): A Phase 1/2/3, Placebo Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-CoV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals
- 72. Module 3.2.P.2.2 Drug Product Tris-Sucrose, September 2021
- 73. Interim Report Children 5 to <12 Years of Age: A Phase 1, Open-Label Dose-Finding Study to Evaluate Safety, Tolerability, and Immunogenicity and Phase 2/3 Placebo-Controlled, Observer-Blinded Safety, Tolerability, and Immunogenicity Study of a SARS-CoV-2 RNA Vaccine Candidate against COVID-19 in Healthy Children and Young Adults
- 74. Module 3.2.P.1 Description and Composition of the Drug Product Tris-Sucrose, September 2021
- 75. Module 3.2.P.8.1 Stability Summary and Conclusion Tris-Sucrose, September 2021
- 76. Module 3.2.P.3.5 Shipping Validation Tris-Sucrose, September 2021
- 77. Module 3.2.P.2.6 Compatibility Tris-Sucrose, September 2021
- 78. Module 3.2.P.2.3 Manufacturing Process Development Process Development and Characterization Tris/Sucrose, September 2021
- 79. Module 3.2.P.8.1 Stability Summary and Conclusions Tris-Sucrose, November 2021
- 2.5 Clinical Overview for Adult Booster Efficacy MAA Study C4591031, November 2021
- 81. Interim Clinical Study Report, Protocol C4591001 Interim Report Adolescent 6-Month Update: A Phase 1/2/3, Placebo-Controlled, Randomized, Observer-Blind, Dose-Finding Study to Evaluate the Safety, Tolerability, Immunogenicity, and Efficacy of SARS-CoV-2 RNA Vaccine Candidates Against COVID-19 in Healthy Individuals
- 82. Clinical Information Amendment COVID-19 Vaccine C4591007 (5 to <12 Years) Efficacy Data in Phase 2/3 Study C4591007, October 2021

83. Module 3.2.P.8.3 Stability Data, August 2021

Appendix A: Adverse Drug Reactions (ADRs) and Numeric Frequencies Listed in Order of Decreasing Frequency Within Each System Organ Class (SOC)

Table A-1. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	83/21926 (0.4%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
	Hypersensitivity reactions	- 100
	Rash ^d	54/21926 (0.2%) ^a
	Pruritus ^d	23/21926 (0.1%) ^a
	Urticaria ^d	15/21926 (0.1%) ^a
	Angioedema ^d	3/21926 (0.01%) ^a
Metabolism and nutrition disorders	Decreased appetite	39/21926 (0.2%) ^a
Nervous system disorders	Headache	2814/4924 (57.1%) ^b
·	Lethargy	25/21926 (0.1%) ^a
Gastrointestinal disorders	Diarrhea ^d	758/4924 (15.4%) ^b
	Vomiting ^d	110/4924 (2.2%) ^b
	Nausea	274/21926 (1.2%) ^a
Skin and subcutaneous tissue	Hyperhidrosis	31/21926 (0.1%) ^a
disorders	Night sweats	17/21926 (0.1%) ^a
Musculoskeletal and connective tissue	Myalgia (muscle pain)	1980/4924 (40.2%) ^b
disorders	Arthralgia (joint pain)	1232/4924 (25.0%) ^b
	Pain in extremity (arm) ^d	185/21926 (0.8%) ^a
General disorders and administration	Injection site pain	4153/4924 (84.3%)°
site conditions	Fatigue	3185/4924 (64.7%) ^b
	Chills	1707/4924 (34.7%) ^b
	Pyrexia	749/4924 (15.2%) ^b
	Injection site swelling	546/4924 (11.1%)°
	Injection site redness	486/4924 (9.9%)°
	Malaise	130/21926 (0.6%) ^a
	Asthenia	76/21926 (0.3%) ^a

a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term – Blinded Placebo-Controlled Follow-up Period – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cut-off date: 13March2021)

b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cut-off date: 13March2021)

c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose (Reactogenicity Subset) – Phase 2/3 Subjects ≥16 Years of Age – Safety Population (Cut-off date: 13March2021)

d. These adverse reactions were identified in the post-authorization period.

Table A-2. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	Frequency n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	9/1131 (0.8%) ^a
Immune system disorders	Anaphylaxis ^d	Not known
•	Hypersensitivity reactions	
	Rash ^d	2/1131 (0.2%) ^a
	Urticaria ^d	2/1131 (0.2%) ^a
	Pruritus ^{d,e}	
	Angioedema ^{d,e}	
Metabolism and nutrition disorders	Decreased appetite ^e	
Nervous system disorders	Headache	854/1131 (75.5%) ^b
•	Lethargye	
Gastrointestinal disorders	Diarrhea ^d	141/1131 (12.5%) ^b
	Vomiting ^d	59/1131 (5.2%) ^b
	Nausea	5/1131 (0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e	
disorders	Night sweats ^e	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	477/1131 (42.2%) ^b
disorders	Arthralgia (joint pain) (new)	229/1131 (20.2%) ^b
	Pain in extremity (arm) ^d	1/1131 (0.1%) ^a
General disorders and administration	Injection site pain	1023/1131 (90.5%)°
site conditions	Fatigue	876/1131 (77.5%) ^b
	Chills	557/1131 (49.2%) ^b
	Pyrexia	275/1131 (24.3%) ^b
	Injection site swelling	104/1131 (9.2%) ^c
	Injection site redness	97/1131 (8.6%)°
	Malaise ^e	, ,
	Astheniae	

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 Through 1 Month After Dose 2, by System Organ Class and Preferred Term Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) –Safety Population (Cut-off date: 13March2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cut-off date: 13March2021).
- c. Source: Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Subjects 12 Through 15 and 16 Through 25 Years of Age (Reactogenicity Subset) Safety Population (Cut-off date: 13March2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in the 12 through 15 year old age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table A-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

Table A-3. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency within each System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

System Organ Class	ADR Term	_	uency (%)
Blood and lymphatic system disorders	Lymphadenopathy	13/1518	$(0.9\%)^a$
Immune system disorders	Anaphylaxis ^d		
	Hypersensitivity reactions		
	Rash ^d	5/1518	(0.3%)a
	Urticaria ^d	3/1518	$(0.2\%)^a$
	Pruritus ^d	1/1518	$(0.1\%)^a$
	Angioedema ^{d,e}		
Metabolism and nutrition disorders	Decreased appetite	1/1518	(0.1%)a
Nervous system disorders	Headache	579/1517	(38.2%)b
	Lethargye		
Gastrointestinal disorders	Diarrhea ^d	146/1517	(9.6%) ^b
	Vomiting ^d	60/1517	$(4.0\%)^{b}$
	Nausea	6/1518	(0.4%) ^a
Skin and subcutaneous tissue	Hyperhidrosis ^e		
disorders	Night sweats ^e		
Musculoskeletal and connective tissue	Myalgia (muscle pain)	266/1517	$(17.5\%)^{b}$
disorders	Arthralgia (joint pain) (new)	115/1517	$(7.6\%)^{b}$
	Pain in extremity (arm) ^d	3/1518	$(0.2\%)^{a}$
General disorders and administration	Injection site pain	1279/1517	(84.3%)°
site conditions	Fatigue	785/1517	(51.7%)b
	Injection site redness	401/1517	(26.4%)°
	Injection site swelling	309/1517	(20.4%)°
	Chills	188/1517	(12.4%)b
	Pyrexia	126/1517	(8.3%)b
	Malaise	2/1518	(0.1%)a
	Asthenia ^e		

- a. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Dose 1 to 1 Month After Dose 2, by System Organ Class and Preferred Term Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- b. Source: Systemic Events, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- c. Source = Local Reactions, by Maximum Severity, Within 7 Days After Each Dose Phase 2/3 5 to <12 Years of Age Safety Population (Cut-off date: 06Sep2021).
- d. These adverse reactions were identified in the post-authorization period.
- e. The following events were not reported in participants 5 to <12 years of age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Error! Reference source not found.): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-4. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)*,64

<u> </u>	ADD Tarres	Frequency
System Organ Class	ADR Term	n/N (%)
Blood and lymphatic system disorders	Lymphadenopathy	16/306 (5.2%) ^{a,b}
Immune system disorders	Anaphylaxis ^e	
	Hypersensitivity reactions	
	Rash ^e	1/306 (0.3%) ^b
	Pruritus ^{e,f}	
	Urticaria ^{e,f}	
	Angioedema ^{e,f}	
Metabolism and nutrition disorders	Decreased appetite	1/306 (0.3%) ^b
Nervous system disorders	Headache	140/289 (48.4%)°
-	Lethargy ^f	
Gastrointestinal disorders	Diarrhea ^e	25/289 (8.7%)°
	Vomiting ^e	5/289 (1.7%)°
	Nausea	2/306 (0.7%) ^b
Skin and subcutaneous tissue	Hyperhidrosis ^f	
disorders	Night sweats ^f	
Musculoskeletal and connective tissue	Myalgia (muscle pain)	113/289 (39.1%)°
disorders	Arthralgia (joint pain) (new)	73/289 (25.3%)°
	Pain in extremity (arm) ^e	1/306 (0.3%) ^b
General disorders and administration	Injection site pain	240/289 (83.0%) ^d
site conditions	Fatigue	184/289 (63.7%) ^c
	Chills	84/289 (29.1%) ^c
	Pyrexia	25/289 (8.7%)°
	Injection site swelling	23/289 (8.0%) ^d
	Injection site redness	17/289 (5.9%) ^d
	Malaisef	
	Asthenia ^f	

- * The booster dose of BNT162b2 30 μg was administered to participants 18 to 55 years of age.
- a. A higher frequency of lymphadenopathy (5.2% vs. 0.4%) was observed in participants receiving a booster dose compared to participants receiving 2 doses.
- b. Source: Number (%) of Subjects Reporting at Least 1 Adverse Event From Booster Dose to 1 Month After Booster Dose, by System Organ Class and Preferred Term – Phase 3 – BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cut-off date: 17June2021).
- c. Source = Systemic Events, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (Cut-off date: 17June2021).
- d. Source = Local Reactions, by Maximum Severity, Within 7 Days After Booster Dose Phase 3 BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) -Booster Safety Population (Cut-off date: 17June2021).
- e. These adverse reactions were identified in the post-authorization period.
- f. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age following the 2-dose series (13 March 2021 Data Cut-off Date) Table A-1: angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table A-5. Adverse Drug Reaction Table with Preferred Terms Listed by Decreasing Frequency Within Each System Organ Class: BNT162b2-Experienced Subjects (≥16 Years of Age) Who Received 1 Booster Dose of BNT162b2 (30 μg) in Study C4591031 – Booster Safety Population (5 October 2021 Data Cut-off Date)^{64,80}

System Organ Class	ADR Term	Frequency
		n/N (%)
Blood and lymphatic system disorders	Lymphadenopathya	135/5055 (2.8%) ^b
Immune system disorders	Anaphylaxis ^c	•
	Hypersensitivity reactions	
	Rash ^c	3/5055 (0.1%) ^b
	Pruritus ^c	3/5055 (0.1%) ^b
	Urticaria ^c	2/5055 (0.04%) ^b
	Angioedema ^{c,d}	
Metabolism and nutrition disorders	Decreased appetite	9/5055 (0.2%) ^b
Nervous system disorders	Headache ^e	
	Lethargy	12/5055 (0.2%) ^b
Gastrointestinal disorders	Diarrhea ^{c,e}	
	Vomiting ^{c,e}	
	Nausea	48/5055 (0.9%) ^b
Skin and subcutaneous tissue disorders	Night sweats	5/5055 (0.1%) ^b
	Hyperhidrosis	4/5055 (0.1%) ^b
Musculoskeletal and connective tissue	Myalgia (muscle pain) ^e	
disorders	Arthralgia (joint pain) (new) ^e	
	Pain in extremity (arm) ^c	54/5055 (1.1%) ^b
General disorders and administration	Injection site pain ^e	
site conditions	Fatigue ^e	
	Chills ^c	
	Pyrexia ^{e,f}	
	Injection site swelling ^e	
	Injection site redness ^e	
	Malaise	35/5055 (0.7%) ^b
	Asthenia	8/5055 (0.2%) ^b

- A higher frequency of lymphadenopathy (2.8% vs. 0.4%) was observed in participants receiving a booster dose (in Study C4591031) compared to participants receiving 2 doses.
- Source: Number (%) of Participants Reporting at Least 1 Adverse Event From Booster Vaccination to 1 Month After Booster Vaccination, by System Organ Class and Preferred Term – Blinded Follow-up Period – Safety Population (Cut-off date: 05October2021).
- c. These adverse reactions were identified in the post-authorization period.
- d. The following event was not reported in the Study C4591031 but was reported in individuals ≥16 years of age 1 month after Dose 2 (Cut-off date: 13March2021): angioedema.
- e. Please see Table A-4 for the frequency of the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.
- f. The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

Appendix B: Adverse Drug Reactions (ADRs) by System Organ Class and Council for International Organizations of Medical Science (CIOMS) Frequency Category Listed in Order of Decreasing Medical Seriousness or Clinical Importance Within Each Frequency Category and SOC

Table B-1. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 16 Years of Age and Older (13 March 2021 Data Cut-off Date)⁶⁴

System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopath v			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}	Angioedema ^{a,}		Anaphylaxisa
Metabolism and Nutrition disorders			Decreased appetite			
Nervous system disorders	Headache		Lethargy			
Gastrointestinal disorders	Diarrheaa	Vomiting ^a ; Nausea				
Skin and subcutaneous Tissue disorders			Hyperhidrosis; Night sweats			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia; Injection site swelling	Injection site redness	Asthenia; Malaise			

t. CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, rash, and angioedema.

Table B-2. ADRs by SOC and CIOMS Frequency Category* Listed in order of Decreasing Medical Seriousness Within Each Frequency Category and SOC: Individuals 12 Through 15 Years of Age (13 March 2021 Data Cut-off Date)⁶⁴

				Rare ≥1/10,000 to		Frequency not known (cannot
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	- /	<1/1,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	be estimated from the available data)
Blood and lymphatic system disorders	(_1070)	(_1,0 00 10,0)	Lymphadenopathy	31273)	(3332,0)	
Immune system disorders			Urticaria ^{a,b} ; Rash ^{a,b}			Anaphylaxis ^a
Nervous system disorders	Headache					
Gastrointestinal disorders	Diarrheaa	Vomitinga	Nausea			
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia	_	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Pyrexia	Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the 12 through 15 years of age group in Study C4591001 but were reported in individuals ≥16 years of age (see Table B-1): angioedema, pruritus, malaise, lethargy, asthenia, decreased appetite, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria and rash.

Table B-3. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Individuals 5 to <12 Years of Age (06 September 2021 Data Cut-off Date)⁶⁴

Ciass: 1	Class. Individuals 5 to 12 Tears of Age (00 September 2021 Data Cut-off Date)					
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	<1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders			Lymphadenopathy			
Immune system disorders			Urticaria ^{a,b} ; Pruritus ^{a,b} ; Rash ^{a,b}			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Musculoskeletal and connective tissue disorders	Myalgia	Arthralgia	Pain in extremity (arm) ^a			
General disorders and administration site conditions	Injection site pain; Fatigue; Chills; Injection site swelling; Injection site redness	Ругехіа	Malaise			

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in participants 5 to <12 Years of Age in Study C4591007 but were reported in individuals ≥16 years of age in Study C4591001 (see Table B-1): angioedema, lethargy, asthenia, hyperhidrosis, and night sweats.

b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

Table B-4. ADRs by SOC and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and SOC:

BNT162b2-Experienced Subjects Who Were Rerandomized to Receive 1 Booster Dose of BNT162b2 (30 μg) – Booster Safety Population (17 June 2021 Data Cut-off Date)†,64

	ite) i'					
System Organ Class	Very Common ≥1/10 (≥10%)	Common ≥1/100 to <1/10 (≥1% to <10%)	Uncommon ≥1/1,000 to <1/100 (≥0.1% to <1%)	Rare ≥1/10,000 to <1/1,000 (≥0.01% to <0.1%)	Very Rare <1/10,000 (<0.01%)	Frequency not known (cannot be estimated from the available data)
Blood and lymphatic system disorders		Lymphadenopathy				
Immune system disorders			Rasha			Anaphylaxis ^a
Metabolism and nutrition disorders			Decreased appetite			
Nervous system disorders	Headache					
Gastrointestinal disorders		Diarrhea ^a ; Vomiting ^a	Nausea			
Skin and subcutaneous tissue disorders						
Musculoskeletal and connective tissue disorders	Arthralgia; Myalgia		Pain in extremity (arm) ^a			
General disorders and administration site conditions	pain; Fatigue; Chills	Pyrexia; Injection site swelling; Injection site redness				

^{*.} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

[†] The booster dose of BNT162b2 30 µg was administered to participants 18 to 55 years of age.

a. These adverse reactions were identified in the post-authorization period. The following events were not reported in the booster safety population in Study C4591001 but were reported in individuals ≥16 years of age 1 month after Dose 2 (Cut-off date: 13March2021) (see Table B-1): angioedema, pruritus, urticaria, malaise, lethargy, asthenia, hyperhidrosis, and night sweats.

Table B-5. ADRs by System Organ Class and CIOMS Frequency Category* Listed in Order of Decreasing Medical Seriousness Within Each Frequency Category and System Organ Class: Study C4591031[†] (5 October 2021 Data Cut-off Date)⁶⁴

	JI Guil Clu	ss. Study C-137102	(E SCEEDEL)		Tt OII Dutt	·)
				Rare		
	Very		Uncommon	≥1/10,000 to	Very	Frequency not
	Common	Common	≥1/1,000 to	<1/1,000	Rare	known (cannot be
System Organ	≥1/10	≥1/100 to <1/10	<1/100 (≥0.1%	(≥0.01% to	<1/10,000	estimated from
Class	(≥10%)	(≥1% to <10%)	to <1%)	<0.1%)	(<0.01%)	the available data)
Blood and		Lymphadenopathy				
lymphatic system						
disorders						
Immune system			Pruritus ^{a,b} ;	Urticaria ^{a,b}		Anaphylaxis ^a
disorders			Rash ^{a,b}			
Metabolism and			Decreased			
nutrition disorders			appetite			
Nervous system			Lethargy			
disorders						
Gastrointestinal			Nausea			
disorders						
Skin and			Hyperhidrosis;			
subcutaneous			Night sweats			
tissue disorders						
Musculoskeletal		Pain in extremity				
and connective		(arm) ^a				
tissue disorders						
General disorders			Asthenia;			
and administration			Malaise			
site conditions						

^{*} CIOMS frequency categories are based on clinical trial crude incidence and was reported to only one significant figure.

Please see Table B-4 for the CIOMS Frequency Categories for the following reactogenicity adverse reactions that were reported in the booster safety population of Study C4591001: headache, diarrhea, vomiting, myalgia (muscle pain), arthralgia (joint pain) (new), injection site pain, fatigue, chills, pyrexia, injection site swelling, injection site redness.

The preferred term pyrexia is a cluster term also covering 'body temperature increased'.

- a. These adverse reactions were identified in the post-authorization period.
- b. The following events are categorized as hypersensitivity reactions: urticaria, pruritus, and rash.

[†] Study C4591031 included individuals ≥16 years of age.

Appendix C. HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Frequency in the Safety Population Subset

Table C-1. Study 2 – Frequency and Percentages of Participants with Solicited Local Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,65

	TRADENAME Dose 1 N ^a =54 n ^b (%)	Placebo Dose 1 N ^a =56 n ^b (%)	TRADENAME Dose 2 N ^a =60 n ^b (%)	Placebo Dose 2 N ^a =62 n ^b (%)
Redness ^c				
Any (>2.0 cm)	2 (3.7)	3 (5.4)	4 (6.7)	1 (1.6)
Mild	2 (3.7)	1 (1.8)	3 (5.0)	1 (1.6)
Moderate	0	0	1 (1.7)	0
Severe	0	2 (3.6)	0	0
Swelling ^c				
Any (>2.0 cm)	3 (5.6)	1 (1.8)	5 (8.3)	0
Mild	2 (3.7)	0	2 (3.3)	0
Moderate	1 (1.9)	0	3 (5.0)	0
Severe	0	1 (1.8)	0	0
Pain at the injection s	ite ^d	, , ,		
Any	34 (63.0)	9 (16.1)	32 (53.3)	5 (8.1)
Mild	26 (48.1)	8 (14.3)	22 (36.7)	5 (8.1)
Moderate	8 (14.8)	1 (1.8)	9 (15.0)	0
Severe	0	0	1 (1.7)	0

Notes: Reactions were collected in the electronic diary (e-diary) from Day 1 to Day 7 after vaccination.

No Grade 4 solicited local reactions were reported in HIV-Positive participants 16 years of age and older.

^{*} Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.

a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each reaction was the same, therefore, this information was included in the column header.

b. n = Number of participants with the specified reaction.

c. Mild: >2.0 to ≤ 5.0 cm; Moderate: >5.0 to ≤ 10.0 cm; Severe: >10.0 cm.

d. Mild: does not interfere with activity; Moderate: interferes with activity; Severe: prevents daily activity.

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and

Older – Reactogenicity Subset of the Safety Population*,66

Oluer – Ki	eactogenicity Subset	•		
	TRADENAME	Placebo	TRADENAME	Placebo
	Dose 1	Dose 1	Dose 2	Dose 2
	Na=54	Na=56	Na=60	Na=62
	n ^b (%)	n ^b (%)	n ^b (%)	n ^b (%)
Fever				
≥38.0°C	1 (1.9)	4 (7.1)	9 (15.0)	5 (8.1)
≥38.0°C to 38.4°C	1 (1.9)	2 (3.6)	4 (6.7)	5 (8.1)
>38.4°C to 38.9°C	0	0	4 (6.7)	0
>38.9°C to 40.0°C	0	2 (3.6)	1 (1.7)	0
>40.0°C	0	0	0	0
Fatigue ^c				
Any	22 (40.7)	15 (26.8)	24 (40.0)	12 (19.4)
Mild	15 (27.8)	9 (16.1)	12 (20.0)	5 (8.1)
Moderate	7 (13.0)	5 (8.9)	9 (15.0)	7 (11.3)
Severe	0	1 (1.8)	3 (5.0)	0
Headache ^c				
Any	11 (20.4)	18 (32.1)	18 (30.0)	12 (19.4)
Mild	7 (13.0)	10 (17.9)	8 (13.3)	8 (12.9)
Moderate	4 (7.4)	7 (12.5)	8 (13.3)	4 (6.5)
Severe	0	1 (1.8)	2 (3.3)	0
Chills ^c		•		
Any	6 (11.1)	5 (8.9)	14 (23.3)	4 (6.5)
Mild	5 (9.3)	4 (7.1)	5 (8.3)	3 (4.8)
Moderate	1 (1.9)	1 (1.8)	8 (13.3)	1 (1.6)
Severe	0	0	1 (1.7)	0
Vomiting ^d	1			
Any	1 (1.9)	3 (5.4)	2 (3.3)	2 (3.2)
Mild	1 (1.9)	1 (1.8)	1 (1.7)	1 (1.6)
Moderate	0	0	1 (1.7)	1 (1.6)
Severe	0	2 (3.6)	0	0
Diarrheae				
Any	5 (9.3)	8 (14.3)	4 (6.7)	9 (14.5)
Mild	5 (9.3)	6 (10.7)	1 (1.7)	6 (9.7)
Moderate	0	1 (1.8)	2 (3.3)	3 (4.8)
Severe	0	1 (1.8)	1 (1.7)	0
New or worsened muscle		_ ()	\	
Any	9 (16.7)	10 (17.9)	10 (16.7)	5 (8.1)
Mild	7 (13.0)	7 (12.5)	5 (8.3)	1 (1.6)
Moderate	2 (3.7)	3 (5.4)	5 (8.3)	4 (6.5)
Severe	0	0	0	0
501010	U	<u> </u>	1 0	

Table C-2. Study 2 – Frequency and Percentages of Participants with Solicited Systemic Reactions, by Maximum Severity, Within 7 Days After Each Dose – HIV-Positive Participants 16 Years of Age and Older – Reactogenicity Subset of the Safety Population*,66

	TRADENAME Dose 1 N ^a =54 n ^b (%)	Placebo Dose 1 N ^a =56 n ^b (%)	TRADENAME Dose 2 N ^a =60 n ^b (%)	Placebo Dose 2 N ^a =62 n ^b (%)			
New or worsened joint pair	New or worsened joint pain ^c						
Any	5 (9.3)	7 (12.5)	10 (16.7)	5 (8.1)			
Mild	5 (9.3)	4 (7.1)	4 (6.7)	1 (1.6)			
Moderate	0	3 (5.4)	6 (10.0)	4 (6.5)			
Severe	0	0	0	0			
Use of antipyretic or pain medication ^f	7 (13.0)	8 (14.3)	16 (26.7)	7 (11.3)			

Notes: Reactions and use of antipyretic or pain medication were collected in the electronic diary (e-diary) from Day 1 to Day 7 after each dose.

No Grade 4 solicited systemic reactions were reported in HIV-positive participants 16 years of age and older.

- Randomized participants in the safety analysis population who received at least 1 dose of the study intervention.
- a. N = Number of participants reporting at least 1 yes or no response for the specified reaction after the specified dose. The N for each event or use of antipyretic or pain medication was the same, therefore, this information was included in the column header.
- b. n = Number of participants with the specified reaction.
- c. Mild: does not interfere with activity; Moderate: some interference with activity; Severe: prevents daily activity
- d. Mild: 1 to 2 times in 24 hours; Moderate: >2 times in 24 hours; Severe: requires intravenous hydration.
- e. Mild: 2 to 3 loose stools in 24 hours; Moderate: 4 to 5 loose stools in 24 hours; Severe: 6 or more loose stools in 24 hours
- f. Severity was not collected for use of antipyretic or pain medication.